

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 1

A UK Design application was filed on 18 August 2010 claiming priority from a US design application filed on 16 June 2010.

- a) **What is the latest date for paying the first renewal fee, including any extensions of time? Show your calculations.** 2 marks
- b) **If all the renewal fees are paid, when would this design application expire? Show your calculations.** 2 marks
- Total: 4 marks**

Answer

- a) 5 years from filing date = 18/08/2015 1 mark
+ 6 months extension = 18 February 2016 1 mark
- b) 25 Years from filing 1 mark
18 August 2010 + 25 years = 18 August 2035 1 mark
- Total: 4 marks**

Question 2

The European regional phase of a PCT application with a filing date of 28 January 2014 was entered on 28 July 2015.

When will the first renewal for the EP application be due? Show your calculations.

Total: 3 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Answer

The last day of the month containing the second filing anniversary

1 mark*

*Only awarded in the absence of any other correct answer

Filing date of EP application is the PCT filing date (28/01/14)

1 mark

28/01/14 + 2years = 28/01/2016

1 mark

end of month = 31/01/16 (Sunday) so can pay on 1/2/16**

**either date acceptable

1 mark

Total: 3 marks

Question 3

You work as a Patent Administrator for the firm Steve Nage & Partners. Refer to the instructions in **Annex A** left for you by the Attorney.

Complete the appropriate UK Patents form.

Total: 8 marks

Answer

On form PF1 at **Appendix 1**.

8 marks

Question 4

You filed a UK patent application with a description and claims in German.

For the description and claims, what will be required and by when to maintain the application?

Total: 2 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Answer

File translation into English (or Welsh) – either or both

1 mark

Within 2 months of a request from the UKIPO

1 mark

Total: 2 marks

Question 5

An International (PCT) patent application was filed on 19 January 2015, claiming priority solely from a UK patent application filed on 20 February 2014.

What is the final date to enter the national/regional phase in:

a) **The United States of America?**

and

b) **The EPO?**

Show your calculations.

5 marks

Answer

a) 30 months from earliest priority date

1 mark

20/02/14 + 30 months = 20/08/2016

1 mark

20 August is a Saturday = 22/08/2016

1 mark

b) 31 months from earliest priority date

1 mark

20/02/14 + 31 months = 20/09/2016

1 mark

Total: 5 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 6

For an International (PCT) patent application, what is an A3 publication?

1 mark

Answer

International Search report only

1 mark

Question 7

A patent application is filed at the UK IPO on 27 February 2015 and does not claim any priority.

Give the deadline for these following actions (assuming they were not completed on filing). Show your calculations.

- a) Paying the application fee.
- b) Filing Patents Form 7.

5 marks

Answer

The application fee is due the later of 12 months from priority or two months from the filing date. (Only saying 12 months from filing is acceptable in this case.)

1 mark

[1 mark in the absence of any calculation or if incorrect calculations: otherwise also:]

a) 27 Feb 2015 + 12m = Saturday 27 Feb 2016

1 mark

This is a Saturday so the deadline is extended to the next working day
Mon 29 Feb 2016

1 mark

b) PF7 is due 16 months from the filing date

1 mark

[1 mark in the absence of any calculation or if incorrect calculations: otherwise also:]

27 Feb 2015 + 16m = 27 Jun 2016

1 mark

Total: 5 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 8

You work for Nadiya Smith, EPA, at CGK Attorneys, based at 8 Regent Place, London, WC2B 4QT, UK. The firm's telephone number is 02020 458800, and the fax number is 02020 458811.

You filed a PCT application titled 'Coat Hanger' on 9 January 2015, claiming priority from a GB application filed on 10 January 2014, on behalf of one of your clients. The application was assigned application number PCT/GB2015/044221. No amendments under Articles 19 or 34 PCT were made in the international phase. The European Patent Office acted as the International Searching Authority (ISA) and raised some objections in the Written Opinion.

On European regional phase entry, a new set of claims 1 to 15 on pages 21 to 23 are to be filed, together with comments on the Written Opinion. The description (pages 1 to 20), drawings (on 6 pages) and abstract (on page 24) will be the same as in the international application. The details of the applicant are also the same as in the international application. Your firm's reference number for the European regional phase application will be 17842-EP1.

Prepare the form for European regional phase entry as if you were filing it today. All Contracting States, but no Extension or Validation States, should be designated.

8 marks

Answer

On form 1200 at **Appendix 2**.

8 marks

Question 9

How long is the priority period for design applications?

1 mark

Answer

6 months

1 mark

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 10

A Communication from the EPO was issued on the 23 September 2015 and received at your office on the 30 September 2015. The Communication set a deadline of 4 months from notification of the Communication.

a) What is the due date for responding to the Communication? Show your calculations.

2 marks

b) If this deadline is extended by two months, what is the new due date? Show your calculations.

3 marks

Total: 5 marks

Answer

a) 23/9/15 + 10 days (3/10/15)

1 mark

+ 4M = 3 February 2016

1 mark

b) 23/9/15 + 10 days (3/10/15)

1 mark

+ 6M = 3 April 2016 (Sunday)

1 mark

4 April 2016 (next working day)

1 mark

Total: 5 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 11

An examination report was issued by the EPO in respect of a European Patent Application setting a deadline for response. No response was filed by the deadline and no extension of time of this deadline was obtained. The EPO consequently issued a communication on the 18 January 2016 stating that the application had been deemed withdrawn.

- a) **In order to reinstate the application, what actions should now be taken?** **2 marks**
- b) **What is the deadline for attending to these actions? Show your calculations.** **4 marks**

Total: 6 marks

Answer

- a) Request Further Processing by paying the Further Processing Fee **1 mark**
 Respond to the Examination Report/ Complete omitted/missing actions **1 mark**
- b) Deadline is two months from Date of Notification **1 mark**
 18/1/16 + 10 days **1 mark**
 + 2M = 28/3/16 (Easter Monday) **1 mark**
 so Tuesday 29/3/16 **1 mark**

Total: 6 marks

ICPA – Introductory Certificate in Patent Administration
Mark Scheme 2016

Question 12

A Principal of your firm, Shortbread & Garibaldi LLP, Victoria Shortbread CPA EPA, has sent you the Memo in **Annex B**.

On the basis of the instructions in this memo, please complete the appropriate form provided.

You do not need to include an Agent's phone and fax details and there is no need to complete the Fee Calculation Sheet or any declarations.

9 marks

Answer

On PCT Request at **Appendix 3**.

9 marks

Question 13

State any **three** of the procedural stages from filing to grant of a patent application.
If you state more than three only the first three will be marked.

3 marks

Answer

Any three from: (1 mark each)

Filing
Search
Publication
Examination
Allowance
Grant

Total: 3 marks



Appendix 1

Patents Form 1

Patents Act 1977 (*Rule 12*)

Request for grant of a patent

(An explanatory leaflet on how to fill in this form is available from the office)

Concept
House
Cardiff Road
Newport

Application number GB

1	Your reference: <i>(optional)</i>	WL15/003	1	
2	Full name, address and postcode of the applicant or of each applicant <i>(underline all surnames)</i> : The name(s) and address(es) provided here will be published as part of the application process (see warning note below) Patents ADP number <i>(if you know it)</i> :	WOOLLY & LAMB LIMITED SHEEP DIP LANE STEVENAGE HERTFORDSHIRE SG1 3XX	1	
3	Title of the invention:	STRING DISPENSER	1	
4	Name of your agent <i>(if you have one)</i> : "Address for service" to which all correspondence should be sent <i>(including postcode)</i> . This may be in the European Economic area or Channel Islands: (see warning note below) Patents ADP number <i>(if you know it)</i> :	STEVE NAGE [Natural and/or legal name needed, together with the address, to get this mark.] STEVE NAGE & PARTNERS 43-47 STABLES LANE STEVENAGE HERTFORDSHIRE SG1 0XX	1	
5	Priority declaration: Are you claiming priority from one or more earlier-filed patent applications? If so, please give details of the application(s):	Country	Application number <i>(if you know it)</i>	Date of filing <i>(day / month / year)</i>
6	Divisionals etc: Is this application a divisional application, or being made following resolution of an entitlement dispute about an earlier application? If so, please give the application number and filing date of the earlier application:	Number of earlier UK application	Date of filing <i>(day / month / year)</i>	
7	Inventorship: (Inventors must be individuals not companies) Are all the applicants named above also inventors? If yes, are there any other inventors?	(Please tick the appropriate boxes)		
		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>	1
		YES <input type="checkbox"/>	NO <input type="checkbox"/>	
8	Are you paying the application fee with this form?	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>	1
		[Since question is possibly ambiguous, checking either box will get the mark. No mark if both are checked.]		

9	Accompanying documents: please enter the number of pages of each item accompanying this form:	1			
	Continuation sheets of this form:	0			
	Description:	12			
	Claim(s):	3			
	Abstract:	0			
	Drawing(s):	4			
	If you are <u>not</u> filing a description, please give details of the previous application you are going to rely upon:	<table border="0" style="width: 100%;"> <tr> <td style="width: 33%;">Country</td> <td style="width: 33%;">Application number</td> <td style="width: 33%;">Date of filing (day / month / year)</td> </tr> </table>	Country	Application number	Date of filing (day / month / year)
Country	Application number	Date of filing (day / month / year)			

10 If you are also filing any of the following,
state how many against each item.

Priority documents:

Statement of inventorship and right
to grant of a patent (*Patents Form 7*):

Request for search (*Patents Form 9A*):

Request for substantive examination
(*Patents Form 10*):

Any other documents:(please specify)

11 I/We request the grant of a patent on the basis of this application.

Signature(s):

Date:

12 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant:	stevie@nage.co.uk	1
---	--	---

Warning

After an application for a patent has been filed, the Comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you are resident in the United Kingdom and your application contains information which relates to military technology, or would be prejudicial to national security or the safety of the public, section 23 of the Patents Act 1977 prohibits you from applying for a patent abroad without first getting written permission from the Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked. Until such time or until the revocation of any direction, for any such application the address for service referred to at part 4 above must be in the United Kingdom.

In all other cases, the name of the applicant will be published shortly after filing, together with the title of the invention. Later, when the whole application is published, most information and documents filed in relation to the application will become publicly available. **The name and address of the applicant will be published on our website and may appear in external search engine results.** You should provide a business or PO Box address if you do not want your home address published.

Although you may have an address for service in the Channel Islands, any agent instructed to act for you must reside or have a place of business in the European Economic Area or Isle of Man.

Notes

A leaflet on how to fill in this form is available from the Office. If you would like a copy of the leaflet it is available on our website at <http://www.ipo.gov.uk/fact01.pdf> or alternatively you could telephone 0300 300 2000 or send an email to information@ipo.gov.uk to request a copy.

Appendix 2



Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration

An das Europäische Patentamt
To the European Patent Office
A l'Office européen des brevets

Tag des Eingangs / Date of receipt / Date de réception

Eintritt in die europäische Phase (EPA als Bestimmungsamt oder ausgewähltes Amt)

Entry into the European phase (EPO as designated or elected Office)

Entrée dans la phase européenne (l'OEB agissant en qualité d'office désigné ou élu)

Europäische Anmelde­nummer oder, falls nicht bekannt, PCT-Aktenzeichen oder PCT-Veröffentlichungsnummer

European application number or, if not known, PCT application or PCT publication number

Numéro de la demande de brevet européen ou, à défaut, numéro de dépôt PCT ou de publication PCT

PCT/GB2015/044221

Zeichen des Anmelders oder Vertreters (max. 15 Positionen)

Applicant's or representative's reference (max. 15 keystrokes)

Référence du demandeur ou du mandataire (15 caractères ou espaces au maximum)

17842 - EPI

1.

Anmelder

Applicant

Demandeur

Die Angaben über den (die) Anmelder sind in der internationalen Veröffentlichung enthalten oder vom Internationalen Büro nach der internationalen Veröffentlichung vermerkt worden.

Indications concerning the applicant(s) are contained in the international publication or recorded by the International Bureau after the international publication.

Les indications concernant le(s) demandeur(s) figurent dans la publication internationale ou ont été enregistrées par le Bureau International après la publication internationale.

Änderungen, die das Internationale Büro noch nicht vermerkt hat, sind auf einem Zusatzblatt angegeben.

Changes which have not yet been recorded by the International Bureau are set out on an additional sheet.

Les changements qui n'ont pas encore été enregistrés par le Bureau international sont indiqués sur une feuille additionnelle.

Fehlende Angaben über den oder die Anmelder sind auf einem Zusatzblatt angegeben.

Indications missing for the applicant(s) are given on an additional sheet.

Les indications manquantes concernant un ou plusieurs demandeurs sont mentionnées sur une feuille additionnelle.

Zustellanschrift (siehe Merkblatt II, 1)

Address for correspondence (see Notes II, 1)

Adresse pour la correspondance (voir notice II, 1)

Zeichen des Anmelders / Applicant's reference / Référence du demandeur

EPA/EPO/OEB 1200.1 - 03.15

2 marks for this page: correctly entering application and reference number details, and leaving address for correspondence box empty (if agent's address details in this box 2 marks can still be awarded). If applicant's address details given, then max of 1 mark awarded for this page.

2.	Vertreter	Representative	Mandataire
	Name und Geschäftsanschrift (Nur einen Vertreter oder den Namen des Zusammenschlusses angeben, der in das Europäische Patentregister einzutragen ist und an den zugestellt wird)	Name and address of place of business (Name only one representative or association of representatives, to be listed in the Register of European Patents and to whom communications are to be notified)	Nom et adresse professionnelle (N'indiquer qu'un seul mandataire ou le nom du groupement de mandataires qui sera inscrit au Registre européen des brevets et auquel les significations seront faites)

Nadiya SMITH CGK Attorneys 8 Regent Place London WC2B 4QT, GB Telefon / Telephone / Téléphone +44 2020 458800	+44 not needed for mark. '020...' is acceptable.
Fax / Télécopie +44 2020 458811	

<input type="checkbox"/>	Weitere(r) Vertreter auf Zusatzblatt	Additional representative(s) on additional sheet	Autre(s) mandataire(s) sur feuille supplémentaire
--------------------------	--------------------------------------	--	---

3.	Vollmacht	Authorisation	Pouvoir
<input type="checkbox"/>	Vollmacht ist beigelegt;	Authorisation is attached.	Un pouvoir est joint.
<input type="checkbox"/>	Allgemeine Vollmacht ist registriert unter Nr.:	General authorisation is registered under No.:	Un pouvoir général est enregistré sous le n°:

<input type="checkbox"/>	Allgemeine Vollmacht ist eingereicht, aber noch nicht registriert.	A general authorisation has been filed, but not yet registered.	Un pouvoir général a été déposé, mais n'est pas encore enregistré.
<input type="checkbox"/>	Die beim EPA als PCT-Anmeldeamt eingereichte Vollmacht schließt ausdrücklich die europäische Phase ein.	The authorisation filed with the EPO as PCT receiving Office expressly includes the European phase.	Le pouvoir déposé à l'OEB agissant en qualité d'office récepteur au titre du PCT inclut expressément la phase européenne.

4.	Prüfungsantrag	Request for examination	Requête en examen
4.1	<input checked="" type="checkbox"/> Hiermit wird die Prüfung der Anmeldung gemäß Artikel 94 EPÜ beantragt. Die Prüfungsgebühr wird (wurde) entrichtet.	Examination of the application under Article 94 EPC is hereby requested. The examination fee is being (has been, will be) paid.	Il est demandé par la présente que soit examinée la demande de brevet conformément à l'article 94 CBE. Il est (a été, sera) procédé au paiement de la taxe d'examen.
	Prüfungsantrag in einer zugelassenen Nichtamtssprache	Request for examination in an admissible non-EPO language	Requête en examen dans une langue non officielle autorisée

<input type="checkbox"/>	Der/Jeder Anmelder erklärt hiermit, eine Einheit oder eine natürliche Person nach Regel 6 (4) EPÜ zu sein.	The/Each applicant hereby declares that he is an entity or a natural person under Rule 6(4) EPC.	Le/Chaque demandeur déclare par la présente être une entité ou une personne physique au sens de la règle 6(4) CBE.
4.2	<input type="checkbox"/> Der Anmelder verzichtet auf die Aufforderung nach Regel 70 (2) EPÜ, zu erklären, ob die Anmeldung aufrechterhalten wird.	The applicant waives his right to be asked under Rule 70(2) EPC whether he wishes to proceed further with the application.	Le demandeur renonce à être invité, conformément à la règle 70(2) CBE, à déclarer s'il souhaite maintenir sa demande.

5.	Abschriften	Copies	Copies
<input type="checkbox"/>	Zusätzliche Abschriften der im ergänzenden europäischen Recherchenbericht angeführten Schriftstücke werden beantragt.	Additional copies of the documents cited in the supplementary European search report are requested.	Prrière de fournir des copies supplémentaires des documents cités dans le rapport complémentaire de recherche européenne.
	Anzahl der zusätzlichen Sätze von Abschriften	Number of additional sets of copies	Nombre de jeux supplémentaires de copies

Zelchen des Anmelders / Applicant's reference / Référence du demandeur

6.1: two sub-boxes must be checked. The main box need not be checked for the mark to be awarded.
 6.2: none of the optional three boxes should be checked.
 1 mark for the page being correct.

- 6.1 Dem Verfahren vor dem EPA als Bestimmungsamt (PCT I) sind folgende Unterlagen zugrunde zu legen:
- die vom internationalen Büro veröffentlichten Anmeldeunterlagen (mit allen Ansprüchen, Beschreibung und Zeichnungen) mit etwaigen geänderten Ansprüchen nach Artikel 19 PCT
- soweit sie nicht ersetzt werden durch die beigefügten Änderungen.
- Stellungnahmen zu dem vom EPA als Internationaler Recherchenbehörde erstellten schriftlichen Bescheid und/oder Bemerkungen bzw. Stellungnahmen zu den Erläuterungen in dem vom EPA als mit der ergänzenden internationalen Recherche beauftragten Behörde erstellten ergänzenden internationalen Recherchenbericht (Regel 45 bis.7 e) PCT)

Proceedings before the EPO as designated Office (PCT I) are to be based on the following documents:

the application documents published by the International Bureau (with all claims, description and drawings) with any amended claims under Article 19 PCT

unless replaced by the amendments enclosed.

Comments on the written opinion established by the EPO as the International Searching Authority and/or observations or, where applicable, on the explanations given in the Supplementary International Search Report established by the EPO as the Supplementary International Searching Authority (Rule 45 bis.7(e) PCT)

La procédure devant l'OEB agissant en qualité d'office désigné (PCT I) doit se fonder sur les pièces suivantes :

les pièces de la demande publiées par le Bureau international (avec toutes les revendications, la description et les dessins) avec les éventuelles revendications modifiées conformément à l'article 19 PCT

dans la mesure où elles ne sont pas remplacées par les modifications jointes.

Commentaires sur l'opinion écrite établie par l'OEB agissant en qualité d'administration chargée de la recherche internationale et/ou observations, ou, le cas échéant, commentaires sur les explications figurant dans le rapport de recherche internationale supplémentaire établi par l'OEB agissant en qualité d'administration chargée de la recherche internationale supplémentaire (règle 45 bis.7e) PCT)

Soweit erforderlich, sind weitere Angaben auf einem Zusatzblatt einzureichen,

Where necessary, further details should be submitted on an additional sheet.

Le cas échéant, des informations complémentaires doivent être fournies sur une feuille additionnelle.

- 6.2 Dem Verfahren vor dem EPA als ausgewähltem Amt (PCT II) sind folgende Unterlagen zugrunde zu legen: die dem Internationalen vorläufigen Prüfungsbericht zugrunde gelegten Unterlagen, einschließlich etwaiger Anlagen
- soweit sie nicht ersetzt werden durch die beigefügten Änderungen.
- Stellungnahmen zu dem vom EPA als mit der internationalen vorläufigen Prüfung beauftragter Behörde erstellten internationalen vorläufigen Prüfungsbericht und/oder Bemerkungen sind beigefügt.

Proceedings before the EPO as elected Office (PCT II) are to be based on the following documents: the documents on which the international preliminary examination report is based, including any annexes

unless replaced by the amendments enclosed.

Comments on the international preliminary examination report established by the EPO as the International Preliminary Examining Authority and/or observations are enclosed.

La procédure devant l'OEB agissant en qualité d'office élu (PCT II) doit se fonder sur les pièces suivantes : les pièces sur lesquelles se fonde le rapport d'examen préliminaire international, y compris ses annexes éventuelles

dans la mesure où elles ne sont pas remplacées par les modifications jointes.

Les commentaires sur le rapport d'examen préliminaire international établi par l'OEB agissant en qualité d'administration chargée de l'examen préliminaire international et/ou les observations sont joints.

Soweit erforderlich, sind weitere Angaben auf einem Zusatzblatt einzureichen.

Where necessary, further details should be submitted on an additional sheet.

Le cas échéant, des informations complémentaires doivent être fournies sur une feuille additionnelle.

- Sind dem EPA als mit der internationalen vorläufigen Prüfung beauftragter Behörde Versuchsberichte zugegangen, dürfen diese dem Verfahren vor dem EPA zugrunde gelegt werden.

If the EPO as International Preliminary Examining Authority has received test reports, these may be used as the basis of proceedings before the EPO.

Si l'OEB, agissant en qualité d'administration chargée de l'examen préliminaire international, a reçu des rapports d'essais, ceux-ci peuvent être utilisés comme base dans la procédure devant l'OEB.

Anmerkung zu den Feldern 6.1 und 6.2: Bei Anmeldungen, die mehr als 35 Seiten umfassen, sollen in der Tabelle auf Seite 7 Angaben betreffend die Berechnung der Zusatzgebühren gemacht werden.

Note on sections 6.1 and 6.2: For applications comprising more than 35 pages, indications regarding the calculation of the additional fee should be given in the table on page 7.

Remarque concernant les rubriques 6.1 et 6.2 : pour les demandes comportant plus de 35 pages, des indications relatives au calcul de la taxe additionnelle doivent figurer dans la table de la page 7.

- 6.3 Eine Kopie der Rechercheergebnisse der Behörde, bei der die frühere(n) Anmeldung(en), deren Priorität beansprucht wird, eingereicht wurde(n), ist beigefügt (Regel 141 (1) EPÜ).

For each of the previous applications whose priority is claimed a copy is attached of the search results produced by the authority with which the application was filed (Rule 141(1) EPC).

Il est joint une copie des résultats de toute recherche effectuée par l'administration auprès de laquelle la (les) demande(s) antérieure(s) dont la priorité est revendiquée a (ont) été déposée(s) (règle 141(1) CBE).

- 6.4 Der Anmelder verzichtet auf die Mitteilung nach Regel 161 (1) oder (2) und 162 EPÜ.

The applicant waives his right to the communication under Rules 161(1) or (2) and 162 EPC.

Le demandeur renonce au droit de recevoir la notification émise en vertu des règles 161(1) ou (2) et 162 CBE.

Zeichen des Anmelders / Applicant's reference / Référence du demandeur

1

Übersetzungen

Beigefügt sind die nachfolgend angekreuzten Übersetzungen in einer der Amtssprachen des EPA (Deutsch, Englisch, Französisch):

a) im Verfahren vor dem EPA als Bestimmungsamt oder ausgewähltem Amt (PCT I + II):

7.1 Übersetzung der internationalen Anmeldung in der ursprünglich eingereichten Fassung (Beschreibung, Ansprüche, etwaige Textbestandteile in den Zeichnungen), der veröffentlichten Zusammenfassung und etwaiger Angaben über biologisches Material nach Regel 13bis.3 und 13bis.4 PCT

7.2 Übersetzung der prioritätsbegründenden Anmeldung(en) (nur nach Aufforderung durch das EPA, Regel 53 (3) EPÜ)

7.3 Es wird hiermit erklärt, dass die internationale Anmeldung in ihrer ursprünglich eingereichten Fassung eine vollständige Übersetzung der früheren Anmeldung ist (Regel 53 (3) EPÜ).

b) Zusätzlich im Verfahren vor dem EPA als Bestimmungsamt (PCT I):

7.4 Übersetzung der nach Artikel 19 PCT geänderten Ansprüche nebst Erklärung, falls diese dem Verfahren vor dem EPA zugrunde gelegt werden sollen (siehe Feld 6).

c) Zusätzlich im Verfahren vor dem EPA als ausgewähltem Amt (PCT II):

7.5 Übersetzung der Anlagen zum internationalen vorläufigen Prüfungsbericht

8. Biologisches Material

Die Erfindung verwendet und/oder bezieht sich auf biologisches Material, das nach Regel 31 EPÜ hinterlegt worden ist;

Die Angaben nach Regel 31 (1) c) EPÜ (falls noch nicht bekannt, die Hinterlegungsstelle und das (die) vom Hinterleger zugeleitete(n) Bezugszeichen (Nummer, Symbole usw.)) sind in der internationalen Veröffentlichung oder in der gemäß Feld 7 eingereichten Übersetzung enthalten auf Seite(n)/Zeile(n):

Die Empfangsbescheinigung(en) der Hinterlegungsstelle

ist (sind) beigefügt.

wird (werden) nachgereicht.

Verzicht auf die Verpflichtung des Antragstellers nach Regel 33 (2) EPÜ auf gesondertem Schriftstück

Zeichen des Anmelders / Applicant's reference / Référence du demandeur

Translations

Translations in one of the official languages of the EPO (English, French, German) are enclosed as crossed below:

(a) In proceedings before the EPO as designated or elected Office (PCT I + II):

Translation of the international application (description, claims, any text in the drawings) as originally filed, of the abstract as published and of any indication under Rule 13bis.3 and 13bis.4 PCT regarding biological material

Translation of the priority application(s) (to be filed only at the EPO's request, Rule 53(3) EPC)

It is hereby declared that the international application as originally filed is a complete translation of the previous application (Rule 53(3) EPC).

(b) In addition, in proceedings before the EPO as designated Office (PCT I):

Translation of amended claims and any statement under Article 19 PCT, if the claims as amended are to form the basis for the proceedings before the EPO (see Section 6).

(c) In addition, in proceedings before the EPO as elected Office (PCT II):

Translation of any annexes to the international preliminary examination report

Biological material

The invention uses and/or relates to biological material deposited under Rule 31 EPC.

The particulars referred to in Rule 31(1) (c) EPC (if not yet known, the depository institution and the identification reference(s) (number, symbols, etc.) of the depositor) are given in the international publication or in the translation submitted under Section 7 on page(s)/line(s):

The receipt(s) of deposit issued by the depository institution

is (are) enclosed.

will be filed later.

Waiver of the right to an undertaking from the requester pursuant to Rule 33(2) EPC attached

Traductions

Vous trouverez, ci-joint, les traductions cochées ci-après dans l'une des langues officielles de l'OEB (allemand, anglais, français) :

a) Dans la procédure devant l'OEB agissant en qualité d'office désigné ou élu (PCT I + II) :

Traduction de la demande internationale telle que déposée initialement (description, revendications, textes figurant éventuellement dans les dessins), de l'abrégé publié et de toutes indications visées aux règles 13bis.3 et 13bis.4 PCT concernant le matériel biologique

Traduction de la (des) demande(s) dont la priorité est revendiquée (à produire seulement sur invitation de l'OEB, règle 53(3) CBE)

Il est déclaré par la présente que la demande internationale telle que déposée initialement est une traduction intégrale de la demande antérieure (règle 53(3) CBE).

b) De plus, dans la procédure devant l'OEB agissant en qualité d'office désigné (PCT I) :

Traduction des revendications modifiées et de la déclaration faite conformément à l'article 19 PCT, si la procédure devant l'OEB doit être fondée sur les revendications modifiées (voir la rubrique 6).

c) De plus, dans la procédure devant l'OEB agissant en qualité d'office élu (PCT II) :

Traduction des annexes du rapport d'examen préliminaire international

Matière biologique

L'invention utilise et/ou concerne de la matière biologique déposée conformément à la règle 31 CBE.

Les indications visées à la règle 31(1)c) CBE (si elles ne sont pas encore connues, l'autorité de dépôt et la (les) référence(s) d'identification [numéro ou symboles etc.] du déposant) figurent dans la publication internationale ou dans la traduction produite conformément à la rubrique 7 à la/aux page(s)/ligne(s) :

Le(s) récépissé(s) de dépôt délivré(s) par l'autorité de dépôt

est (sont) joint(s).

sera (seront) produit(s) ultérieurement.

Renonciation, sur document distinct, à l'engagement du requérant au titre de la règle 33(2) CBE

9.	Nucleotid- und Aminosäuresequenzen	Nucleotide and amino acid sequences	Séquences de nucléotides et d'acides aminés			
9.1	<input type="checkbox"/> Die nach den Regeln 5.2 und 13ter PCT sowie den Regeln 30 und 163 (3) EPÜ erforderlichen Unterlagen liegen dem EPA bereits vor.	The items pursuant to Rules 5.2 and 13ter PCT, Rules 30 and 163(3) EPC are already with the EPO.	Les pièces requises conformément aux règles 5.2 et 13ter PCT et aux règles 30 et 163(3) CBE ont déjà été déposées auprès de l'OEB.			
9.2	<input type="checkbox"/> Das Sequenzprotokoll wird anliegend in elektronischer Form gemäß den Regeln 30 und 163 (3) EPÜ nachgereicht. <input type="checkbox"/> Die auf dem elektronischen Datenträger gespeicherte Information stimmt mit dem in der Anmeldung offenbarten Sequenzprotokoll (oder mit den dort offenbarten Sequenzen) überein.	The sequence listing is furnished herewith in electronic form in accordance with Rules 30 and 163(3) EPC. The information recorded on the electronic data carrier is identical to the sequence listing (or the sequences) disclosed in the application.	Le listage des séquences sous forme électronique est fourni ci-joint conformément aux règles 30 et 163(3) CBE. L'information figurant sur le support électronique de données est identique à celle que contient le listage des séquences divulgué (ou les séquences divulguées) dans la demande de brevet.			
10.	Benennung von Vertragsstaaten Alle Vertragsstaaten, die dem EPÜ bei Einreichung der Internationalen Patentanmeldung angehören, gelten als benannt (siehe Artikel 79 (1) EPÜ), soweit sie in der internationalen Anmeldung bestimmt sind.	Designation of contracting states All the contracting states party to the EPC at the time of filing of the international patent application and designated in the international application are deemed to be designated (see Article 79(1) EPC).	Désignation d'Etats contractants Tous les Etats contractants qui sont parties à la CBE lors du dépôt de la demande de brevet internationale et sont désignés dans la demande internationale sont réputés désignés (voir article 79(1) CBE).			
11.	Erstreckung/Validierung Diese Anmeldung gilt als Antrag, die europäische Patentanmeldung und das darauf erteilte europäische Patent auf alle in der internationalen Anmeldung bestimmten Nichtvertragsstaaten des EPÜ zu erstrecken, mit denen am Tag der Einreichung der internationalen Anmeldung Erstreckungs- oder Validierungsabkommen in Kraft waren. Der Antrag gilt jedoch als zurückgenommen, wenn die Erstreckungs- bzw. die Validierungsgebühr nicht fristgerecht entrichtet wird.	Extension/Validation This application is deemed to be a request to extend the effects of the European patent application and the European patent granted in respect of it to all non-contracting states to the EPC designated in the international application with which extension or validation agreements were in force on the date on which the application was filed. However, the request is deemed withdrawn if the extension fee or validation fee, whichever is applicable, is not paid within the prescribed time limit.	Extension/Validation La présente demande est réputée constituer une requête en extension des effets de la demande de brevet européen et du brevet européen délivré sur la base de cette demande à tous les Etats non parties à la CBE qui sont désignés dans la demande internationale et avec lesquels des accords d'extension ou de validation étaient en vigueur à la date du dépôt de la demande. Cette requête est toutefois réputée retirée si la taxe d'extension ou, le cas échéant, la taxe de validation n'est pas acquittée en temps utile.			
11.1	Es ist beabsichtigt, die Erstreckungsgebühr(en) für folgende Staaten zu entrichten: Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Erstreckungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.	It is intended to pay the extension fee(s) for the following state(s): Note: Under the automatic debiting procedure, extension fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.	Il est envisagé de payer la(les) taxe(s) d'extension pour les Etats suivants : Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes d'extension, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.			
	<input type="checkbox"/> BA Bosnien und Herzegowina	Bosnia and Herzegovina	Bosnie-Herzégovine			
	<input type="checkbox"/> ME Montenegro	Montenegro	Monténégro			
	<input type="checkbox"/> <table border="1" style="width: 100%; height: 15px;"><tr><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 60px;"> </td></tr></table>					
	<input type="checkbox"/> <table border="1" style="width: 100%; height: 15px;"><tr><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 60px;"> </td></tr></table>					

(Platz für in der Internationalen Anmeldung bestimmte Staaten, mit denen Erstreckungsabkommen am Anmeldetag der internationalen Anmeldung in Kraft waren)

(Space for states which were designated in the international application and with which extension agreements existed on the date of filing of the international application)

(Espace prévu pour des Etats désignés dans la demande internationale avec lesquels des accords d'extension existaient à la date de dépôt de la demande internationale)

Zeichen des Anmelders / Applicant's reference / Référence du demandeur

--

11.2

Es ist beabsichtigt, die Validierungsgebühren für folgende Staaten zu entrichten:

It is intended to pay the validation fee(s) for the following state(s):

Il est envisagé de payer la(les) taxe(s) de validation pour les Etats suivants :

Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Validierungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.

Note: Under the automatic debiting procedure, validation fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.

Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes de validation, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.

MA Marokko

Morocco

Maroc

--	--	--

--	--	--

(Platz für in der internationalen Anmeldung bestimmte Staaten, mit denen Validierungsabkommen nach Drucklegung dieses Formblatts in Kraft treten)

(Space for states which were designated in the international application and with which validation agreements enter into force after this form has been printed)

(Espace prévu pour des Etats désignés dans la demande internationale avec lesquels des accords de validation entreront en vigueur après l'impression du présent formulaire)

12.

Automatischer Abbuchungsauftrag (Nur möglich für Inhaber von beim EPA geführten laufenden Konten)

Automatic debit order (for EPO deposit account holders only)

Ordre de prélèvement automatique (possibilité offerte uniquement aux titulaires de comptes courants ouverts auprès de l'OEB)

Das EPA wird hiermit ermächtigt, fällige Gebühren und Auslagen nach Maßgabe der Vorschriften über das automatische Abbuchungsverfahren vom unten stehenden laufenden Konto abzubuchen.

The EPO is hereby authorised, under the Arrangements for the automatic debiting procedure, to debit from the deposit account below any fees and costs falling due.

Par la présente, il est demandé à l'OEB de prélever du compte courant ci-dessous les taxes et frais venant à échéance, conformément à la réglementation relative à la procédure de prélèvement automatique.

Nummer und Kontoinhaber

Number and account holder

Numéro et titulaire du compte

--

13.

Etwaige Rückzahlungen sollen auf das unten stehende beim EPA geführte laufende Konto erfolgen

Any refunds should be made to the EPO deposit account below

Les remboursements éventuels doivent être effectués sur le compte courant ci-dessous ouvert auprès de l'OEB

Nummer und Kontoinhaber

Number and account holder

Numéro et titulaire du compte

--

14.

Unterschrift(en) des (der) Anmelder(s) oder Vertreter

Signature(s) of applicant(s) or representative

Signature(s) du (des) demandeur(s) ou du mandataire

Nadiya SMITH EPA

Name(n) des (der) Unterzeichneten bitte in Druckschrift wiederholen und bei juristischen Personen auch die Stellung des (der) Unterzeichneten innerhalb der Gesellschaft angeben.

Under signature please print name and, in the case of legal persons, position within the company.

Prière d'indiquer en caractères d'imprimerie le ou les noms des signataires ainsi que, s'il s'agit d'une personne morale, la position occupée au sein de celle-ci par le ou les signataires.

Für Angestellte (Art. 133 (3) EPÜ) mit allgemeiner Vollmacht Nr.:

For employees (Art. 133(3) EPC) with general authorisation No.:

Pour les employés (art. 133(3) CBE) disposant d'un pouvoir général n°:

--

Ort / Datum

Place / Date

Lieu / Date

	Either or both of London/GB needed London, GB	[today]
--	--	---------

Zeichen des Anmelders / Applicant's reference / Référence du demandeur

--

Tabelle zu Feld 6
des Formblatts 1200.3

Table for section 6
of Form 1200.3

Tableau afférent
à la rubrique 6
du formulaire 1200.3

Der Berechnung der Zusatzgebühr zugrunde zu legende Unterlagen (Art. 2, Nr. 1a, GebO):¹ /
Documents on which the calculation of the additional fee is based
(Art. 2, Item 1a, RFees):¹ / Pièces fondant le calcul de la taxe
additionnelle (art. 2, point 1 bis RRT):¹

		Seite(n) von ... bis ... ² Page(s) from ... to ... ² Page(s) ... à ... ²	Anzahl der Seiten ³ Number of pages ³ Nombre de page ³	
Veröffentlichte Fassung der internationalen Anmeldung (mit etwaigen geänderten Ansprüchen nach Art. 19 PCT) / International application as published (with any amended claims under Art. 19 PCT) / Demande internationale telle que publiée (avec les éventuelles revendications modifiées en vertu de l'art. 19 PCT)	Beschreibung / description / description	1-20	20	1
	Ansprüche / claims / revendications			
	Zeichnungen / drawings / dessins	1-6	6	
	Zusammenfassung / abstract / abrégé	24	1	
Gesondert veröffentlichte geänderte Ansprüche nach Art. 19 PCT / Amended claims under Art. 19 PCT, where published separately / Revendications modifiées en vertu de l'art. 19 PCT, si elles ont été publiées séparément				
Änderungen nach Art. 34 PCT / Amendments under Art. 34 PCT / Modifications en vertu de l'art. 34 PCT	Beschreibung / description / description			
	Ansprüche / claims / revendications			
	Zeichnungen / drawings / dessins			
Beim Eintritt in die europäische Phase eingereichte Änderungen / Amendments filed on entry into European phase / Modifications présentées lors de l'entrée dans la phase européenne	Beschreibung / description / description			1
	Ansprüche / claims / revendications	21 - 23	3	
	Zeichnungen / drawings / dessins			
Anzahl der Seiten insgesamt / Total number of pages / Nombre total de pages			30	
Gebührenfreie Seiten (Art. 2 Nr. 1a GebO) / Fee-exempt pages (Art. 2, Item 1a, RFees) / Pages exemptes de taxes (art. 2, point 1 bis RRT)			-35	
Anzahl der gebührenpflichtigen Seiten / Number of pages to be paid for / Nombre de pages soumises au paiement de la taxe				
(x 15 EUR pro Seite) / (x EUR 15 per page) / (x 15 euros par page)				
Zu entrichtender Gesamtbetrag Total amount payable Montant total exigible en euros		EUR		

Fußnoten

- 1 Zu Fällen, in denen die internationale Anmeldung nicht in einer Amtssprache des EPA veröffentlicht wurde, siehe die Mitteilung zur Ergänzung der Mitteilung des Europäischen Patentamts vom 28. Januar 2009 über die Gebührenstruktur 2009 (ABl. EPA 2009, 338).
- 2 In dieser Spalte sind nur die Seiten anzugeben, die der Berechnung der Zusatzgebühr (Art. 2, Nr. 1a GebO) zugrunde zu legen sind. Verbleibende Seiten/Teile der veröffentlichten Fassung der Anmeldung und/oder der gemäß Artikel 19 PCT und/oder Artikel 34 PCT geänderten Anmeldung, die zu ersetzen sind, sind nicht in dieser Spalte anzugeben.
- 3 In dieser Spalte ist nur die Zahl der Seiten anzugeben, die der Berechnung der Zusatzgebühr (Art. 2, Nr. 1a GebO) zugrunde zu legen sind.

Footnotes

For cases where the international application has not been published in an official language of the EPO, see the Notice supplementing the Notice from the European Patent Office dated 28 January 2009 concerning the 2009 fee structure (OJ EPO 2009, 338).

Only those pages to be taken into account for the calculation of the additional fee (Art. 2, item 1a, RFees) shall be indicated in this column. Any remaining pages/parts of the application as published and/or amended under Article 19 PCT and/or Article 34 PCT which are to be replaced shall not be indicated in this column.

Only the number of pages to be taken into account for the calculation of the additional fee (Art. 2, item 1a, RFees) shall be indicated in this column;

Notes de bas de page

Pour les cas à la demande internationale n'a pas été publiée dans une langue officielle de l'OEB, cf. Communiqué complétant le communiqué de l'Office européen des brevets, en date du 28 janvier 2009, relatif à la structure des taxes 2009 (JO OEB 2009, 338).

Il convient de n'indiquer dans cette colonne que les pages devant être prises en considération pour le calcul de la taxe additionnelle (art. 2, point 1bis RRT). Si la demande telle que publiée et/ou modifiée au titre de l'article 19 PCT et/ou de l'article 34 PCT contient d'autres pages/parties qui doivent être remplacées, prière de ne pas mentionner les pages/parties en question dans cette colonne.

Il convient de n'indiquer dans cette colonne que le nombre de pages devant être prises en considération pour le calcul de la taxe additionnelle (art. 2, point 1bis RRT).

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.
International Filing Date
Name of receiving Office and "PCT International Application"
Applicant's or agent's file reference <i>(if desired) (12 characters maximum)</i>

Box No. I TITLE OF INVENTION	
Box No. II APPLICANT <input type="checkbox"/> This person is also inventor	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i>	Telephone No.
	Facsimile No.
	Applicant's registration No. with the Office
<div style="border: 1px solid red; padding: 2px; display: inline-block; color: red;">Need country here to gain the mark</div>	
E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address:	
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)</i>	Telephone No.
	Facsimile No.
	Agent's registration No. with the Office
<div style="border: 1px solid red; padding: 2px; display: inline-block; color: red;">Comma not needed in the name</div> <div style="border: 1px solid red; padding: 2px; display: inline-block; color: red; margin-top: 10px;">Country needed here to gain the mark</div>	
E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address:	
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

If none of the following sub-boxes is used, this sheet should not be included in the request.

1

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

Country needed here to get the mark

This person is:

applicant only

applicant and inventor

inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country)* of nationality: _____ State *(that is, country)* of residence: _____

This person is applicant for the purposes of: all designated States the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

This person is:

applicant only

applicant and inventor

inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country)* of nationality: _____ State *(that is, country)* of residence: _____

This person is applicant for the purposes of: all designated States the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

This person is:

applicant only

applicant and inventor

inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country)* of nationality: _____ State *(that is, country)* of residence: _____

This person is applicant for the purposes of: all designated States the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

This person is:

applicant only

applicant and inventor

inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country)* of nationality: _____ State *(that is, country)* of residence: _____

This person is applicant for the purposes of: all designated States the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on another continuation sheet.

Box No. V DESIGNATIONS

The filing of this request **constitutes under Rule 4.9(a) the designation** of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.

However,

- DE Germany **is not designated** for any kind of national protection
- JP Japan **is not designated** for any kind of national protection
- KR Republic of Korea **is not designated** for any kind of national protection

(The check-boxes above may only be used to exclude (irrevocably) the designations concerned if, at the time of filing or subsequently under Rule 26bis.1, the international application contains in Box No. VI a priority claim to an earlier national application filed in the particular State concerned, in order to avoid the ceasing of the effect, under the national law, of this earlier national application.)

Box No. VI PRIORITY CLAIM AND DOCUMENT

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application: regional Office	international application: receiving Office
item (1)				
item (2)				
item (3)				

1

Further priority claims are indicated in the Supplemental Box.

Furnishing the priority document(s):

The **receiving Office** is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application(s) was filed with the receiving Office which, for the purposes of this international application, is the receiving Office) identified above as:

- all items item (1) item (2) item (3) other, see Supplemental Box

The **International Bureau** is requested to obtain from a digital library a certified copy of the earlier application(s) identified above, using, where applicable, the access code(s) indicated below (if the earlier application(s) is available to it from a digital library):

- item (1) access code _____ item (2) access code _____ item (3) access code _____ other, see Supplemental Box

1

Either of these options can be filled in to get the mark. Sub-boxes need to be filled in, main box need not to get the mark.

Incorporation by reference: where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if more than one International Searching Authority is competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA/ _____

Box No. IX CHECK LIST for PAPER filings – this sheet is only to be used when filing an international application on **PAPER**

This international application contains the following:	Number of sheets	This international application is accompanied by the following item(s) (<i>mark the applicable check-boxes below and indicate in right column the number of each item</i>):	Number of items
(a) request form PCT/RO/101 (including any declarations and supplemental sheets) :		1. <input type="checkbox"/> fee calculation sheet :	
(b) description (excluding any sequence listing part of the description, see (f), below) :		2. <input type="checkbox"/> original separate power of attorney :	
(c) claims :		3. <input type="checkbox"/> original general power of attorney :	
(d) abstract :		4. <input type="checkbox"/> copy of general power of attorney; reference number: :	
(e) drawings (if any) :		5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s) :	
(f) sequence listing part of the description (if any) :		6. <input type="checkbox"/> Translation of international application into (<i>language</i>): :	
Total number of sheets :		7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material :	
		8. <input type="checkbox"/> copy in electronic form (Annex C/ST.25 text file) on physical data carrier(s) of the sequence listing, not forming part of the international application, which is furnished only for the purposes of international search under Rule 13ter (<i>type and number of physical data carriers</i>) :	
		9. <input type="checkbox"/> a statement confirming that “the information recorded in electronic form submitted under Rule 13ter is identical to the sequence listing as contained in the international application” as filed on paper :	
		10. <input type="checkbox"/> copy of results of earlier search(es) (Rule 12bis.1(a)) :	
		11. <input type="checkbox"/> other (<i>specify</i>): :	

Figure of the drawings which should accompany the abstract:	Language of filing of the international application:
--	---

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

Name only is sufficient for the mark

For receiving Office use only	
1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

For International Bureau use only

Date of receipt of the record copy by the International Bureau:
