

Ticks and crosses acceptable

Any reasonable naming convention accepted except where directed by the form instructions

Question 1

List three parts of a patent specification.

3 marks

Answer

1 mark for each (up to a maximum of 3; only first three answers to be marked)

Description

Claims

Abstract

Drawings

Introduction / background

Statement of invention

Description of drawings

Summary of drawings references

Total: 3 marks

Question 2

Dear Administrator

New UK Patent Application: “Programmable Contact Lens”

Our Ref: 2018-15

Please prepare the necessary forms for filing a new GB patent application. Please note the following.

- There is no priority to be claimed.
- We wish to request a search, but no examination at this stage.
- Please request paper copies of any patent citations.
- Our Accounts Department will prepare the fee sheet for filing together with the application.
- The application fee is to be paid with the search request.

The specification comprises:

Description	24 pages
Claims	15 claims on 4 pages
Drawings	8 drawings on 4 pages
Abstract	1 page

The inventors have not yet been finalised.

LIVE 2018 ICPA FINAL Mark Scheme

The Applicant is:

Eye Spy Limited
4 Peephole Lane
Sussex
RH11 1HR
United Kingdom

I will be the attorney responsible for this case. Please name me as the agent on the form.

Many thanks, Annie

Ann Grabbitt, CPA, EPA
Grabbitt & Leggit Limited
44 Hedge Lane
Sussex
RH11 5HR
Email: AGrabbitt@Grab.com

15 marks

Answer

(Answer on Forms PF1 and 9A) PF1: 9 marks; PF9A: 6 marks

Total: 15 marks

Question 3

A GB patent application was filed on 15 September 2017 and claimed priority from a previous GB patent application filed on 15 March 2017.

What is the date by which the Statement of Inventorship needs to be filed? Show your calculations.

3 marks

Answer

[If candidate shows knowledge of the deadline being 16 months from the earliest priority date, but shows no (or incorrect) calculations: award a maximum of 1 mark.]

15 March 2017 + 16 months

1 mark

= 15 July 2018

1 mark

15 July 2018 is a Sunday, so deadline is 16 July 2018

1 mark

Total: 3 marks

Question 4

A GB patent application was filed on 15 January 2018 with very rough hand-drawn figures. The application does not claim any priority.

By what date must the formal drawings be filed? Show your calculations.

2 marks

Answer

[If candidate shows knowledge of the deadline being 15 months from the filing date (in this instance), but shows no (or incorrect) calculations: award a maximum of 1 mark.]

15 January 2018 + 15 months

1 mark

= 15 April 2019

1 mark

Total: 2 marks

Question 5

List four requirements to obtain a filing date for an international application as a first filing.

4 marks

Answer

1 mark for each (up to a maximum of 4; only first four answers to be marked)

the applicant has the right to file an international application (is a resident or national of a PCT contracting state)

1 mark

an indication that the filing is intended as an international application

1 mark

the designation of at least one (Contracting)State

1 mark

the name of the applicant (or details of the applicant)

1 mark

something which at least appears to be a description

1 mark

something which at least appears to be a claim (or claims)

1 mark

If candidate answers 'Specification' and does not specify individual components award 1 mark

[Note "file drawings" is not included. A filing date will be obtained if no drawings are filed (even if they should have been).]

Total: 4 marks

Question 6

You work as a patent administrator for Robert Max, a patent attorney at IP firm Max Power LLP. Robert sends you the email shown in Annex A. Robert's email refers to a client letter, which is shown in Annex B.

Prepare the necessary application form.

11 marks

Answer on PCT/RO/101 form

Total: 11 marks

Question 7

A PCT application was filed on 4 May 2016 claiming priority from a JP application filed on 7 June 2015.

What is the latest date for filing an EP Regional Phase application? Show your calculations.

3 marks

Answer

[If candidate shows knowledge of the deadline being 31 months from the earliest priority date, but shows no (or incorrect) calculations: award a maximum of 1 mark.]

7 June 2015 + 31 months

1 mark

= 7 January 2018

1 mark

7 January 2018 is a Sunday, so deadline is 8 January 2018

1 mark

Total: 3 marks

LIVE 2018 ICPA FINAL Mark Scheme

Question 8

You are to file a divisional patent application at the EPO, based on pending application EP12123456.7, which was a first filing. You receive the following instructions from your supervisor:

We're going to file this divisional application using the parent specification for now (i.e. 10 pages of the description, 15 claims over 2 pages, 5 figures on 5 pages, and a one-page abstract. The title will be the same as on the parent, i.e. "Bottle", but with new reference EP-10-A. Figure 2 is to be published with the abstract.

The applicant, which is a UK company, is:

Fresh'n'Handy Limited
5 Cheshire Gardens
WX3 4RF
UK

We've already prepared the designation of inventor, which we'll file as part of the application. As with the parent, this application is to designate only the Contracting States.

This application is to name our association (Brown and Partners LLP, 2 Mews Avenue, London, WC2P 4DF, UK) as representative, but I'll sign the form.

We'll file the application with a fee voucher, which I'll ask the finance department to prepare. Please specify our usual deposit account for refunds: account number 12340001, which is in the firm's name.

Thanks, Trevor Brown

Prepare EPO form 1001 accordingly.

14 marks

Answer

Answer as on completed EPO form 1001

Total: 14 marks

Question 9

Your client wishes to oppose a European Patent granted on 4 October 2017.

By what date must the Notice of Opposition be filed? Show your calculations.

2 marks

Answer

[If candidate shows knowledge of the deadline being 9 months from the date of grant, but shows no (or incorrect) calculations: award a maximum of 1 mark.]

4 October 2017 + 9 months

1 mark

= 4 July 2018

1 mark

Total: 2 marks

Question 10

The EPO issues a communication under Rule 71(3) EPC indicating that an application is in order for allowance. The application is in English and all relevant claims fees have been paid. The applicant approves the text and bibliographic data.

What actions must be taken in response to the communication for the application to proceed to grant?

2 marks

Answer

File French and German translations of the claims (both languages must be specified) 1 mark

Pay 'Grant' (or issue) fee or 'Grant and Printing fees'. Do not accept 'Printing fee' alone.

1 mark

[No mark awarded for just 'approving text for grant' or similar. Ignore any such additional part of an answer given, i.e. candidates may still gain both marks if the points above are mentioned.]

Total: 2 marks

Question 11

A European patent application was filed on 13 January 2014. The “Decision to Grant” (form EP2006) is issued today advising that mention of the grant will be published in the European Patent Bulletin on 7 February 2018.

- a) **What is the final date for payment of the 2018 renewal fee, without paying an additional fee? Show your calculations.** **2 marks**
- b) **To which office must the fee be paid? Give reasons for your answer.** **2 marks**

Total: 4 marks

Answer

- a) *The renewal fee is due on the last day of the month containing the filing anniversary* *1 mark*
31 January 2018 *1 mark*
- b) *The fee must be paid to the EPO ...* *1 mark*
... because the application is still pending (or not yet granted) on the due date *1 mark*
- Total: 4 marks**

LIVE 2018 ICPA FINAL Mark Scheme

Question 12

The examination report shown in Annex C was received by your office on 1 September 2017.

- a) **What is the deadline for responding to the examination report? Show your calculations.**

3 marks

- b) **If the deadline is extended, what is the new deadline for responding to the examination report?**

2 marks

Total: 5 marks

Answer

- a) *28 August 2017 + 10 days = 7 September 2017*

1 mark

+ 4 months = 7 January 2018

1 mark

Sunday, so 8 January 2018

1 mark

If candidate has demonstrated they know both calculations i.e. add 10 days then 4 months [even if they start at or arrive at the wrong date] award one mark

- b) *28 August 2017 + 10 days = 7 September 2017*

1 mark

+ 6 months = 7 March 2018

1 mark

If candidate has demonstrated they know both calculations, 10 days then six months [even if they start at or arrive at the wrong date] award one mark

Total: 5 marks

Question 13

A European patent application was filed on 7 March 2016, paying the filing and search fees. The A2 publication was published on 13 September 2017. The A3 publication including a negative opinion was published on 20 December 2017.

- a) **What actions should be taken to ensure that the application is not deemed withdrawn?**

3 marks

- b) **Calculate the date by which these actions must be taken. Show your calculations.**

2 marks

Total: 5 marks

Answer

- a) *Pay the designation fee*

1 mark

(Request examination and) pay the examination fee

1 mark

Respond to the opinion or file a response or file amendments

1 mark

- b) *[If candidate shows knowledge of the deadline being 6 months from the date of publication of the A3 document, but shows no (or incorrect) calculations: award a maximum of 1 mark.]*

20 December 2017 + 6 months

1 mark

= 20 June 2018

1 mark

Total: 5 marks

Question 14

What are the minimum requirements to obtain a filing date for a UK registered design application?

3 marks

Answer

Provide applicant details (sufficient to identify the applicant – name alone not sufficient) 1 mark

Provide one or more representation of the design 1 mark

Pay the registration fee or filing fee 1 mark

Total: 3 marks

Question 15

You filed an application for a UK registered design on 9 October 2013. The design was registered on 10 December 2013.

a) When is the first renewal fee due? Show your calculations.

2 marks

b) What is the latest date you can pay the first renewal fee without surcharge?

2 marks

Total: 4 marks

Answer

a) *[If candidate shows knowledge of the first renewal fee being due on the fifth anniversary of the filing date, but shows no (or incorrect) calculations: award a maximum of 1 mark.]*

9 October 2013 + 5 years 1 mark

9 October 2018 1 mark

b) *[If candidate shows knowledge of the first month extension being free, but shows no (or incorrect) calculations: award a maximum of 1 mark.]*

First month extension is free, so you have one extra month:

9 Oct 2018 + 1 month 1 mark

9 Nov 2018 1 mark

Total: 4 marks

Total Marks: 80 marks



Patents Form 1

Patents Act 1977 (*Rule 12*)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for grant of a patent

(An explanatory leaflet on how to fill in this form is available from the office)

Application number GB

1	Your reference: <i>(optional)</i>	2018-15	1	
2	Full name, address and postcode of the applicant or of each applicant (<i>underline all surnames</i>): The name(s) and address(es) provided here will be published as part of the application process (see warning note below) Patents ADP number (<i>if you know it</i>):	Eye Spy Limited 4 Peephole Lane Sussex RH11 1HR [United Kingdom] - Not required	1	
3	Title of the invention:	PROGRAMMABLE CONTACT LENS	1	
4	Name of your agent (<i>if you have one</i>): "Address for service" to which all correspondence should be sent (<i>including postcode</i>). This may be in the European Economic area or Channel Islands: (see warning note below) Patents ADP number (<i>if you know it</i>):	Ann GRABBITT Grabbitt & Leggit Limited 44 Hedge Lane Sussex RH11 5HR [United Kingdom] Not Required	1	
5	Priority declaration: Are you claiming priority from one or more earlier-filed patent applications? If so, please give details of the application(s):	Country	Application number <i>(if you know it)</i>	Date of filing <i>(day / month / year)</i>
6	Divisionals etc: Is this application a divisional application, or being made following resolution of an entitlement dispute about an earlier application? If so, please give the application number and filing date of the earlier application:	Number of earlier UK application	Date of filing <i>(day / month / year)</i>	
7	Inventorship: (Inventors must be individuals not companies) Are all the applicants named above also inventors? If yes, are there any other inventors?	(Please tick the appropriate boxes)		
		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>	1
		YES <input type="checkbox"/>	NO <input type="checkbox"/>	
8	Are you paying the application fee with this form?	YES <input checked="" type="checkbox"/>	NO <input checked="" type="checkbox"/>	1
		(Either or, not both, not neither)		

9 Accompanying documents:
please enter the number of pages of
each item accompanying this form:

Continuation sheets of this form:

Description: 24

Claim(s): 4

Abstract: 1

Drawing(s): 4

If you are not filing a description, please
give details of the previous application
you are going to rely upon:

Country

Application number

Date of filing
(day / month / year)

1

10 If you are also filing any of the following,
state how many against each item.

Priority documents:

Statement of inventorship and right
to grant of a patent (*Patents Form 7*):

Request for search (*Patents Form 9A*): 1

Request for substantive examination
(*Patents Form 10*):

Any other documents:(please specify) Fee sheet

1

11 I/We request the grant of a patent on the basis of this application.

Signature(s): Date: [today]

1

12 Name, e-mail address, telephone,
fax and/or mobile number, if any,
of a contact point for the applicant:

Ann GRABBITT
AGrabbitt@Grab.com

Warning

After an application for a patent has been filed, the Comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you are resident in the United Kingdom and your application contains information which relates to military technology, or would be prejudicial to national security or the safety of the public, section 23 of the Patents Act 1977 prohibits you from applying for a patent abroad without first getting written permission from the Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked. Until such time or until the revocation of any direction, for any such application the address for service referred to at part 4 above must be in the United Kingdom.

In all other cases, the name of the applicant will be published shortly after filing, together with the title of the invention. Later, when the whole application is published, most information and documents filed in relation to the application will become publicly available. **The name and address of the applicant will be published on our website and may appear in external search engine results.** You should provide a business or PO Box address if you do not want your home address published.

Although you may have an address for service in the Channel Islands, any agent instructed to act for you must reside or have a place of business in the European Economic Area or Isle of Man.

Notes

A leaflet on how to fill in this form is available from the Office. If you would like a copy of the leaflet it is available on our website at <http://www.ipo.gov.uk/fact01.pdf> or alternatively you could telephone 0300 300 2000 or send an email to information@ipo.gov.uk to request a copy.



Patents Form 9A

Patents Act 1977 (Rule 27)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for search

(See the notes on the back of this form)

1	Your reference (optional):	2018-15	} 1
2	Patent application number (e.g. GB7654321.0):		
3	Full name of the applicant or of each applicant:	EYE SPY LIMITED	1
4	Is this request for (please tick one box):		} 1
a)	A search under Section 17(1) for an international application which has been searched in the international phase? <i>(See note (g))</i>	<input type="checkbox"/>	
b)	A search under Section 17(1) for any other application?	<input checked="" type="checkbox"/>	
c)	A supplementary search under Section 17(8)?	<input type="checkbox"/>	
d)	A search of a further invention under Section 17(6)?	<input type="checkbox"/>	
	<i>(See note (c) for help choosing which search you require)</i>		
	If your answer is (d), identify which invention you would like to be searched by referring to the claims to be searched <i>(See note (d)).</i>		
5	If you wish to opt in to receive a paper copy of any patent document cited in the search report please tick the box. <i>(we will automatically send you a paper copy of any cited non-patent document see note (e))</i>	<input checked="" type="checkbox"/>	1
6	Are you paying the application fee with this form? <i>(See note (f))</i>	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	1
7	<i>(please see note (h))</i>	Signature	Date [today]
8	Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant	ANN GRABBITT AGrabbitt@Grab.com	} 1

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.
International Filing Date
Name of receiving Office and "PCT International Application"
Applicant's or agent's file reference (if desired) (12 characters maximum) Thyme02PCT

Box No. I TITLE OF INVENTION		1
Feeding Device		1
Box No. II APPLICANT <input type="checkbox"/> This person is also inventor		1
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Rhino Solutions Limited Safari Centre Abbot Street Wiltshire SN14 1PP United Kingdom (If applicant is Thyme, Justin then inventor box must be indicated) Country name needed here for the mark. Accept "UK".		1
Telephone No. Facsimile No. Applicant's registration No. with the Office		1
E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address:		
State (that is, country) of nationality: GB or United Kingdom		State (that is, country) of residence: GB or United Kingdom or BLANK
This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box		
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)		
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.		
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE		
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Max, Robert Max Power LLP 14 The Pastures London WC1V 1XX United Kingdom (Country name needed here for the mark. Accept "UK".)		1
Telephone No. +44 (0) 20 7253 8333 Facsimile No. +44 (0) 20 7253 8334 Agent's registration No. with the Office		1
E-mail authorization: Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send, notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input type="checkbox"/> as advance copies followed by paper notifications; or <input checked="" type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address: info@maxpower.com		
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.		

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
<i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p> <p>Thyme, Justin [c/o] Rhino Solutions Limited Safari Centre, Abbot Street Wiltshire SN14 1PP United Kingdom</p> <p style="color: red; text-align: center;">(If the inventor is mentioned in Box II, Box III must be Rhino and applicant only box ticked here)</p> <p style="color: red; text-align: center;">Country name needed here for the mark. Accept "UK".</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <hr/> <p>Applicant's registration No. with the Office</p>
State <i>(that is, country)</i> of nationality: DK or Denmark	State <i>(that is, country)</i> of residence: GB or United Kingdom or BLANK
This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <hr/> <p>Applicant's registration No. with the Office</p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <hr/> <p>Applicant's registration No. with the Office</p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <hr/> <p>Applicant's registration No. with the Office</p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <hr/> <p>Applicant's registration No. with the Office</p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.	

1

Box No. V DESIGNATIONS

The filing of this request **constitutes under Rule 4.9(a) the designation** of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.

However,

DE Germany **is not designated** for any kind of national protection

JP Japan **is not designated** for any kind of national protection

KR Republic of Korea **is not designated** for any kind of national protection

(The check-boxes above may only be used to exclude (irrevocably) the designations concerned if, at the time of filing or subsequently under Rule 26bis.1, the international application contains in Box No. VI a priority claim to an earlier national application filed in the particular State concerned, in order to avoid the ceasing of the effect, under the national law, of this earlier national application.)

Box No. VI PRIORITY CLAIM AND DOCUMENT

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application: regional Office	international application: receiving Office
item (1) 26/01/17	1702893.0	GB or United Kingdom		
item (2)				
item (3)				

Further priority claims are indicated in the Supplemental Box.

Furnishing the priority document(s):

The **receiving Office** is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application(s) was filed with the receiving Office which, for the purposes of this international application, is the receiving Office) identified above as:

all items item (1) item (2) item (3) other, see Supplemental Box

The **International Bureau** is requested to obtain from a digital library a certified copy of the earlier application(s) identified above, using, where applicable, the access code(s) indicated below (if the earlier application(s) is available to it from a digital library):

item (1) access code 1CD1 item (2) access code _____ item (3) access code _____ other, see Supplemental Box

Restore the right of priority: the receiving Office is requested to restore the right of priority for the earlier application(s) identified above or in the Supplemental Box as item(s) (_____). (See also the Notes to Box No. VI; further information **must** be provided to support a request to restore the right of priority.)

Incorporation by reference: where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if more than one International Searching Authority is competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA/ _____

1

1

Box No. IX CHECK LIST for PAPER filings – this sheet is only to be used when filing an international application on **PAPER**

This international application contains the following:	Number of sheets	This international application is accompanied by the following item(s) (<i>mark the applicable check-boxes below and indicate in right column the number of each item</i>):	Number of items
(a) request form PCT/RO/101 (including any declarations and supplemental sheets)	4	1. <input checked="" type="checkbox"/> fee calculation sheet	1
(b) description (excluding any sequence listing part of the description, see (f), below)	25	2. <input type="checkbox"/> original separate power of attorney	
(c) claims	3	3. <input type="checkbox"/> original general power of attorney	
(d) abstract	1	4. <input checked="" type="checkbox"/> copy of general power of attorney; reference number: GPOA1	1
(e) drawings (if any)	2	5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s)	
(f) sequence listing part of the description (if any)		6. <input type="checkbox"/> Translation of international application into (<i>language</i>):	
Total number of sheets :	35	7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material	
	consistent with no. in (a) above	8. <input type="checkbox"/> (<i>only where item (f) is marked in the left column</i>) copy in electronic form (Annex C/ST.25 text file) on physical data carrier(s) of the sequence listing, not forming part of the international application, which is furnished only for the purposes of international search under Rule 13ter (<i>type and number of physical data carriers</i>)	
		9. <input type="checkbox"/> (<i>only where item (f) (in the left column) and item 8 (above) are marked</i>) a statement confirming that “the information recorded in electronic form submitted under Rule 13ter is identical to the sequence listing as contained in the international application” as filed on paper	
		10. <input type="checkbox"/> copy of results of earlier search(es) (Rule 12bis.1(a))	
		11. <input type="checkbox"/> other (<i>specify</i>):	
Figure of the drawings which should accompany the abstract:	2	Language of filing of the international application:	English /EN

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

Robert Max

For receiving Office use only

1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

For International Bureau use only

Date of receipt of the record copy by the International Bureau:



Antrag auf Erteilung eines europäischen Patents
Request for grant of a European patent
Requête en délivrance d'un brevet européen

- Nachreichung von Form 1001 zu einer früher eingereichten Anmeldung nach Regel 40 (1) vom Form 1001 filed further to a previous application under Rule 40(1) on Dépôt du formulaire 1001 pour une demande déposée antérieurement au titre de la règle 40(1) en date du
- Bestätigung einer bereits durch Fax eingereichten Anmeldung vom Confirmation of an application already filed by fax on Confirmation d'une demande déjà déposée par téléfax le bei with auprès de

Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration	
1 Anmelde­nummer / Application No. / N° de la demande	<input type="text" value="MKEY"/>
2 Tag des Eingangs (Regel 35 (2)) / Date of receipt (Rule 35(2)) / Date de réception (règle 35(2))	<input type="text" value="DREC"/>
3 Tag des Eingangs beim EPA (Regel 35 (4)) / Date of receipt at EPO (Rule 35(4)) / Date de réception à l'OEB (règle 35(4))	<input type="text" value="RENA"/>
4 Anmeldetag / Date of filing / Date de dépôt	<input type="text"/>

- 5 Es wird die Erteilung eines europäischen Patents und gemäß Artikel 94 die Prüfung der Anmeldung beantragt. / Grant of a European patent, and examination of the application under Article 94, are hereby requested. / Il est demandé la délivrance d'un brevet européen et, conformément à l'article 94, l'examen de la demande.
Prüfungsantrag in einer zugelassenen Nichtamtssprache / Request for examination in an admissible non-EPO language / Requête en examen dans une langue non officielle autorisée

- 5.1 Der Anmelder verzichtet auf die Aufforderung nach Regel 70 (2), zu erklären, ob die Anmeldung aufrechterhalten wird. / The applicant waives his right to be asked whether he wishes to proceed further with the application (Rule 70(2)). / Le demandeur renonce à être invité, conformément à la règle 70(2), à déclarer s'il souhaite maintenir sa demande.

- 6 Zeichen des Anmelders oder Vertreters (max. 15 Positionen) / Applicant's or representative's reference (max. 15 keystrokes) / Référence du demandeur ou du mandataire (max. 15 caractères ou espaces)

Anmelder / Applicant / Demandeur

- 7 Name / Nom
- 8 Anschrift / Address / Adresse
- 9 Zustellanschrift / Address for correspondence / Adresse pour la correspondance

TRAN	<input type="text"/>	FILL	<input type="text"/>
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Zeichen des Anmelders / Applicant's reference / Référence du demandeur

10 Staat des Wohnsitzes oder Sitzes /
State of residence or of principal place of business /
Etat du domicile ou du siège

GB or United Kingdom

1

11 Staatsangehörigkeit /
Nationality /
Nationalité

GB or British

12 Telefon /
Telephone /
Téléphone

13 Fax /
Téléfax

14 Weitere(r) Anmelder auf Zusatzblatt /
Additional applicant(s) on additional sheet /
Autre(s) demandeur(s) sur feuille supplémentaire

14.1 Der/Jeder Anmelder erklärt hiermit, eine Einheit oder eine natürliche Person
nach Regel 6 (4) EPÜ zu sein. /
The/Each applicant hereby declares that he is an entity or a natural person
under Rule 6(4) EPC. /
Le/Chaque demandeur déclare par la présente être une entité ou une personne
physique au sens de la règle 6(4) CBE

FREP

Vertreter / Representative / Mandataire

15 Name / Nom
(Nur **einen** Vertreter oder den Namen des Zusammenschlusses angeben, der in das
Europäische Patentregister einzutragen ist und an den zugestellt wird) /
(Name **only one** representative or association of representatives, to be listed in the
Register of European Patents and to whom communications are to be notified) /
(N'indiquer qu'**un seul** mandataire ou le nom du groupement de mandataires qui sera
inscrit au Registre européen des brevets et auxquelles les significations seront faites)

Brown and Partners LLP

1

et al

16 Geschäftsanschrift /
Address of place of business /
Adresse professionnelle

2 Mews Avenue
London
WC2P 4DF
UK

17 Telefon /
Telephone /
Téléphone

18 Fax /
Téléfax

19 Weitere(r) Vertreter auf Zusatzblatt /
Additional representative(s) on additional sheet /
Autre(s) mandataire(s) sur feuille supplémentaire

GENA

Vollmacht / Authorisation / Pouvoir

20 ist beigelegt / is enclosed / joint

21 Allgemeine Vollmacht ist registriert unter Nummer /
General authorisation has been registered under No. /
Un pouvoir général a été enregistré sous le numéro

Erfinder / Inventor / Inventeur

INVT 20

22 Der (die) Anmelder ist (sind) alleinige(r) Erfinder. /
The applicant(s) is (are) the sole inventor(s). /
Le(s) demandeur(s) est (sont) le(s) seul(s) inventeur(s).

23 Erfindernennung in beigelegtem Schriftstück /
Designation of inventor attached /
Voir la désignation de l'inventeur ci-jointe

1

TIDE

TIEN

TIFR

24 **Bezeichnung der Erfindung / Title of invention /
Titre de l'invention**

Bottle

1

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

LEAVE BLANK

25 Prioritätserklärung (Regel 52) und Recherchenergebnisse nach Regel 141(1) / Declaration of priority (Rule 52) and search results under Rule 141(1) / Déclaration de priorité (règle 52) et résultats de la recherche conformément à la règle 141(1)

PRIO

Eine Prioritätserklärung wird für die folgenden Anmeldungen abgegeben: /
A declaration of priority is hereby made for the following applications: /
Une déclaration de priorité est produite pour les demandes suivantes :

Die Recherchenergebnisse nach Regel 141(1) sind beigefügt. /
Search results under Rule 141(1) are attached /
Les résultats de la recherche selon la règle 141(1) sont joints

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01			
02			
03			
04			

Staat / Anmeldetag / Aktenzeichen /
State / Date of filing / File No. /
Etat Date de dépôt N° de dépôt

01				<input type="checkbox"/>
02				<input type="checkbox"/>
03				<input type="checkbox"/>
04				<input type="checkbox"/>

- 25.1** Auf einem Zusatzblatt ist angegeben, dass weitere Prioritäten beansprucht werden und die entsprechenden Recherchenergebnisse nach Regel 141(1) beigefügt sind. / Additional declaration(s) of priority and indication(s) of the attachment of corresponding search results (Rule 141(1)) on additional sheet. / Il est indiqué sur une feuille supplémentaire que d'autres priorités sont revendiquées et que les résultats correspondants de la recherche selon la règle 141(1) sont joints.
- 25.2** Diese Anmeldung ist eine vollständige Übersetzung der früheren Anmeldung. / This application is a complete translation of the previous application. / La présente demande est une traduction intégrale de la demande antérieure.
- 25.3** Es ist nicht beabsichtigt, eine (weitere) Prioritätserklärung einzureichen. / It is not intended to file a (further) declaration of priority. / Il n'est pas envisagé de produire une (autre) déclaration de priorité.

01 02 03 04 andere
other
autres

26 Bezugnahme auf eine früher eingereichte Anmeldung / Reference to a previously filed application / Renvoi à une demande déposée antérieurement

EAPP

- 26.1** Es wird auf eine früher eingereichte Anmeldung Bezug genommen. Die Bezugnahme **ersetzt** die **Beschreibung und etwaige Zeichnungen** (Regel 40(1)c), (2)). Die Anmeldung, auf die Bezug genommen wird, ist: / Reference is made to a previously filed application. That reference **replaces** the **description and any drawings** (Rule 40(1)(c), (2)). The application to which reference is made is the following: / Il est fait référence à une demande déposée antérieurement. Ce renvoi **remplace la description et, le cas échéant, les dessins** (règle 40(1)c), (2)). La demande à laquelle il est fait référence est la suivante :

Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration		

Staat / Anmeldetag / Aktenzeichen /
State / Date of filing / File No. /
Etat Date de dépôt N° de dépôt

--

- 26.2** Die Bezugnahme auf die früher eingereichte Anmeldung **ersetzt auch** die **Patentansprüche** (Regel 57c)). / The reference to the previously filed application **also replaces** the **claims** (Rule 57(c)). / Le renvoi à la demande déposée antérieurement **remplace également** les **revendications** (règle 57c)).
- 26.3** Eine **beglaubigte Abschrift** der früher eingereichten Anmeldung (Regel 40(3)) / A **certified copy** of the previously filed application (Rule 40(3)) / Une **copie certifiée** conforme de la demande déposée antérieurement (règle 40(3))
- 26.4** Eine **Übersetzung** der früher eingereichten Anmeldung (Regel 40(3)) / A **translation** of the previously filed application (Rule 40(3)) / Une **traduction** de la demande déposée antérieurement (règle 40(3))

ist beigefügt. / is attached. / est jointe. wird nachgereicht. / will be supplied later. / sera produite ultérieurement.

ist beigefügt. / is attached. / est jointe. wird nachgereicht. / will be supplied later. / sera produite ultérieurement.

Zeichen des Anmelders / Applicant's reference / Référence du demandeur **LEAVE BLANK**

27 **Teilanmeldung / Divisional application /
Demande divisionnaire**

PANR

Die Anmeldung ist eine Teilanmeldung, die aus der folgenden früheren Anmeldung hervorgeht: / The application is a divisional application based on the following earlier application: / La présente demande constitue une demande divisionnaire relative à la demande antérieure suivante :

Nummer der früheren Anmeldung / Number of earlier application /
Numéro de la demande antérieure

12123456.7

1

DFIL

DFIL

27.1 Diese Teilanmeldung ist eine Teilanmeldung folgender Generation: /
This divisional application is of the following generation: /
La présente demande divisionnaire est de la génération suivante :

- 1 2 3 4 5 oder weiterer/ or subsequent/ ou ultérieure

28 **Anmeldung nach Artikel 61 (1) b) / Article 61(1)(b)
application / Demande selon l'article 61(1)b)**

EANR

Es handelt sich um eine Anmeldung nach Artikel 61 (1) b). /
The application is an Article 61(1)(b) application. /
La présente demande constitue une demande selon l'article 61(1)b).

Nummer der früheren Anmeldung / Number of earlier application /
Numéro de la demande initiale

29 **Patentansprüche / Claims / Revendications**

CLMS

Zahl der Patentansprüche /
Number of claims /
Nombre de revendications

15

1

29.1

wie beigelegt / as attached /
telles que jointes en annexe

29.2

wie in der früher eingereichten Anmeldung (siehe Feld 26.2) /
as in the previously filed application (see Section 26.2) /
telles que figurant dans la demande déposée antérieurement
(voir rubrique 26.2)

29.3

Die Patentansprüche werden nachgereicht. /
The claims will be filed later. /
Les revendications seront produites ultérieurement.

30 **Abbildungen / Figures / Figures**

DRAW 2

Zur Veröffentlichung mit der Zusammenfassung wird vorgeschlagen
Abbildung Nr. / It is proposed that the abstract be published together
with figure No. / Il est proposé de publier avec l'abrégé la figure n°

2

1

31 **Benennung von Vertragsstaaten / Designation of
contracting states / Désignation d'Etats contractants**

DEST

Alle Vertragsstaaten die dem EPÜ bei Einreichung der europäischen Patentanmeldung angehören, gelten als benannt (Artikel 79 (1)). /
All the contracting states party to the EPC at the time of filing of the European patent application are deemed to be designated (Article 79(1)). /
Tous les Etats contractants qui sont parties à la CBE lors du dépôt de la demande de brevet européen sont réputés désignés (Article 79(1)).

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

LEAVE BLANK

**32 Verschiedene Anmelder für verschiedene Vertragsstaaten /
Different applicants for different contracting states /
Différents demandeurs pour différents Etats contractants**

APPR02

Name(n) des (der) Anmelder(s) und benannte Vertragsstaaten: /
Name(s) of applicant(s) and designated contracting states: /
Nom(s) du (des) demandeur(s) et des Etats contractants désignés:

**33 Erstreckung/Validierung
Extension/Validation
Extension/Validation**

Diese Anmeldung gilt als Antrag, die europäische Patentanmeldung und das darauf erteilte europäische Patent auf alle Nichtvertragsstaaten des EPÜ zu erstrecken, mit denen am Tag der Einreichung der Anmeldung Erstreckungs- oder Validierungsabkommen in Kraft sind. Der Antrag gilt jedoch als zurückgenommen, wenn die Erstreckungs- bzw. die Validierungsgebühr nicht fristgerecht entrichtet wird. /

This application is deemed to be a request to extend the effects of the European patent application and the European patent granted in respect of it to all non-contracting states to the EPC with which extension or validation agreements are in force on the date on which the application is filed. However, the request is deemed withdrawn if the extension fee or validation fee, whichever is applicable, is not paid within the prescribed time limit. /

La présente demande est réputée constituer une requête en extension des effets de la demande de brevet européen et du brevet européen délivré sur la base de cette demande à tous les Etats non parties à la CBE avec lesquels des accords d'extension ou de validation sont en vigueur à la date du dépôt de la demande. Cette requête est toutefois réputée retirée si la taxe d'extension ou, le cas échéant, la taxe de validation n'est pas acquittée en temps utile.

- 33.1** Es ist beabsichtigt, die Erstreckungsgebühr(en) für die nebenstehend angekreuzten Staaten zu entrichten. /
It is intended to pay the extension fee(s) for the states marked opposite with a cross. /
Il est envisagé de payer la (les) taxe(s) d'extension pour les Etats dont le nom est coché ci-contre.

Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Erstreckungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.

Note: Under the automatic debiting procedure, extension fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.

Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes d'extension, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.

BA Bosnien und Herzegowina /
Bosnia and Herzegovina /
Bosnie-Herzégovine

EXPT

ME Montenegro /
Montenegro /
Monténégro

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(Platz für Staaten, mit denen Erstreckungsabkommen am Anmeldetag der früheren Anmeldung in Kraft waren (Artikel 76 (1)) / (Space for states with which extension agreements existed on the date of filing of the earlier application (Article 76(1)) / (Espace prévu pour des Etats avec lesquels des accords d'extension existaient à la date de dépôt de la demande antérieure (article 76(1))

- 33.2** Es ist beabsichtigt, die Validierungsgebühr(en) für die nebenstehend angekreuzten Staaten zu entrichten. /
It is intended to pay the validation fee(s) for the states marked opposite with a cross. /
Il est envisagé de payer la (les) taxe(s) de validation pour les Etats dont le nom est coché ci-contre.

Hinweis: Im automatischen Abbuchungsverfahren werden nur für die hier angekreuzten Staaten Validierungsgebühren abgebucht, sofern dem EPA nicht vor Ablauf der Zahlungsfrist ein anderslautender Auftrag zugeht.

Note: Under the automatic debiting procedure, validation fees will be debited only for states indicated here, unless the EPO is instructed otherwise before expiry of the period for payment.

Veillez noter que dans le cadre de la procédure de prélèvement automatique des taxes de validation, le compte est débité du montant dû seulement pour les Etats cochés ici, sauf instruction contraire reçue avant l'expiration du délai de paiement.

MA Marokko /
Morocco /
Maroc

VAPT

MD Republik Moldau /
Republic of Moldova /
République de Moldavie

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(Platz für Staaten, mit denen Validierungsabkommen nach Drucklegung dieses Formblatts in Kraft treten) / (Space for states with which validation agreements enter into force after this form has been printed) / (Espace prévu pour des Etats avec lesquels des accords de validation entrèrent en vigueur après l'impression du présent formulaire)

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

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**34 Biologisches Material / Biological material /
Matière biologique**

BIOM 1

34.1 Die Erfindung verwendet und/oder bezieht sich auf biologisches Material, das nach Regel 31 hinterlegt worden ist. /
The invention uses and/or relates to biological material deposited under Rule 31. /
L'invention utilise et/ou concerne de la matière biologique déposée conformément à la règle 31.

a Die nach Regel 31 (1) c) erforderlichen Angaben, d. h. die Hinterlegungsstelle und die Eingangsnummer, sind in den technischen Anmeldungsunterlagen enthalten auf /
The information required under Rule 31(1)(c), i.e. depositary institution and accession number, is given in the application's technical documents on /
Les indications visées à la règle 31(1)c), à savoir l'autorité de dépôt et le numéro d'ordre, figurent dans les pièces techniques de la demande à la / aux

Seite(n) / page(s) Zeile(n) / line(s) / ligne(s)

--	--

b Ist die Eingangsnummer am Anmeldetag noch nicht bekannt, so sind die Hinterlegungsstelle und das (die) Bezugszeichen (Nummer, Symbole usw.) des Hinterlegers in den technischen Anmeldungsunterlagen zu entnehmen auf /
If the accession number is not yet known on the date of filing, for the depositary institution and the depositor's identification reference(s) (number, symbols, etc.) see the application's technical documents on /
Si le numéro d'ordre n'est pas encore connu à la date de dépôt, l'autorité de dépôt et la (les) référence(s) d'identification (numéro ou symboles etc.) du déposant figurent dans les pièces techniques de la demande, à la/aux

Seite(n) / page(s) Zeile(n) / line(s) / ligne(s)

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Die Angaben werden später mitgeteilt /
The information will be submitted later /
Les indications visées seront communiquées ultérieurement

34.2 Die Empfangsbescheinigung(en) der Hinterlegungsstelle /
The receipt(s) of deposit issued by the depositary institution /
Le(s) récépissé(s) de dépôt délivré(s) par l'autorité de dépôt

ist (sind) beigelegt. /
is (are) enclosed. /
est (sont) joint(s). wird (werden) nachgereicht. /
will be filed later. / sera (seront)
produit(s) ultérieurement.

35 Falls das biologische Material nicht vom Anmelder, sondern von einem Dritten hinterlegt wurde /
If the biological material was deposited by a person other than the applicant /
Lorsque la matière biologique a été déposée par une personne autre que le demandeur

Name und Anschrift des Hinterlegers / Name and address of depositor /
Nom et adresse du déposant

--

35.1 Ermächtigung nach Regel 31 (1) d) /
Authorisation under Rule 31(1)d) /
L'autorisation prévue à la règle 31(1)d)

ist beigelegt /
is attached /
est jointe wird nachgereicht /
will be supplied later /
sera produite ultérieurement

36 Verzicht auf die Verpflichtung des Antragstellers nach Regel 33 (2) in gesondertem Schriftstück / Waiver of the right to an undertaking from the requester pursuant to Rule 33(2) attached / Renonciation, sur document distinct, à l'engagement du requérant au titre de la règle 33(2)

37 Gemäß Regel 32 (1) erklärt der Anmelder hiermit, dass der Zugang zu dem in den Feldern 34 und 35 genannten biologischen Material nur durch Herausgabe einer Probe an einen Sachverständigen hergestellt wird. /
The applicant hereby declares under Rule 32(1) that the biological material referred to in Sections 34 and 35 is to be made available only by the issue of a sample to an expert. /
Conformément à la règle 32(1), le demandeur déclare par la présente que l'accessibilité à la matière biologique mentionnée aux rubriques 34 et 35 ne peut être réalisée que par la remise d'un échantillon à un expert.

BIOM 3

**38 Nucleotid- und Aminosäuresequenzen /
Nucleotide and amino acid sequences /
Séquences de nucléotides et d'acides aminés**

SEQ 1

38.1 Die Beschreibung enthält ein Sequenzprotokoll nach Regel 30 (1). /
The description contains a sequence listing in accordance with Rule 30(1). /
La description contient un listage de séquences conformément à la règle 30(1).

38.2 Das Sequenzprotokoll wird in elektronischer Form eingereicht. /
The sequence listing is filed in electronic form. /
Le listage de séquences est déposé sous forme électronique.

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

LEAVE BLANK

38.3 Es wird beantragt, eine Kopie des für die in Punkt 27 benannte frühere Anmeldung eingereichten standardkonformen Sequenzprotokolls in elektronischer Form nur für die Zwecke der Recherche (d. h. nicht als Teil der Beschreibung) in die Akte der europäischen Patentanmeldung aufzunehmen.
 Hiermit wird erklärt, dass das Sequenzprotokoll nicht über den Inhalt der Teilanmeldung in der ursprünglich eingereichten Fassung hinausgeht. /
 The Office is requested to add to the dossier on the European patent application, in electronic form and for search purposes only (i.e. not as part of the description), a copy of the Standard-compliant sequence listing filed for the earlier application mentioned in Section 27.
 It is hereby declared that the sequence listing does not extend beyond the content of the divisional application as originally filed. /
 Il est demandé qu'une copie du listage de séquences conforme à la norme, déposé sous forme électronique pour la demande antérieure mentionnée à la rubrique 27, soit versée au dossier de la demande de brevet européen, aux seules fins de la recherche (le listage de séquences ne faisant dès lors pas partie de la description).
 Il est certifié par la présente que le listage de séquences ne s'étend pas au-delà du contenu de la demande divisionnaire telle qu'elle a été déposée.

38.4 Das Sequenzprotokoll wird auch auf Papier eingereicht. /
 The sequence listing is also filed on paper. /
 Le listage de séquences est aussi déposé sur papier.

38.5 Soweit das Sequenzprotokoll auch auf Papier eingereicht wird, erklärt der Anmelder hiermit, dass die Sequenzprotokolle in elektronischer Form und auf Papier identisch sind. /
 If the sequence listing is also filed on paper, the applicant hereby states that the sequence listings in electronic form and on paper are identical. /
 Si le listage de séquences est aussi déposé sur papier, il est déclaré par la présente que le listage sous forme électronique et celui sur papier sont identiques.

**Sonstige Angaben / Further indications /
 Indications supplémentaires**

39 Zusätzliche Abschriften der im europäischen Recherchenbericht angeführten Schriftstücke werden beantragt. /
 Additional copies of the documents cited in the European search report are requested. /
 Prière de fournir des copies supplémentaires des documents cités dans le rapport de recherche européenne.

Anzahl der **zusätzlichen** Sätze von Abschriften /
 Number of **additional** sets of copies /
 Nombre de jeux **supplémentaires** de copies

ASOC

40 Die Rückerstattung der Recherchegebühr gemäß Artikel 9 (2) Gebührenordnung wird beantragt. / Refund of the search fee under Article 9(2) of the Rules relating to Fees is requested. / Le remboursement de la taxe de recherche est demandé en vertu de l'article 9(2) du règlement relatif aux taxes.

41 gestrichen / deleted / supprimé

**42 Automatischer Abbuchungsauftrag /
 Automatic debit order /
 Ordre de prélèvement automatique**

(nur möglich für Inhaber von beim EPA geführten laufenden Konten) /
 (for EPO deposit account holders only) /
 (possibilité offerte uniquement aux titulaires de comptes courants ouverts auprès de l'OEB)

Das EPA wird hiermit beauftragt, fällig werdende Gebühren und Auslagen nach Maßgabe der Vorschriften über das automatische Abbuchungsverfahren vom nebenstehenden laufenden Konto abzubuchen. /
 The EPO is hereby authorised, under the Arrangements for the automatic debiting procedure, to debit from the deposit account opposite any fees and costs falling due. /
 Par la présente, il est demandé à l'OEB de prélever du compte courant ci-contre les taxes et frais venant à échéance, conformément à la réglementation relative à la procédure de prélèvement automatique.

43 Etwaige Rückzahlungen sollen auf das nebenstehende beim EPA geführte laufende Konto erfolgen. /
 Any **refunds** should be made to the EPO deposit account opposite. /
 Les **remboursements** éventuels doivent être effectués sur le compte courant ci-contre ouvert auprès de l'OEB.

Nummer des laufenden Kontos / Deposit account number /
 Numéro du compte courant

BLANK

Name des Kontoinhabers / Account holder's name /
 Nom du titulaire du compte

BLANK

Nummer des laufenden Kontos /
 Deposit account number / Numéro du compte courant

DECA

DEPA

12340001

Name des Kontoinhabers / Account holder's name /
 Nom du titulaire du compte

Brown and Partners LLP

Zeichen des Anmelders /
 Applicant's reference /
 Référence du demandeur

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44 Die vorgeschriebene Liste über die diesem Antrag beigefügten Unterlagen ergibt sich aus der vorbereiteten Empfangsbescheinigung (Seite 8 dieses Antrags). / The prescribed list of documents enclosed with this request is shown on the prepared receipt (page 8 of this request). / La liste prescrite des documents joints à la présente requête figure sur le récépissé préétabli (page 8 de la présente requête).



45 Für Angestellte nach Artikel 133 (3) Satz 1 mit allgemeiner Vollmacht / For employees under Article 133(3), first sentence, having a general authorisation / Pour les employés mentionnés à l'article 133(3), 1^{ère} phrase, munis d'un pouvoir général

Nummer / Number / Numéro

46 **Unterschrift(en) des (der) Anmelder(s) oder Vertreter(s)**
Name des (der) Unterzeichneten bitte in Druckschrift wiederholen und bei juristischen Personen die Stellung des (der) Unterzeichneten innerhalb der Gesellschaft angeben. /

Signature(s) of applicant(s) or representative(s)

Under signature please print name and, in the case of legal persons, position within the company. /

Signature(s) du (des) demandeur(s) ou du (des) mandataire(s)

Prière d'indiquer en caractères d'imprimerie le ou les noms des signataires ainsi que, s'il s'agit d'une personne morale, la position occupée au sein de celle-ci par le ou les signataires.

Ort / Place / Lieu

[city and/or country: London, UK]

1

Datum / Date

[today]

Unterschrift(en) / Signature(s)

Trevor BROWN



Europäisches Patentamt
European Patent Office
Office européen des brevets

Empfangsbescheinigung Receipt for documents Récépissé de documents

Liste der diesem Antrag beigefügten Unterlagen – Hiermit wird der Empfang der unten bezeichneten Dokumente bescheinigt. Wird im Falle der Einreichung der europäischen Patentanmeldung bei einer nationalen Behörde diese Empfangsbescheinigung vom Europäischen Patentamt übersandt, so ist sie als Mitteilung gemäß Regel 35 (4) anzusehen (siehe Feld RENA).

Checklist of enclosed documents – Receipt of the documents indicated below is hereby acknowledged. If this receipt is issued by the European Patent Office and the European patent application was filed with a national authority, it serves as a communication under Rule 35(4) (see Section RENA).

Liste des documents annexés à la présente requête – Nous attestons le dépôt des documents désignés ci-dessous. Si, en cas de dépôt de la demande de brevet européen auprès d'un service national, l'Office européen des brevets délivre le présent récépissé de documents, ce récépissé est réputé être la notification visée à la règle 35(4) (cf. rubrique RENA).

Nur für amtlichen Gebrauch / For official use only / Cadre réservé à l'administration

Amtsstempel / Official stamp / Cachet officiel

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[Trevor Brown]
Brown and Partners LLP
2 Mews Avenue
London
WC2P 4DF
UK

Tag des Eingangs (Regel 35 (2)) / Date of receipt (Rule 35(2)) /
Date de réception (règle 35(2))

DREC

Anmeldenummer für den Schriftverkehr mit dem EPA; Aktenzeichen für Prioritäts-
erklärungen / Application No. to be used in correspondence with the EPO;
file No. to be used for priority declarations / N° de la demande à utiliser dans la cor-
respondance avec l'OEB; n° de dépôt à utiliser pour la déclaration de priorité

Tag des Eingangs beim EPA (Regel 35 (4)) / Date of receipt at EPO
(Rule 35(4)) / Date de réception à l'OEB (règle 35(4))

RENA

47 A. Anmeldungsunterlagen und Prioritätsbeleg(e) / Application and priority documents / Pièces de la demande et document(s) de priorité

1. Beschreibung (ohne Sequenzprotokollteil) / Description (excluding sequence listing part) /
Description (sauf partie réservée au listage des séquences)
2. Patentansprüche / Claims / Revendications
3. Zeichnung(en) / Drawing(s) / Dessin(s)
4. Sequenzprotokollteil der Beschreibung / Sequence listing part of description /
Partie de la description réservée au listage des séquences
5. Zusammenfassung / Abstract / Abrégé
6. Früher eingereichte Anmeldung / Previously filed application / Demande déposée antérieurement
7. Übersetzung der Anmeldungsunterlagen / Translation of the application documents /
Traduction des pièces de la demande
8. Übersetzung der früher eingereichten Anmeldung / Translation of the previously filed
application / Traduction de la demande déposée antérieurement
9. Prioritätsbeleg(e) / Priority document(s) / Document(s) de priorité
10. Übersetzung des (der) Prioritätsbelegs/(belege) / Translation of priority document(s) /
Traduction du (des) document(s) de priorité

Blattzahl* /
Number of sheets* /
Nombre de feuilles*

10

2

5

1

Anzahl / Number / Nombre*

Gesamtzahl der Abbildungen* /
Total number of figures* /
Nombre total de figures*

5

* Die Richtigkeit der Blattzahl und der Gesamtzahl der Abbildungen wurde bei Eingang nicht geprüft. /
* No check was made on receipt that the number of sheets and the total number of figures indicated were correct. /
* L'exactitude du nombre de feuilles et du nombre total de figures n'a pas été contrôlée lors du dépôt.

48 B. Der Anmeldung in der eingereichten Fassung liegen folgende Unterlagen bei: / This application as filed is accompanied by the items below: / Les pièces ci-après sont annexées à la présente demande :

1. Vollmacht / Authorisation / Pouvoir
2. Allgemeine Vollmacht / General authorisation / Pouvoir général
3. Erfindernennung / Designation of inventor / Désignation de l'inventeur
4. Recherchenergebnisse nach Regel 141 (1) / Search results under Rule 141(1) /
Résultats de la recherche conformément à la règle 141(1)
5. Gebührenzahlungsvordruck (EPA Form 1010) / Voucher for the settlement of fees
(EPO Form 1010) / Bordereau de règlement de taxes (OEB Form 1010)
6. Elektronischer Datenträger für Sequenzprotokoll / Electronic data carrier for sequence listing /
Support électronique de données pour listage des séquences
7. Zusatzblatt / Additional sheet / Feuille supplémentaire
8. Sonstige Unterlagen (bitte hier spezifizieren) / Other documents (please specify here) /
Autres documents (veuillez préciser)

AREF

Zeichen des Anmelders /
Applicant's reference /
Référence du demandeur

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