

Introductory Certificate in Patent Administration

Thursday 19 January 2017 14.00 – 16.15

This includes 15 minutes reading time

INSTRUCTIONS TO CANDIDATES

This examination pack comprises:

| | |
|----------------------------|-----------|
| Candidate Cover Sheet | 1 sheet |
| Instructions to Candidates | 1 sheet |
| Question paper | 4 sheets |
| Annex A | 1 sheet |
| Annex B | 1 sheet |
| Annex C | 1 sheet |
| Annex D | 1 sheet |
| Annex E | 1 sheet |
| Resource Pack | 30 sheets |
| A white return envelope | |

1. You have 15 minutes reading time before the start of the examination. During this time you **must not write** on the answer script paper or forms, but you **may annotate** the question paper, calendars and annexes.
2. The invigilator will tell you when you can start writing your answers.
3. You should attempt **all** the questions in this question paper.
4. Start each question (but not each part of each question) on a new sheet of paper.
5. Do not state your name anywhere in the answers.
6. Write clearly, as examiners cannot award marks to answers that cannot be read.
7. You will find the **annexes** (referred to in questions 1, 4, 7 and 8) at the end of the question paper.
8. You will find a **Resource Pack** after the annexes. The Resource Pack has a contents page and contains calendars, office closure dates, and forms.
9. Select and complete the relevant form(s) when instructed by the question.
10. Where a question refers to 'today', assume today's date.
11. Instructions on what to do at the end of the examination are on the Candidate Cover Sheet. Put the Candidate Cover Sheet to one side until the end of the examination.

Question 1

You have been passed an internal memo (Annex A) and a new order letter (Annex B) by Ian Knowitall, a qualified patent attorney.

Using the information provided in the two documents, prepare the appropriate form for a PCT application.

13 marks

Question 2

You file an International patent application in Japanese, claiming priority from a Japanese patent application, for an English applicant at the UK Intellectual Property Office.

What will happen with your application? How will this affect the subsequent processing of the application? Give reasons for your answer.

3 marks

Question 3

A PCT application is filed on 1 May 2015, claiming priority from a US application filed on 26 January 2015. Showing your calculations, what is the latest date for filing the following?

a) A US national phase application.

2 marks

b) A UK national phase application.

3 marks

Total: 5 marks

Question 4

You are employed by EZ IP Limited. The attorney with whom you work has left you the instructions as set out in Annex C.

Please complete the appropriate forms.

14 marks

Question 5

A UK patent application was filed on the 4 July 2012, claiming priority from a US application filed on the 5 July 2011. A first examination report was issued on the 12 July 2015.

- a) **By what date must the patent application be in order for grant? Show your calculations.**
- b) **To extend this date, without having to rely on the discretion of the UKIPO, outline the procedure for doing this, including any deadline. Show your calculations.**

5 marks

Question 6

By when must a request for examination be filed in respect of a UK patent application?

2 marks

Question 7

You receive the communication in Annex D from the UKIPO.

- a) **An extension of time is to be requested. What is the latest date for requesting the initial extension of time? Show your calculations.**

3 marks

- b) **What must also be done by the date calculated in part a)?**

1 mark

Total: 4 marks

Question 8

The Attorney with whom you work, Susan Daly, has left the data sheet instructions in Annex E for filing a European Patent Application.

Please prepare the necessary form using the details provided.

12 marks

Question 9

A European Patent Application was filed on a Form 1001 on 22 April 2016, claiming priority from a UK patent application filed on 24 April 2015. The A2 publication was published on 26 October 2016. The European Search Report (ESR) with a Written Opinion raising objections was issued on 9 November 2016. The A3 publication was published on 7 December 2016.

a) **What are the next actions to be taken to ensure that the application is not deemed withdrawn? Explain your answer.**

3 marks

b) **Calculate the date by which these actions must be taken. Show your calculations.**

2 marks

Total: 5 marks

Question 10

The EPO issues a decision to refuse a patent on 12 December 2016. Your client wishes to appeal the decision.

a) **By when must the Notice of Appeal be filed? Show your calculations.**

2 marks

b) **By when must the Grounds of Appeal be filed? Show your calculations.**

2 marks

Total: 4 marks

Question 11

On 13 December 2016 you receive an Office Action from the EPO dated 7 December 2016 setting a four-month term for response. Your client wishes you to file a response without requesting any extension of time.

What is the due date for the reply? Show your calculations.

3 marks

Question 12

a) For how long do renewal fees need to be paid to the European Patent Office? **1 mark**

b) Where are the renewal fees paid after this point? **1 mark**

Total: 2 marks

Question 13

You file a European Patent Application on 26 October 2016 claiming priority from a Japanese patent application filed on 28 October 2015. The specification is in Japanese.

a) Name any language into which the specification must be translated. **1 mark**

b) What was the initial deadline for filing the translation? Show your calculations. **3 marks**

Total: 4 marks

Question 14

Where can an application for a Community Registered Design be filed? **2 marks**

Question 15

State two main criteria used by a patent office to determine patentability. **2 marks**

Total Marks: 80 marks



Date: 24 December 2016

From: Ian

Case Ref: CUP-018-PCT

Internal Memo

Morning!

We have received the attached letter from Cleanup PLC, a British company, instructing us to file a PCT application from a GB priority.

Please prepare the official forms for me to sign for filing on the last day of the priority period. The application is to be filed using the UK IPO as the Receiving Office. Please make sure that you request that an electronic copy of the priority document is obtained by the IB.

We are not making any amendments to the specification before filing. The specification has been saved into the case folder and is made up of:

45 pages of description

3 pages of claims – 10 claims in total

1 page of abstract

5 sheets of drawings – 5 figures in total. The Figure to accompany the Abstract is Figure 3.

As per normal, the Records team will complete the Fee Calculation Sheet once the application is ready to go.

Let me know if you have any questions. Thanks!

Ian

ICPA 2017: Q1 Annex B

Cleanup PLC
3 North Street
Northville
NO1 8FT

ADVANCE NOTIFICATION BY EMAIL: i.knowitall@pps.com
CONFIRMATION SENT BY COURIER

Ian Knowitall
Picky, Pedantic & Smart LLP
7 Stable Yard Buildings
Chancery Lane
London
EC4B 0QQ

16 December 2016

Re: New PCT Patent Application
Title: Vacuum Cleaning Apparatus
Applicant: Cleanup PLC
Inventor: Ivan Idea, Evan Betterone
Earliest Priority Date: 21 January 2016
Our Ref. No.: VCA-181214-PCT

Dear Ian

Please file a PCT application in relation to the above-noted matter. The application is to be filed claiming priority from GB application number 1612345.6, filed 21 January 2016. An electronic copy of the priority document is available via PDAS using access code C12B.

The inventors are:

Dr Ivan Idea
1 Teddington Lock
Middlesex
TE1 0ZZ
United Kingdom

Mr Evan Betterone
64 High Street
Inventor City
Essex
CM12 8ZA
United Kingdom

Both Dr Idea and Mr Betterone are British citizens.

Please report the filing of the application to us on the day that the application is filed.

Kindly acknowledge receipt of this letter and its enclosures by return.

Yours sincerely

Suzy Young
Technology Manager

ICPA 2017: Q4 Annex C

Dear Administrator

National Phase of International Patent Application PCT/GB2015/0623451

Filing Date: 20 July 2015

International Publication No. W02016/0123456

Publication Date: 29 January 2016

'Paving Slab'

Case Reference: EZ17-001

Please prepare the necessary forms for entering the National Phase in the UK of the above PCT application. This claims priority from UK Patent application GB1423457.7 filed on 23 July 2014.

The specification does not require any amendments at this stage, and, as you may recall, we did not file a Demand. The PCT publication comprises: Description – 15 pages, Claims – 10 claims on 2 pages, Drawings – 4 drawings on 2 pages.

The inventors are as detailed in the PCT application (Lucinda Stone and Carl Pebble, who are both employees of the Applicant below), and we will file the application with a search request and request for substantive examination. The fee sheet is being prepared by the accounts department, who will provide this for filing with the application.

The Applicant is the same as for the PCT application:

Driveways Always Limited
54 Sandy Lane
Greenhaven
Nottingham
NG1 5GR
United Kingdom

Many thanks

Charlie

Charles Bronson, CPA, EPA
EZ IP Limited
42 Freeport Street
Nottingham
NG1 6GR
Email: CBronson@EZ.com



Intellectual
Property
Office

K&K Patents LLP
100 Reef Way
Cardiff CF10 8PR

Patents Directorate

Concept House
Cardiff Road, Newport
South Wales, NP10 8QQ

Direct Line: 01633 814000
E-Mail: john.smith@ipo.gov.uk
Switchboard: 0300 300 2000
Fax: 01633 817777
Minicom: 0300 0200 015

Your Reference: Ref1
Application No: GB1612345.6

7 November 2016

Dear Sirs

Patents Act 1977: Examination Report under section 18(3)

Latest date for reply:

7 March 2017

The International Preliminary Report on Patentability issued on this application in the international phase of the PCT procedure raised certain major substantive issue(s) which you do not appear to have addressed by amendment of the application. I am adopting sections V of that Report as my first examination report. I am deferring my full examination of your application, including updating of the search, until these objections are addressed.

By the above date you should either file amendments to meet these objections or make observations on them. If you do not, the application may be refused.

Online e-filing

You may file such amendments or observations electronically if you wish, using the online patent filing services detailed in <https://www.gov.uk/government/publications/how-to-file-documents-with-the-intellectual-property-office>.

Yours faithfully

John Smith

John Smith
Examiner

Use of E-mail: Please note that e-mail should be used for correspondence only.
Disclaimer: Please note the documents we send you may be subject to copyright.

DATA SHEET – NEW EUROPEAN PATENT APPLICATION

Attorney: Susan Daly
DALY IP LIMITED
5 Brewery Road
Bittems
Suffolk
SN1 5AM
United Kingdom

Tel: +44 1234 654654

Reference Number: DIP/201701

Title: BRIDLE ATTACHMENT

Inventor/Applicant:
Annette Hay (A British Citizen)

Inventor Address:
Horses 4 Us Limited
57 Snaffle Lane
Ambridge
SN1 2AM
United Kingdom

Priority to be Claimed:

GB Patent Application No. 1609654.9, filing date 29 February 2016.
The priority document will be ordered and filed later.

Description: 10 pages
Claims: 5 claims on 1 page
Abstract: 1 page
Drawings: 6 figures on 3 pages

Figure for Abstract: Figure 3

NOTES:

We do not wish to waive our rights to any of the procedures.

No Extension or Validation States required.

No additional copies of documents cited in the European Search Report are required.

The Fees will be filed with the application, but the fee sheet is being prepared by the accounts department.

Application will be filed direct with the EPO.

Introductory Certificate in Patent Administration

Resource Pack

This Resource Pack is supplied for your reference and for you to select and complete relevant forms when instructed by the question.

Contents

1. 2016 Calendar with UK public holidays
2. 2017 Calendar with UK public holidays
3. 2018 Calendar with UK public holidays
4. 2017 EPO Office closing dates
5. PCT Form RO101 Request
6. UK IPO NP1 National processing
7. UK IPO PF9A Request for search
8. UK IPO PF10 Request for substantive examination
9. EPO Form 1001 Request for grant of a European patent

Introductory Certificate in Patent Administration

2017 EPO Office closing dates

Introductory Certificate in Patent Administration

**2016-2018 Calendars with UK public
holidays**

2016 Calendar

| January | | | | | | |
|---------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 53 | | | | | 1 | 2 3 |
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| 3 | 18 | 19 | 20 | 21 | 22 | 23 24 |
| 4 | 25 | 26 | 27 | 28 | 29 | 30 31 |

| February | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| March | | | | | | |
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| 11 | 14 | 15 | 16 | 17 | 18 | 19 20 |
| 12 | 21 | 22 | 23 | 24 | 25 | 26 27 |
| 13 | 28 | 29 | 30 | 31 | | |

| April | | | | | | |
|-------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 13 | | | | | 1 | 2 3 |
| 14 | 4 | 5 | 6 | 7 | 8 | 9 10 |
| 15 | 11 | 12 | 13 | 14 | 15 | 16 17 |
| 16 | 18 | 19 | 20 | 21 | 22 | 23 24 |
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| May | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| 18 | 2 | 3 | 4 | 5 | 6 | 7 8 |
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| 21 | 23 | 24 | 25 | 26 | 27 | 28 29 |
| 22 | 30 | 31 | | | | |

| June | | | | | | |
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| 23 | 6 | 7 | 8 | 9 | 10 | 11 12 |
| 24 | 13 | 14 | 15 | 16 | 17 | 18 19 |
| 25 | 20 | 21 | 22 | 23 | 24 | 25 26 |
| 26 | 27 | 28 | 29 | 30 | | |

| July | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| 27 | 4 | 5 | 6 | 7 | 8 | 9 10 |
| 28 | 11 | 12 | 13 | 14 | 15 | 16 17 |
| 29 | 18 | 19 | 20 | 21 | 22 | 23 24 |
| 30 | 25 | 26 | 27 | 28 | 29 | 30 31 |

| August | | | | | | |
|--------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 31 | 1 | 2 | 3 | 4 | 5 | 6 7 |
| 32 | 8 | 9 | 10 | 11 | 12 | 13 14 |
| 33 | 15 | 16 | 17 | 18 | 19 | 20 21 |
| 34 | 22 | 23 | 24 | 25 | 26 | 27 28 |
| 35 | 29 | 30 | 31 | | | |

| September | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 35 | | | | 1 | 2 | 3 4 |
| 36 | 5 | 6 | 7 | 8 | 9 | 10 11 |
| 37 | 12 | 13 | 14 | 15 | 16 | 17 18 |
| 38 | 19 | 20 | 21 | 22 | 23 | 24 25 |
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| October | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| 40 | 3 | 4 | 5 | 6 | 7 | 8 9 |
| 41 | 10 | 11 | 12 | 13 | 14 | 15 16 |
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| November | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| 46 | 14 | 15 | 16 | 17 | 18 | 19 20 |
| 47 | 21 | 22 | 23 | 24 | 25 | 26 27 |
| 48 | 28 | 29 | 30 | | | |

| December | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 48 | | | | 1 | 2 | 3 4 |
| 49 | 5 | 6 | 7 | 8 | 9 | 10 11 |
| 50 | 12 | 13 | 14 | 15 | 16 | 17 18 |
| 51 | 19 | 20 | 21 | 22 | 23 | 24 25 |
| 52 | 26 | 27 | 28 | 29 | 30 | 31 |

UK Public holidays 2016

1st January New Year's Day

25th March Good Friday

28th March Easter Monday

2nd May Early May Bank Holiday

May 30th: Spring Bank Holiday

29th August August Bank Holiday

26th December Christmas Day

27th December Bank holiday substitute day

2017 Calendar

| January | | | | | | |
|---------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
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UK Public holidays 2017

1st January New Year's Day **2nd January** Bank holiday substitute day **14th April** Good Friday **17th April** Easter Monday **1st May** Early May Bank Holiday
29th May Spring Bank Holiday **28th August** August Bank Holiday, **25th December** Christmas Day **26th December** Boxing Day

2018

| January | | | | | | |
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| July | | | | | | |
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| September | | | | | | |
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| 39 | 24 | 25 | 26 | 27 | 28 | 29 30 |
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| October | | | | | | |
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| WK | Mo | Tu | We | Th | Fr | Sa Su |
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| 42 | 15 | 16 | 17 | 18 | 19 | 20 21 |
| 43 | 22 | 23 | 24 | 25 | 26 | 27 28 |
| 44 | 29 | 30 | 31 | | | |
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| November | | | | | | |
|----------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 44 | | | | 1 | 2 | 3 4 |
| 45 | 5 | 6 | 7 | 8 | 9 | 10 11 |
| 46 | 12 | 13 | 14 | 15 | 16 | 17 18 |
| 47 | 19 | 20 | 21 | 22 | 23 | 24 25 |
| 48 | 26 | 27 | 28 | 29 | 30 | |
| | | | | | | |

| December | | | | | | |
|----------|----|----|----|----|----|-------|
| WK | Mo | Tu | We | Th | Fr | Sa Su |
| 48 | | | | | | 1 2 |
| 49 | 3 | 4 | 5 | 6 | 7 | 8 9 |
| 50 | 10 | 11 | 12 | 13 | 14 | 15 16 |
| 51 | 17 | 18 | 19 | 20 | 21 | 22 23 |
| 52 | 24 | 25 | 26 | 27 | 28 | 29 30 |
| 1 | 31 | | | | | |

UK Public holidays 2018

1st January New Year's Day

30th March Good Friday

2nd April Easter Monday

May 7th Early May Bank Holiday

28th May Spring Bank Holiday

27th August August Bank Holiday

25th December Christmas Day

26th December Boxing Day

Mitteilung des Präsidenten des Europäischen Patentamts vom 21. Juli 2016 über die Tage, an denen die Annahmestellen des EPA im Jahr 2017 geschlossen sind

1. Nach Regel 134 (1) EPÜ erstrecken sich Fristen, die an einem Tag ablaufen, an dem **zumindest eine** Annahmestelle des EPA zur Entgegennahme von Schriftstücken nicht geöffnet ist (geschlossene Tage), auf den nächstfolgenden Tag, an dem **alle** Annahmestellen zur Entgegennahme von Schriftstücken geöffnet sind und an dem gewöhnliche Postsendungen zugestellt werden.

2. Die Annahmestellen des EPA in München, Den Haag und Berlin sind auch 2017 an allen Samstagen und Sonntagen zur Entgegennahme von Schriftstücken nicht geöffnet. Die weiteren geschlossenen Tage des Jahres 2017 werden in der nachfolgenden Übersicht bekannt gegeben.

Notice from the President of the European Patent Office dated 21 July 2016 concerning the days on which EPO filing offices are closed in 2017

1. Under Rule 134(1) EPC, time limits expiring on a day on which **at least one** EPO filing office is not open for receipt of documents (closing days) are extended until the first day thereafter on which **all** the filing offices are open for receipt of documents and on which ordinary mail is delivered.

2. In 2017, as hitherto, the EPO's filing offices in Munich, The Hague and Berlin will be closed for the receipt of documents on every Saturday and Sunday. The other closing days in 2017 are listed below.

Communiqué du Président de l'Office européen des brevets, en date du 21 juillet 2016, relatif aux jours de fermeture des bureaux de réception de l'OEB en 2017

1. Conformément à la règle 134(1) CBE, les délais qui expirent un jour où **l'un au moins** des bureaux de réception de l'OEB n'est pas ouvert pour recevoir le dépôt des pièces (jours de fermeture) sont prorogés jusqu'au premier jour suivant où **tous** les bureaux de réception sont ouverts pour recevoir ce dépôt et où le courrier normal est distribué.

2. En 2017 encore, les bureaux de réception de l'OEB à Munich, à La Haye et à Berlin ne seront ouverts ni le samedi, ni le dimanche pour recevoir le dépôt des pièces. Les autres jours de fermeture au cours de l'année 2017 sont énumérés dans la liste ci-après.

| Tage | Days | Jours | | München Munich Munich | Den Haag The Hague La Haye | Berlin Berlin Berlin |
|---------------------------|---------------------|---------------------------|------------|-----------------------------|----------------------------------|----------------------------|
| Heilige Drei Könige | Epiphany | Épiphanie | 06.01.2017 | ■ | | |
| Karfreitag | Good Friday | Vendredi saint | 14.04.2017 | ■ | ■ | ■ |
| Ostermontag | Easter Monday | Lundi de Pâques | 17.04.2017 | ■ | ■ | ■ |
| Nationalfeiertag | National Holiday | Fête nationale | 27.04.2017 | | ■ | |
| Maifeiertag | Labour Day | Fête du Travail | 01.05.2017 | ■ | ■ | ■ |
| Tag der Befreiung | Liberation Day | Journée de la Libération | 05.05.2017 | | ■ | |
| Christi Himmelfahrt | Ascension Day | Ascension | 25.05.2017 | ■ | ■ | ■ |
| Pfingstmontag | Whit Monday | Lundi de Pentecôte | 05.06.2017 | ■ | ■ | ■ |
| Fronleichnam | Corpus Christi | Fête-Dieu | 15.06.2017 | ■ | | |
| Mariä Himmelfahrt | Assumption Day | Assomption | 15.08.2017 | ■ | | |
| Tag der Deutschen Einheit | Day of German Unity | Jour de l'Unité allemande | 03.10.2017 | ■ | | ■ |
| Allerheiligen | All Saints' Day | Toussaint | 01.11.2017 | ■ | | |
| 1. Weihnachtstag | Christmas Day | Jour de Noël | 25.12.2017 | ■ | ■ | ■ |
| 2. Weihnachtstag | Boxing Day | Lendemain de Noël | 26.12.2017 | ■ | ■ | ■ |
| Brückentag | Bridging day | Pont | 27.12.2017 | ■ | ■ | ■ |
| Brückentag | Bridging day | Pont | 28.12.2017 | ■ | ■ | ■ |
| Brückentag | Bridging day | Pont | 29.12.2017 | ■ | ■ | ■ |

Introductory Certificate in Patent Administration

Do not detach this yellow sheet from the form

PCT Form RO101 Request

| | |
|-------------------------|--|
| Question number | |
| Candidate number | |

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum)

| | |
|---|--|
| Box No. I TITLE OF INVENTION | |
| | |
| Box No. II APPLICANT <input type="checkbox"/> This person is also inventor | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) | Telephone No. |
| | Facsimile No. |
| | Applicant's registration No. with the Office |
| E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address: | |
| State (that is, country) of nationality: | State (that is, country) of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) | |
| <input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet. | |
| Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE | |
| The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input type="checkbox"/> agent <input type="checkbox"/> common representative | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) | Telephone No. |
| | Facsimile No. |
| | Agent's registration No. with the Office |
| E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address: | |
| <input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent. | |

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

If none of the following sub-boxes is used, this sheet should not be included in the request.

| | |
|--|---|
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> |
| Applicant's registration No. with the Office | |

| | |
|---|---|
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
|---|---|

| | | |
|---|--|---|
| This person is applicant for the purposes of: | <input type="checkbox"/> all designated States | <input type="checkbox"/> the States indicated in the Supplemental Box |
|---|--|---|

| | |
|--|---|
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> |
| Applicant's registration No. with the Office | |

| | |
|---|---|
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
|---|---|

| | | |
|---|--|---|
| This person is applicant for the purposes of: | <input type="checkbox"/> all designated States | <input type="checkbox"/> the States indicated in the Supplemental Box |
|---|--|---|

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| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> |
| Applicant's registration No. with the Office | |

| | |
|---|---|
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
|---|---|

| | | |
|---|--|---|
| This person is applicant for the purposes of: | <input type="checkbox"/> all designated States | <input type="checkbox"/> the States indicated in the Supplemental Box |
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| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> |
| Applicant's registration No. with the Office | |

| | |
|---|---|
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
|---|---|

| | | |
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| This person is applicant for the purposes of: | <input type="checkbox"/> all designated States | <input type="checkbox"/> the States indicated in the Supplemental Box |
|---|--|---|

| |
|---|
| <input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet. |
|---|

| Box No. V DESIGNATIONS | | | | |
|--|-------------------------------|---|--|--|
| <p>The filing of this request constitutes under Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</p> <p>However,</p> <p><input type="checkbox"/> DE Germany is not designated for any kind of national protection</p> <p><input type="checkbox"/> JP Japan is not designated for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is not designated for any kind of national protection</p> <p><i>(The check-boxes above may only be used to exclude (irrevocably) the designations concerned if, at the time of filing or subsequently under Rule 26bis.1, the international application contains in Box No. VI a priority claim to an earlier national application filed in the particular State concerned, in order to avoid the ceasing of the effect, under the national law, of this earlier national application.)</i></p> | | | | |
| Box No. VI PRIORITY CLAIM AND DOCUMENT | | | | |
| The priority of the following earlier application(s) is hereby claimed: | | | | |
| Filing date of earlier application <i>(day/month/year)</i> | Number of earlier application | Where earlier application is: | | |
| | | national application: country or Member of WTO | regional application: regional Office | international application: receiving Office |
| item (1) | | | | |
| item (2) | | | | |
| item (3) | | | | |
| <input type="checkbox"/> Further priority claims are indicated in the Supplemental Box. | | | | |
| <p>Furnishing the priority document(s):</p> <p><input type="checkbox"/> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) <i>(only if the earlier application(s) was filed with the receiving Office which, for the purposes of this international application, is the receiving Office)</i> identified above as:</p> <p style="padding-left: 20px;"><input type="checkbox"/> all items <input type="checkbox"/> item (1) <input type="checkbox"/> item (2) <input type="checkbox"/> item (3) <input type="checkbox"/> other, see Supplemental Box</p> <p><input type="checkbox"/> The International Bureau is requested to obtain from a digital library a certified copy of the earlier application(s) identified above, using, where applicable, the access code(s) indicated below <i>(if the earlier application(s) is available to it from a digital library)</i>:</p> <p style="padding-left: 20px;"><input type="checkbox"/> item (1) <input type="checkbox"/> item (2) <input type="checkbox"/> item (3) <input type="checkbox"/> other, see Supplemental Box</p> <p style="padding-left: 20px;">access code _____ access code _____ access code _____</p> | | | | |
| <p>Restore the right of priority: the receiving Office is requested to restore the right of priority for the earlier application(s) identified above or in the Supplemental Box as item(s) (_____). <i>(See also the Notes to Box No. VI; further information must be provided to support a request to restore the right of priority.)</i></p> | | | | |
| <p>Incorporation by reference: where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.</p> | | | | |
| Box No. VII INTERNATIONAL SEARCHING AUTHORITY | | | | |
| <p>Choice of International Searching Authority (ISA) <i>(if more than one International Searching Authority is competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</i></p> <p>ISA/ _____</p> | | | | |

Box No. IX CHECK LIST for PAPER filings – this sheet is only to be used when filing an international application on **PAPER**

| This international application contains the following: | Number of sheets | This international application is accompanied by the following item(s) (<i>mark the applicable check-boxes below and indicate in right column the number of each item</i>): | Number of items |
|--|------------------|---|-----------------|
| (a) request form PCT/RO/101 (including any declarations and supplemental sheets) : | | 1. <input type="checkbox"/> fee calculation sheet : | |
| (b) description (excluding any sequence listing part of the description, see (f), below) : | | 2. <input type="checkbox"/> original separate power of attorney : | |
| (c) claims : | | 3. <input type="checkbox"/> original general power of attorney : | |
| (d) abstract : | | 4. <input type="checkbox"/> copy of general power of attorney; reference number: : | |
| (e) drawings (if any) : | | 5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s) : | |
| (f) sequence listing part of the description (if any) : | | 6. <input type="checkbox"/> Translation of international application into (<i>language</i>): : | |
| Total number of sheets : | | 7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material : | |
| | | 8. <input type="checkbox"/> (<i>only where item (f) is marked in the left column</i>) copy in electronic form (Annex C/ST.25 text file) on physical data carrier(s) of the sequence listing, not forming part of the international application, which is furnished only for the purposes of international search under Rule 13ter (<i>type and number of physical data carriers</i>) : | |
| | | 9. <input type="checkbox"/> (<i>only where item (f) (in the left column) and item 8 (above) are marked</i>) a statement confirming that “the information recorded in electronic form submitted under Rule 13ter is identical to the sequence listing as contained in the international application” as filed on paper : | |
| | | 10. <input type="checkbox"/> copy of results of earlier search(es) (Rule 12bis.1(a)) : | |
| | | 11. <input type="checkbox"/> other (<i>specify</i>): : | |
| Figure of the drawings which should accompany the abstract: | | Language of filing of the international application: | |

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

For receiving Office use only

| | |
|---|--|
| 1. Date of actual receipt of the purported international application: | 2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received: |
| 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application: | |
| 4. Date of timely receipt of the required corrections under PCT Article 11(2): | |
| 5. International Searching Authority (if two or more are competent): ISA / | 6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid |

For International Bureau use only

Date of receipt of the record copy by the International Bureau:

Introductory Certificate in Patent Administration

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UK IPO NP1 National processing

| | |
|-------------------------|--|
| Question number | |
| Candidate number | |



Patents Form NP1

Patents Act 1977 (*Rules 66 and 68-70*)

National processing of an international application for a patent (UK)

(See the notes on the back of this form)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

| 2. International phase details | International application number | International filing date <i>(day/month/year)</i> | Earliest priority date <i>(day/month/year)</i> |
|--------------------------------|----------------------------------|--|---|
|--------------------------------|----------------------------------|--|---|

3. UK Patent application number
(The Office will fill in this part)

4. Full name, address and postcode of the
or of each applicant
(underline all surnames)

Patents ADP number *(if you know it)*

5. Name of your agent *(if you have one)*

“Address for service” (including postcode) in the
European Economic Area or Channel Islands to
which all correspondence should be sent.

Patents ADP number *(if you know it)*

6. Are you requesting publication of a translation
filed under Section 89A(3) or (5)?
(Answer “Yes” if this applies, and see note h)

7. a) Do you wish to enter the national phase
early (i.e. before 31 months from the priority
date)? *(Answer “Yes” if this applies)*

b) If so, has the demand been filed and on what date?

8. State which of the following Documents you are filing with this (Answer by writing (a) – (j) as appropriate)

- (a) Request for search
(Patents Form 9A; Rules 22(2), 27(1) and 68(3))
- (b) Request for substantive examination
(Patents Form 10; Rules 28(1), 28(2) and 68(4))
- (c) Statement of inventorship
(Patents Form 7; Rules 10 and 68(2))
- (d) Request for late declaration of priority
(Patents Form 3; Rules 6, 7 and 66(3))
- (e) Translation of international application
(Section 89A(3); Rules 67 and 70)
- (f) Translation of information relating to deposit of a biological material *(Rule 69(1))*
- (g) Copy of application under the Patent Cooperation Treaty *(Section 89A(3)(b)(i))*
- (h) Copy of amendment under the Patent Cooperation Treaty *(Section 89A(5)(b)(i))*
- (i) Translation of amendment under the Patent Cooperation Treaty
(Section 89A(5); Rules 69 and 70)
- (j) Any other documents
(please specify)

9. Signature Date

10. Name, email address, telephone, fax and / or mobile number, if any, of a contact point for the applicant

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.*
- b) *You may use this form if you want the office to begin the national phase of an international application. The national phase cannot begin until you have paid the fee for national processing (see note (h) below) and filed any necessary documents mentioned at (d), (e) and (f), at part 8 above.*
- c) *Do not use this form if you want the international application to be treated as an application under the Act, in accordance with section 89(3) or (5), when it, or the designation of the United Kingdom in it, has been deemed withdrawn, or when it has been refused a filing date under the Treaty. In these circumstances you should apply in writing to the office within 2 months of the notification mentioned in PCT Rule 51. You should include a statement of the facts on which you rely together with the fee which is payable (see rule 71). Within the same period of 2 months you should ask the International Bureau to send copies of the documents on file to the office (PCT Article 25.1).*
- d) *Although you may have an address for service in the Channel Islands, any agent you appoint to act for you must reside in or have a place of business in the European Economic Area or Isle of Man.*
- e) *Write your answers in capital letters using black ink or you may type them.*
- f) *If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s) of the form. Any continuation sheet should be attached to this form and have "NP1 continuation sheet" and the international filing number as a heading.*
- g) *Once you have filled in the form remember to sign and date it.*
- h) *A fee is payable upon entering the national phase. For details of that fee, and fees due in respect of requests made under part 6 and parts 8(a) and (b) above, and ways to pay, please contact the Office.*

Introductory Certificate in Patent Administration

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UK IPO PF9A Request for search

| | |
|-------------------------|--|
| Question number | |
| Candidate number | |



Intellectual Property Office

Patents Form 9A

Patents Act 1977 (Rule 27)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for search

(See the notes on the back of this form)

1 Your reference (optional):

2 Patent application number (e.g. GB7654321.0):

3 Full name of the applicant
or of each applicant:

4 Is this request for (please tick one box):

- a) A search under Section 17(1) for an international application which has been searched in the international phase? *(See note (g))*
- b) A search under Section 17(1) for any other application?
- c) A supplementary search under Section 17(8)?
- d) A search of a further invention under Section 17(6)?

(See note (c) for help choosing which search you require)

If your answer is (d), identify which invention you would like to be searched by referring to the claims to be searched
(See note (d)).

5 If you wish to opt in to receive a paper copy of any patent document cited in the search report please tick the box.
(we will automatically send you a paper copy of any cited non-patent document see note (e))

6 Are you paying the application fee with this form? YES NO
(See note (f))

7 *(please see note (h))*

Signature

Date

8 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Introductory Certificate in Patent Administration

Do not detach this yellow sheet from the form

**UK IPO PF10 Request for
substantive examination**

| | |
|-------------------------|--|
| Question number | |
| Candidate number | |



Patents Form 10

Patents Act 1977(*Rule 28*)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for a substantive examination

(See the notes on the back of this form)

1 Your reference (optional):

2 Patent application number (e.g. GB7654321.0):

3 Full name of the applicant or of each applicant

4 *(please see notes (c) and (d))* I/We request substantive examination of this application.

Signature

Date

5 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Introductory Certificate in Patent Administration

Do not detach this yellow sheet from the form

**EPO Form 1001 Request for
grant of a European patent**

| | |
|-------------------------|--|
| Question number | |
| Candidate number | |



Antrag auf Erteilung eines europäischen Patents Request for grant of a European patent Requête en délivrance d'un brevet européen

- Nachreichung von Form 1001 zu einer früher eingereichten Anmeldung nach Regel 40 (1) vom
Form 1001 filed further to a previous application under Rule 40(1) on
Dépôt du formulaire 1001 pour une demande déposée antérieurement au titre de la règle 40(1) en date du
- Bestätigung einer bereits durch Fax eingereichten Anmeldung vom bei
Confirmation of an application already filed by fax on with
Confirmation d'une demande déjà déposée par téléfax le auprès de

| Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration | |
|--|-----------------------------------|
| 1 Anmeldenummer / Application No. / N° de la demande | <input type="text" value="MKEY"/> |
| 2 Tag des Eingangs (Regel 35 (2)) / Date of receipt (Rule 35(2)) / Date de réception (règle 35(2)) | <input type="text" value="DREC"/> |
| 3 Tag des Eingangs beim EPA (Regel 35 (4)) / Date of receipt at EPO (Rule 35(4)) / Date de réception à l'OEB (règle 35(4)) | <input type="text" value="RENA"/> |
| 4 Anmeldetag / Date of filing / Date de dépôt | |

- 5 Es wird die Erteilung eines europäischen Patents und gemäß Artikel 94 die Prüfung der Anmeldung beantragt. /
Grant of a European patent, and examination of the application under Article 94, are hereby requested. /
Il est demandé la délivrance d'un brevet européen et, conformément à l'article 94, l'examen de la demande.
- Prüfungsantrag in einer zugelassenen Nichtamtssprache /
Request for examination in an admissible non-EPO language /
Requête en examen dans une langue non officielle autorisée*

- 5.1 Der Anmelder verzichtet auf die Aufforderung nach Regel 70 (2), zu erklären, ob die Anmeldung aufrechterhalten wird. /
The applicant waives his right to be asked whether he wishes to proceed further with the application (Rule 70(2)). /
Le demandeur renonce à être invité, conformément à la règle 70(2), à déclarer s'il souhaite maintenir sa demande.

- 6 Zeichen des Anmelders oder Vertreters (max. 15 Positionen) /
Applicant's or representative's reference (max. 15 keystrokes) /
Référence du demandeur ou du mandataire (max. 15 caractères ou espaces)

Anmelder / Applicant / Demandeur

- 7 Name /
Nom
- 8 Anschrift /
Address /
Adresse

- 9 Zustellanschrift /
Address for correspondence /
Adresse pour la correspondance

| | | | |
|------|----------------------|------|----------------------|
| TRAN | <input type="text"/> | FILL | <input type="text"/> |
|------|----------------------|------|----------------------|

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

10 Staat des Wohnsitzes oder Sitzes /
State of residence or of principal place of business /
Etat du domicile ou du siège

11 Staatsangehörigkeit /
Nationality /
Nationalité

12 Telefon /
Telephone /
Téléphone

13 Fax /
Téléfax

14 Weitere(r) Anmelder auf Zusatzblatt /
Additional applicant(s) on additional sheet /
Autre(s) demandeur(s) sur feuille supplémentaire

14.1 Der/Jeder Anmelder erklärt hiermit, eine Einheit oder eine natürliche Person
nach Regel 6 (4) EPÜ zu sein. /
The/Each applicant hereby declares that he is an entity or a natural person
under Rule 6(4) EPC. /
Le/Chaque demandeur déclare par la présente être une entité ou une personne
physique au sens de la règle 6(4) CBE

FREP

Vertreter / Representative / Mandataire

15 Name / Nom
(Nur **einen** Vertreter oder den Namen des Zusammenschlusses angeben, der in das
Europäische Patentregister einzutragen ist und an den zugestellt wird) /
(Name **only one** representative or association of representatives, to be listed in the
Register of European Patents and to whom communications are to be notified) /
(N'indiquer qu'**un seul** mandataire ou le nom du groupement de mandataires qui sera
inscrit au Registre européen des brevets et auxquelles les significations seront faites)

et al

16 Geschäftsanschrift /
Address of place of business /
Adresse professionnelle

17 Telefon /
Telephone /
Téléphone

18 Fax /
Téléfax

19 Weitere(r) Vertreter auf Zusatzblatt /
Additional representative(s) on additional sheet /
Autre(s) mandataire(s) sur feuille supplémentaire

GENA

Vollmacht / Authorisation / Pouvoir

20 ist beigefügt / is enclosed / joint

21 Allgemeine Vollmacht ist registriert unter Nummer /
General authorisation has been registered under No. /
Un pouvoir général a été enregistré sous le numéro

Erfinder / Inventor / Inventeur

22 Der (die) Anmelder ist (sind) alleinige(r) Erfinder. /
The applicant(s) is (are) the sole inventor(s). /
Le(s) demandeur(s) est (sont) le(s) seul(s) inventeur(s).

23 Erfindernennung in beigefügtem Schriftstück /
Designation of inventor attached /
Voir la désignation de l'inventeur ci-jointe

TIDE

TIEN

TIFR

24 **Bezeichnung der Erfindung / Title of invention /
Titre de l'invention**

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

25 Prioritätserklärung (Regel 52) und Recherchenergebnisse nach Regel 141(1) / Declaration of priority (Rule 52) and search results under Rule 141(1) / Déclaration de priorité (règle 52) et résultats de la recherche conformément à la règle 141(1)

PRIO

Eine Prioritätserklärung wird für die folgenden Anmeldungen abgegeben: /
 A declaration of priority is hereby made for the following applications: /
 Une déclaration de priorité est produite pour les demandes suivantes :

| Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration | | | |
|---|--|--|--|
| 01 | | | |
| 02 | | | |
| 03 | | | |
| 04 | | | |

Die Recherchenergebnisse nach Regel 141(1) sind beigefügt. /
 Search results under Rule 141(1) are attached /
 Les résultats de la recherche selon la règle 141(1) sont joints

Staat / Anmeldetag / Aktenzeichen /
 State / Date of filing / File No. /
 Etat Date de dépôt N° de dépôt

| | | | | |
|----|--|--|--|--------------------------|
| 01 | | | | <input type="checkbox"/> |
| 02 | | | | <input type="checkbox"/> |
| 03 | | | | <input type="checkbox"/> |
| 04 | | | | <input type="checkbox"/> |

- 25.1** Auf einem Zusatzblatt ist angegeben, dass weitere Prioritäten beansprucht werden und die entsprechenden Recherchenergebnisse nach Regel 141(1) beigefügt sind. / Additional declaration(s) of priority and indication(s) of the attachment of corresponding search results (Rule 141(1)) on additional sheet. / Il est indiqué sur une feuille supplémentaire que d'autres priorités sont revendiquées et que les résultats correspondants de la recherche selon la règle 141(1) sont joints.
- 25.2** Diese Anmeldung ist eine vollständige Übersetzung der früheren Anmeldung. / This application is a complete translation of the previous application. / La présente demande est une traduction intégrale de la demande antérieure.
- 25.3** Es ist nicht beabsichtigt, eine (weitere) Prioritätserklärung einzureichen. / It is not intended to file a (further) declaration of priority. / Il n'est pas envisagé de produire une (autre) déclaration de priorité.

01 02 03 04 andere
 other
 autres

26 Bezugnahme auf eine früher eingereichte Anmeldung / Reference to a previously filed application / Renvoi à une demande déposée antérieurement

EAPP

- 26.1** Es wird auf eine früher eingereichte Anmeldung Bezug genommen. Die Bezugnahme **ersetzt** die **Beschreibung und etwaige Zeichnungen** (Regel 40(1)c), (2)). Die Anmeldung, auf die Bezug genommen wird, ist: / Reference is made to a previously filed application. That reference **replaces** the **description and any drawings** (Rule 40(1)(c), (2)). The application to which reference is made is the following: / Il est fait référence à une demande déposée antérieurement. Ce renvoi **remplace** la **description et, le cas échéant, les dessins** (règle 40(1)c), (2)). La demande à laquelle il est fait référence est la suivante :

| Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration | | |
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| | | |

Staat / Anmeldetag / Aktenzeichen /
 State / Date of filing / File No. /
 Etat Date de dépôt N° de dépôt

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- 26.2** Die Bezugnahme auf die früher eingereichte Anmeldung **ersetzt auch** die **Patentansprüche** (Regel 57c)). / The reference to the previously filed application **also replaces** the **claims** (Rule 57(c)). / Le renvoi à la demande déposée antérieurement **remplace également** les **revendications** (règle 57c)).
- 26.3** Eine **beglaubigte Abschrift** der früher eingereichten Anmeldung (Regel 40(3)) / A **certified copy** of the previously filed application (Rule 40(3)) / Une **copie certifiée** conforme de la demande déposée antérieurement (règle 40(3))
- 26.4** Eine **Übersetzung** der früher eingereichten Anmeldung (Regel 40(3)) / A **translation** of the previously filed application (Rule 40(3)) / Une **traduction** de la demande déposée antérieurement (règle 40(3))

ist beigefügt. / is attached. / est jointe. wird nachgereicht. / will be supplied later. / sera produite ultérieurement.

ist beigefügt. / is attached. / est jointe. wird nachgereicht. / will be supplied later. / sera produite ultérieurement.

| |
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| Zeichen des Anmelders / Applicant's reference / Référence du demandeur |
|--|

**32 Verschiedene Anmelder für verschiedene Vertragsstaaten /
Different applicants for different contracting states /
Différents demandeurs pour différents Etats contractants**

APPR02

Name(n) des (der) Anmelder(s) und benannte Vertragsstaaten: /
Name(s) of applicant(s) and designated contracting states: /
Nom(s) du (des) demandeur(s) et des Etats contractants désignés :

**33 Erstreckung/Validierung
Extension/Validation
Extension/Validation**

Diese Anmeldung gilt als Antrag, die europäische Patentanmeldung und das darauf erteilte europäische Patent auf alle Nichtvertragsstaaten des EPÜ zu erstrecken, mit denen am Tag der Einreichung der Anmeldung Erstreckungs- oder Validierungsabkommen in Kraft sind. Der Antrag gilt jedoch als zurückgenommen, wenn die Erstreckungs- bzw. die Validierungsgebühr nicht fristgerecht entrichtet wird. /

This application is deemed to be a request to extend the effects of the European patent application and the European patent granted in respect of it to all non-contracting states to the EPC with which extension or validation agreements are in force on the date on which the application is filed. However, the request is deemed withdrawn if the extension fee or validation fee, whichever is applicable, is not paid within the prescribed time limit. /

La présente demande est réputée constituer une requête en extension des effets de la demande de brevet européen et du brevet européen délivré sur la base de cette demande à tous les Etats non parties à la CBE avec lesquels des accords d'extension ou de validation sont en vigueur à la date du dépôt de la demande. Cette requête est toutefois réputée retirée si la taxe d'extension ou, le cas échéant, la taxe de validation n'est pas acquittée en temps utile.

- 33.1** Es ist beabsichtigt, die Erstreckungsgebühr(en) für die nebenstehend angekreuzten Staaten zu entrichten. /
It is intended to pay the extension fee(s) for the states marked opposite with a cross. /
Il est envisagé de payer la (les) taxe(s) d'extension pour les Etats dont le nom est coché ci-contre.

Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Erstreckungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.

Note: Under the automatic debiting procedure, extension fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.

Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes d'extension, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.

BA Bosnien und Herzegowina /
Bosnia and Herzegovina /
Bosnie-Herzégovine

EXPT

ME Montenegro /
Montenegro /
Monténégro

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(Platz für Staaten, mit denen Erstreckungsabkommen am Anmeldetag der früheren Anmeldung in Kraft waren (Artikel 76 (1)) / (Space for states with which extension agreements existed on the date of filing of the earlier application (Article 76(1)) / (Espace prévu pour des Etats avec lesquels des accords d'extension existaient à la date de dépôt de la demande antérieure (article 76(1))

- 33.2** Es ist beabsichtigt, die Validierungsgebühr(en) für die nebenstehend angekreuzten Staaten zu entrichten. /
It is intended to pay the validation fee(s) for the states marked opposite with a cross. /
Il est envisagé de payer la (les) taxe(s) de validation pour les Etats dont le nom est coché ci-contre.

Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Validierungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.

Note: Under the automatic debiting procedure, validation fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.

Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes de validation, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.

MA Marokko /
Morocco /
Maroc

VAPT

MD Republik Moldau /
Republic of Moldova /
République de Moldavie

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(Platz für Staaten, mit denen Validierungsabkommen nach Drucklegung dieses Formblatts in Kraft treten) / (Space for states with which validation agreements enter into force after this form has been printed) / (Espace prévu pour des Etats avec lesquels des accords de validation entreront en vigueur après l'impression du présent formulaire)

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

**34 Biologisches Material / Biological material /
Matière biologique**

BIOM 1

34.1 Die Erfindung verwendet und/oder bezieht sich auf biologisches Material, das nach Regel 31 hinterlegt worden ist. /
The invention uses and/or relates to biological material deposited under Rule 31. /
L'invention utilise et/ou concerne de la matière biologique déposée conformément à la règle 31.

a Die nach Regel 31 (1) c) erforderlichen Angaben, d. h. die Hinterlegungsstelle und die Eingangsnummer, sind in den technischen Anmeldungsunterlagen enthalten auf /
The information required under Rule 31(1)(c), i.e. depositary institution and accession number, is given in the application's technical documents on /
Les indications visées à la règle 31(1)c), à savoir l'autorité de dépôt et le numéro d'ordre, figurent dans les pièces techniques de la demande à la / aux

Seite(n) / page(s) Zeile(n) / line(s) / ligne(s)

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b Ist die Eingangsnummer am Anmeldetag noch nicht bekannt, so sind die Hinterlegungsstelle und das (die) Bezugszeichen (Nummer, Symbole usw.) des Hinterlegers in den technischen Anmeldungsunterlagen zu entnehmen auf /
If the accession number is not yet known on the date of filing, for the depositary institution and the depositor's identification reference(s) (number, symbols, etc.) see the application's technical documents on /
Si le numéro d'ordre n'est pas encore connu à la date de dépôt, l'autorité de dépôt et la (les) référence(s) d'identification (numéro ou symboles etc.) du déposant figurent dans les pièces techniques de la demande, à la/aux

Seite(n) / page(s) Zeile(n) / line(s) / ligne(s)

| | |
|--|--|
| | |
|--|--|

Die Angaben werden später mitgeteilt /
The information will be submitted later /
Les indications visées seront communiquées ultérieurement

34.2 Die Empfangsbescheinigung(en) der Hinterlegungsstelle /
The receipt(s) of deposit issued by the depositary institution /
Le(s) récépissé(s) de dépôt délivré(s) par l'autorité de dépôt

ist (sind) beigelegt. /
is (are) enclosed. /
est (sont) joint(s). wird (werden) nachgereicht. /
will be filed later. / sera (seront)
produit(s) ultérieurement.

35 Falls das biologische Material nicht vom Anmelder, sondern von einem Dritten hinterlegt wurde /
If the biological material was deposited by a person other than the applicant /
Lorsque la matière biologique a été déposée par une personne autre que le demandeur

Name und Anschrift des Hinterlegers / Name and address of depositor /
Nom et adresse du déposant

| |
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35.1 Ermächtigung nach Regel 31 (1) d) /
Authorisation under Rule 31(1)(d) /
L'autorisation prévue à la règle 31(1)d)

ist beigelegt /
is attached /
est jointe wird nachgereicht /
will be supplied later /
sera produite ultérieurement

36 Verzicht auf die Verpflichtung des Antragstellers nach Regel 33 (2) in gesondertem Schriftstück / Waiver of the right to an undertaking from the requester pursuant to Rule 33(2) attached / Renonciation, sur document distinct, à l'engagement du requérant au titre de la règle 33(2)

37 Gemäß Regel 32 (1) erklärt der Anmelder hiermit, dass der Zugang zu dem in den Feldern 34 und 35 genannten biologischen Material nur durch Herausgabe einer Probe an einen Sachverständigen hergestellt wird. /
The applicant hereby declares under Rule 32(1) that the biological material referred to in Sections 34 and 35 is to be made available only by the issue of a sample to an expert. /
Conformément à la règle 32(1), le demandeur déclare par la présente que l'accessibilité à la matière biologique mentionnée aux rubriques 34 et 35 ne peut être réalisée que par la remise d'un échantillon à un expert.

BIOM 3

**38 Nucleotid- und Aminosäuresequenzen /
Nucleotide and amino acid sequences /
Séquences de nucléotides et d'acides aminés**

SEQL 1

38.1 Die Beschreibung enthält ein Sequenzprotokoll nach Regel 30 (1). /
The description contains a sequence listing in accordance with Rule 30(1). /
La description contient un listage de séquences conformément à la règle 30(1).

38.2 Das Sequenzprotokoll wird in elektronischer Form eingereicht. /
The sequence listing is filed in electronic form. /
Le listage de séquences est déposé sous forme électronique.

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

38.3 Es wird beantragt, eine Kopie des für die in Punkt 27 benannte frühere Anmeldung eingereichten standardkonformen Sequenzprotokolls in elektronischer Form nur für die Zwecke der Recherche (d. h. nicht als Teil der Beschreibung) in die Akte der europäischen Patentanmeldung aufzunehmen.
 Hiermit wird erklärt, dass das Sequenzprotokoll nicht über den Inhalt der Teilanmeldung in der ursprünglich eingereichten Fassung hinausgeht. /
 The Office is requested to add to the dossier on the European patent application, in electronic form and for search purposes only (i.e. not as part of the description), a copy of the Standard-compliant sequence listing filed for the earlier application mentioned in Section 27.
 It is hereby declared that the sequence listing does not extend beyond the content of the divisional application as originally filed. /
 Il est demandé qu'une copie du listage de séquences conforme à la norme, déposé sous forme électronique pour la demande antérieure mentionnée à la rubrique 27, soit versée au dossier de la demande de brevet européen, aux seules fins de la recherche (le listage de séquences ne faisant dès lors pas partie de la description).
 Il est certifié par la présente que le listage de séquences ne s'étend pas au-delà du contenu de la demande divisionnaire telle qu'elle a été déposée.

38.4 Das Sequenzprotokoll wird auch auf Papier eingereicht. /
 The sequence listing is also filed on paper. /
 Le listage de séquences est aussi déposé sur papier.

38.5 Soweit das Sequenzprotokoll auch auf Papier eingereicht wird, erklärt der Anmelder hiermit, dass die Sequenzprotokolle in elektronischer Form und auf Papier identisch sind. /
 If the sequence listing is also filed on paper, the applicant hereby states that the sequence listings in electronic form and on paper are identical. /
 Si le listage de séquences est aussi déposé sur papier, il est déclaré par la présente que le listage sous forme électronique et celui sur papier sont identiques.

**Sonstige Angaben / Further indications /
 Indications supplémentaires**

39 Zusätzliche Abschriften der im europäischen Recherchenbericht angeführten Schriftstücke werden beantragt. /
 Additional copies of the documents cited in the European search report are requested. /
 Prière de fournir des copies supplémentaires des documents cités dans le rapport de recherche européenne.

Anzahl der **zusätzlichen** Sätze von Abschriften /
 Number of **additional** sets of copies /
 Nombre de jeux **supplémentaires** de copies

ASOC

40 Die Rückerstattung der Recherchegebühr gemäß Artikel 9 (2) Gebührenordnung wird beantragt. / Refund of the search fee under Article 9(2) of the Rules relating to Fees is requested. / Le remboursement de la taxe de recherche est demandé en vertu de l'article 9(2) du règlement relatif aux taxes.

41 gestrichen / deleted / supprimé

**42 Automatischer Abbuchungsauftrag /
 Automatic debit order /
 Ordre de prélèvement automatique**

(nur möglich für Inhaber von beim EPA geführten laufenden Konten) /
 (for EPO deposit account holders only) /
 (possibilité offerte uniquement aux titulaires de comptes courants ouverts auprès de l'OEB)

Das EPA wird hiermit beauftragt, fällig werdende Gebühren und Auslagen nach Maßgabe der Vorschriften über das automatische Abbuchungsverfahren vom nebenstehenden laufenden Konto abzubuchen. /
 The EPO is hereby authorised, under the Arrangements for the automatic debiting procedure, to debit from the deposit account opposite any fees and costs falling due. /
 Par la présente, il est demandé à l'OEB de prélever du compte courant ci-contre les taxes et frais venant à échéance, conformément à la réglementation relative à la procédure de prélèvement automatique.

Nummer des laufenden Kontos / Deposit account number /
 Numéro du compte courant

Name des Kontoinhabers / Account holder's name /
 Nom du titulaire du compte

DECA

43 Etwaige Rückzahlungen sollen auf das nebenstehende beim EPA geführte laufende Konto erfolgen. /
 Any **refunds** should be made to the EPO deposit account opposite. /
 Les **remboursements** éventuels doivent être effectués sur le compte courant ci-contre ouvert auprès de l'OEB.

Nummer des laufenden Kontos /
 Deposit account number / Numéro du compte courant

DEPA

Name des Kontoinhabers / Account holder's name /
 Nom du titulaire du compte

Zeichen des Anmelders /
 Applicant's reference /
 Référence du demandeur

44 Die vorgeschriebene Liste über die diesem Antrag beigefügten Unterlagen ergibt sich aus der vorbereiteten Empfangsbescheinigung (Seite 8 dieses Antrags). / The prescribed list of documents enclosed with this request is shown on the prepared receipt (page 8 of this request). / La liste prescrite des documents joints à la présente requête figure sur le récépissé préétabli (page 8 de la présente requête).



45 Für Angestellte nach Artikel 133 (3) Satz 1 mit allgemeiner Vollmacht / For employees under Article 133(3), first sentence, having a general authorisation / Pour les employés mentionnés à l'article 133(3), 1^{ère} phrase, munis d'un pouvoir général

Nummer / Number / Numéro

46 **Unterschrift(en) des (der) Anmelder(s) oder Vertreter(s)**
Name des (der) Unterzeichneten bitte in Druckschrift wiederholen und bei juristischen Personen die Stellung des (der) Unterzeichneten innerhalb der Gesellschaft angeben. /

Ort / Place / Lieu

Signature(s) of applicant(s) or representative(s)

Under signature please print name and, in the case of legal persons, position within the company. /

Datum / Date

Signature(s) du (des) demandeur(s) ou du (des) mandataire(s)

Prière d'indiquer en caractères d'imprimerie le ou les noms des signataires ainsi que, s'il s'agit d'une personne morale, la position occupée au sein de celle-ci par le ou les signataires.

Unterschrift(en) / Signature(s)



Europäisches Patentamt
European Patent Office
Office européen des brevets

Empfangsbescheinigung Receipt for documents Récépissé de documents

Liste der diesem Antrag beigefügten Unterlagen – Hiermit wird der Empfang der unten bezeichneten Dokumente bescheinigt. Wird im Falle der Einreichung der europäischen Patentanmeldung bei einer nationalen Behörde diese Empfangsbescheinigung vom Europäischen Patentamt übersandt, so ist sie als Mitteilung gemäß Regel 35 (4) anzusehen (siehe Feld RENA).

Checklist of enclosed documents – Receipt of the documents indicated below is hereby acknowledged. If this receipt is issued by the European Patent Office and the European patent application was filed with a national authority, it serves as a communication under Rule 35(4) (see Section RENA).

Liste des documents annexés à la présente requête – Nous attestons le dépôt des documents désignés ci-dessous. Si, en cas de dépôt de la demande de brevet européen auprès d'un service national, l'Office européen des brevets délivre le présent récépissé de documents, ce récépissé est réputé être la notification visée à la règle 35(4) (cf. rubrique RENA).

Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration

Amtsstempel / Official stamp / Cachet officiel

| | |
|--|------|
| Tag des Eingangs (Regel 35 (2)) / Date of receipt (Rule 35(2)) / Date de réception (règle 35(2)) | DREC |
| Anmeldenummer für den Schriftverkehr mit dem EPA; Aktenzeichen für Prioritäts-erklärungen / Application No. to be used in correspondence with the EPO; file No. to be used for priority declarations / N° de la demande à utiliser dans la correspondance avec l'OEB; n° de dépôt à utiliser pour la déclaration de priorité | |
| Tag des Eingangs beim EPA (Regel 35 (4)) / Date of receipt at EPO (Rule 35(4)) / Date de réception à l'OEB (règle 35(4)) | RENA |

47 A. Anmeldungsunterlagen und Prioritätsbeleg(e) / Application and priority documents / Pièces de la demande et document(s) de priorité

- Beschreibung (ohne Sequenzprotokollteil) / Description (excluding sequence listing part) / Description (sauf partie réservée au listage des séquences)
- Patentansprüche / Claims / Revendications
- Zeichnung(en) / Drawing(s) / Dessin(s)
- Sequenzprotokollteil der Beschreibung / Sequence listing part of description / Partie de la description réservée au listage des séquences
- Zusammenfassung / Abstract / Abrégé
- Früher eingereichte Anmeldung / Previously filed application / Demande déposée antérieurement
- Übersetzung der Anmeldungsunterlagen / Translation of the application documents / Traduction des pièces de la demande
- Übersetzung der früher eingereichten Anmeldung / Translation of the previously filed application / Traduction de la demande déposée antérieurement
- Prioritätsbeleg(e) / Priority document(s) / Document(s) de priorité
- Übersetzung des (der) Prioritätsbelegs/(belege) / Translation of priority document(s) / Traduction du (des) document(s) de priorité

Blattzahl* /
Number of sheets* /
Nombre de feuilles*

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| <input type="checkbox"/> | <input type="text"/> |
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| <input type="checkbox"/> | <input type="text"/> |

Gesamtzahl der Abbildungen* /
Total number of figures* /
Nombre total de figures*

* Die Richtigkeit der Blattzahl und der Gesamtzahl der Abbildungen wurde bei Eingang nicht geprüft. /
* No check was made on receipt that the number of sheets and the total number of figures indicated were correct. /
* L'exactitude du nombre de feuilles et du nombre total de figures n'a pas été contrôlée lors du dépôt.

Anzahl / Number / Nombre*

48 B. Der Anmeldung in der eingereichten Fassung liegen folgende Unterlagen bei: / This application as filed is accompanied by the items below: / Les pièces ci-après sont annexées à la présente demande :

- Vollmacht / Authorisation / Pouvoir
- Allgemeine Vollmacht / General authorisation / Pouvoir général
- Erfindernennung / Designation of inventor / Désignation de l'inventeur
- Recherchenergebnisse nach Regel 141 (1) / Search results under Rule 141(1) / Résultats de la recherche conformément à la règle 141(1)
- Gebührenzahlungsvordruck (EPA Form 1010) / Voucher for the settlement of fees (EPO Form 1010) / Bordereau de règlement de taxes (OEB Form 1010)
- Elektronischer Datenträger für Sequenzprotokoll / Electronic data carrier for sequence listing / Support électronique de données pour listage des séquences
- Zusatzblatt / Additional sheet / Feuille supplémentaire
- Sonstige Unterlagen (bitte hier spezifizieren) / Other documents (please specify here) / Autres documents (veuillez préciser)

AREF

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

49 C. Exemplare dieser Empfangsbescheinigung (bitte zutreffende Zahl ankreuzen) / Copies of this receipt for documents (please mark appropriate number with a cross) / Exemplaires du présent récépissé de documents (veuillez cocher le chiffre correspondant)

- 3 Einreichung direkt beim EPA / Direct filing with the EPO / Dépôt direct auprès de l'OEB
- 4 Einreichung bei einer nationalen Behörde / Filing with a national authority / Dépôt auprès d'un service national