

PATENT ADMINISTRATORS COURSE 2010/11

FINAL EXAMINATION

Thursday 28th April 2011

Exam Starts: 2.00pm

Reading Time: 2.00 pm – 2.15 pm

Writing Time: 2.15 pm – 5.15 pm

1. This is a three-hour Paper with an additional 15 minutes for reading only.
2. You should attempt all questions.
3. The number of marks allotted to each question is indicated on the paper.
4. Please write clearly, as it is difficult to award marks to illegible answers.
5. The Paper consists of 10 pages of questions plus Annex A (2 pages).
6. In addition, you are provided with:
 - Blank Patents Form 1
 - Blank Patents Form 7
 - Blank Patents Form 9A
 - Blank Patents Form 20
 - Blank Patents Form 21
 - 2011 & 2012 Calendars
 - EPO Holiday dates 2011
7. Please ensure your candidate number is written on each page of your answers and any forms submitted.
Please do NOT write your name on the answer sheets.
8. At the end of the exam please ensure that your answer papers are placed in **the brown envelope with only your candidate number on** and sealed.

Patent Administrators Course 2010/2011

Final Exam 28th April 2011

- (1) You are employed as a Patent Administrator by the firm of Milton & Keynes LLP. The attorney you work for has asked you to prepare a UK patent application, as set out in the internal memo and client's letter in Annex A below. Please complete the accompanying Patents Forms 1, 9A and 7 according to those instructions. *(15 marks)*
- (2) The application in Question 1 was duly filed on 3rd May 2011 and has received the application number GB112345.6.
- a) By what date must the abstract be filed? Show your calculations. *(3 marks)*
- b) By when must the Request for Substantive Examination (Patents Form 10) be filed? *(2 marks)*
- c) When would you file the Patents Form 10 if you wished to obtain combined search and examination? *(1 mark)*
- (3) On 1st June 2011, the client of Questions 1 and 2 sold the patent application to Housebuilders plc, of 99 Winter Road, Luton, LU1 2BB. You are provided with Patents Forms 20 and 21. Complete the appropriate Form ready for signature. The Form is to be filed without a copy of the sale agreement. Indicate which company will be the signatory. *(5 marks)*
- (4) The Intellectual Property office issued a preliminary examination report setting a date of 3rd August 2012 for filing formal ("replacement") drawings on the application above. This client requires you to seek prior authorisation before incurring significant costs, but is often slow to respond to your reminders. How would you obtain an automatic extension of time for filing the formal drawings? What will be the new date for filing them? *(4 marks)*
- (5) What do each of the codes X, Y and A mean in relation to categories of documents cited in a search report on a UK patent application? *(3 marks)*

- (6) A European Patent Application, claiming priority from a Japanese application of 9th March 2010 is filed on 7 March 2011 with a specification in Japanese.
- a) Into what language must the specification be translated? **(1 mark)**
 - b) What is the normal time limit for supplying the translation? Show your calculation of the date. **(3 marks)**
- (7) You have received a communication from the EPO, reproduced below.
- a) Calculate the response date. Show your reasoning. **(3 marks)**
 - b) What needs to be done in the response? **(5 marks)**



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Fax +31 (0)70 340-3016



Milton & Keynes
200 Midsummer Boulevard
Bedford MK14 3JJ
United Kingdom

For any questions about
this communication:
Tel.: +31 (0)70 340 45 00

Date

28-01-2011

Reference FP-10-0822	Application No./Patent No. 091234562 - 1258 PCT/US2009054321
Applicant/Proprietor Alacrity LLC	

Communication pursuant to Rules 161(1) and 162 EPC

1. Correction of deficiencies noted in the written opinion and amendment of the application (R. 161(1) EPC)

The above-mentioned international (Euro-PCT) application has entered the European phase.

The EPO as International Searching Authority and, where a demand under Article 31 PCT was filed, also as International Preliminary Examining Authority has drawn up a written opinion on this application or the EPO as Supplementary International Searching Authority has issued explanations pursuant to Rule 45bis.7(e) PCT to the supplementary international search report.

You are invited to correct any deficiencies noted in the written opinion of the International Searching Authority or in the International Preliminary Examination Report, or in the explanations to the supplementary international search report and to amend the description, claims and any drawings within a non-extendable period of one month after notification of the present invitation.

Should you not comply with or comment on this invitation within the time limit, the application will be deemed to be withdrawn in accordance with Rule 161(1) EPC.

2. Amendment of application

Under Articles 28, 41 PCT and Rules 52, 78 PCT the application may be amended before a designated or elected Office, and in accordance with Rule 137(2) EPC the applicant may amend the description, claims and drawings of his own volition together with any comments, corrections or amendments made in response to the communication under Rule 161(1) EPC.

Whether or not you have already done so, you now have a further opportunity to file amended claims or other application documents within the above-mentioned period.

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

The claims applicable on expiry of the above period, i.e. those filed on entry into the European phase or in response to the present communication, will form the basis for the calculation of any claims fee to be paid (see page 2).

3. Claims fees under Rule 162 EPC

If the application documents on which the European grant procedure is to be based comprise more than fifteen claims, a claimsfee shall be payable for the sixteenth and each subsequent claim within the period provided for in Rule 159(1) EPC.

- Based on the application documents currently on file, all necessary claims fees have already been paid (or the documents do not comprise more than 15 claims).
- All necessary fees will be/have been debited automatically according to the automatic debit order.
- The claims fees due for the claims 16 to 30 were not paid within the above-mentioned period.

Any outstanding claims fee, either based on the current set of claims or on any amended claims to be filed pursuant to Rule 161 EPC (see page 1), may still be validly paid within a non-extendable period of one month after notification of this communication (R. 162(2) EPC).

If a payment is made for only some of the claims, you must indicate for which claims it is intended. If a claims fee is not paid in due time, the claim concerned is deemed to be abandoned (R. 162(4) EPC).

If claims fees have already been paid, but on expiry of the above-mentioned period there is a new set of claims containing fewer fee-incurring claims than before, the claims fees in excess of those due under Rule 162(2), second sentence EPC will be refunded (R. 162(3) EPC).

The claims fee is currently

EUR 210 for the 16th and each subsequent claim up to the limit of 50
EUR 525 for the 51st and each subsequent claim

Note to users of the automatic debiting procedure

Unless the EPO receives prior instructions to the contrary, the fees for all claims incurring fees will be debited on the last day of the period for payment. For further details see the Arrangements for the automatic debiting procedure, Supplement to OJ EPO 3/2009.

Important information concerning fee amounts

Following any amendment to the Rules relating to Fees, the amount(s) mentioned in this communication may be different from the amount(s) actually due on the date of payment. The latest version of the Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, is also available on the EPO website (www.epo.org) and can be found under www.epoline.org, which allows the viewing, downloading and searching for individual fee amounts, both current and previous.

Payments by cheque delivered or sent direct to the EPO are no longer accepted as from 1 April 2008 (see OJ EPO 2007, 626).

For the Examining Division



- (8)** On 18th February 2011, you filed a European Divisional Application derived from a European Patent Application filed on 8th July 2009 and claiming priority of 11th September 2008. This is also the priority date claimed by the Divisional Application.

When is the first renewal fee payable for the Divisional Application? Give your reasons.

(5 marks)

- (9)** You have received a communication from the EPO, reproduced below.

a) Calculate the response date and show your reasoning.

(6 marks)

b) What needs to be done in the response?

(You do not need to consider amendments)

(3 marks)



European Patent Office
80293 MUNICH
GERMANY
Tel: +49 89 2399 0
Fax: +49 89 2399 4465



Milton & Keynes
200 Midsummer Boulevard
Bedford MK14 3JJ
ROYAUME-UNI

Formalities Officer
Name: Thomas, Roger
Tel: +49 89 2399 - 2247
or call
+31 (0)70 340 45 00

Application No. 08 654321.0 - 2313	Ref. FP-10-0685	Date 03.02.2011
Applicant Alacrity LLC		

Communication under Rule 71(3) EPC

You are informed that the Examining Division intends to grant a European patent on the basis of the above application with the text and drawings as indicated below:

In the text for the Contracting States:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MT NL NO PL PT RO SE
SI SK TR

Description, Pages

1-3, 5-11 as published
4 filed with entry into the regional phase before the EPO

Claims, Numbers

1-15 filed with entry into the regional phase before the EPO

Drawings, Sheets

1/5-5/5 as published

A copy of the relevant documents is enclosed

The title of the invention in the three official languages of the European Patent Office, the international patent classification, the designated Contracting States, the registered name of the applicant and the bibliographic data are shown on the attached EPO Form 2056.

Question 9

Date 03.02.2011

Sheet 2

Application No.: 08 654 3210

You are requested within a non-extendable period of **four months** of notification of this communication

1.	to file 1 set of translations of the claim(s) in the two other EPO official languages;		EUR
2.	to pay the fee for grant and publishing;	Reference 007	830.00
3.	to pay the additional claims fee(s) (Rule 71(6) EPC) number of claims fees payable:	Reference 016	0.00
		Total amount	830.00

The mention of the grant of the patent shall be published in the European Patent Bulletin as soon as possible after the requirements concerning the translation of the claims and the payment of the fees for grant and publishing, claims fees, designation fee and renewal fees as laid down in Rule 71(3), (4), (6) and (8) and (9) EPC are fulfilled.

If you do not approve the text intended for grant but wish to request amendments or corrections, the procedure described in Rule 71(4) EPC is to be followed.

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

If this communication is based upon an auxiliary request, and you reply within the time limit set that you maintain the main or a higher ranking request which is not allowable, the application will be refused (Art. 97(2) EPC).

If the enclosed claims contain amendments proposed by the Examining Division, and you reply within the time limit set that you cannot accept these amendments, refusal of the application under Article 97(2) EPC will result if agreement cannot be reached on the text for grant.

In all cases except those of the previous two paragraphs, if the fees for grant and publishing or claims fees are not paid, or the translations are not filed, in due time, the European patent application will be deemed to be withdrawn (R. 71(7) EPC).

For all payments you are requested to use EPO Form 1010 or EPO Form 1010E or to refer to the relevant reference number.

After publication, the European patent specification can be downloaded free of charge from the EPO publication server <https://data.epo.org/publication-server/> or ordered from the Vienna sub-office upon payment of a fee (OJ EPO 2005, 126).

Upon request in writing each proprietor will receive the certificate for the European patent **together with one copy** of the patent specification provided that the request is filed within the time limit of Rule 71(3) EPC. If such request has been previously filed, it has to be confirmed within the time limit of Rule 71(3) EPC. The requested copy is free of charge. If the request is filed after expiry of the Rule 71(3) EPC time limit, the certificate will be delivered without a copy of the patent specification (R.74 EPC, Decision of the President of the EPO, Special edition No.3, OJ EPO 2007, D.2).

Filing of a divisional application

Any divisional application relating to this European patent application must be filed directly with the European Patent Office in Munich, The Hague or Berlin and shall be in the language of the proceedings relating to the present application (cf. Article 76(1) and Rule 36(2) EPC). Any such divisional application must be filed while the present application is still pending and the time limit for filing divisional applications must be observed (Rule 36(1) EPC; Guidelines for Examination in the EPO, A-IV, 1.1.1).

Note on payment of renewal fees

If a renewal fee falls due between notification of the present communication and the proposed date of publication of the mention of the grant of the European patent, publication will be effected only after the renewal fee and any additional fee have been paid (R. 71(9) EPC).

Under Article 86(2) EPC, the obligation to pay renewal fees to the European Patent Office terminates with the payment of the renewal fee due in respect of the year in which the mention of the grant of the European patent is published.

Filing of translations in the Contracting States

As regards translation requirements prescribed by the Contracting States under Article 65(1) EPC, please consult the website of the European Patent Office
www.epo.org → Patents → Law → Legal texts → National law relating to the EPC
www.epo.org → Patents → Law → Legal texts → London Agreement

In case of a valid extension

As regards translation requirements prescribed by the Extension States, please consult the website of the European Patent Office
www.epo.org → Patents → Law → Legal texts → National law relating to the EPC

Failure to supply a prescribed translation in a Contracting State or an Extension State may result in the patent being deemed to be void *ab initio* in the State concerned (Article 65(3) EPC).

Important note to users of the automatic debiting procedure

The fees for grant and publishing and also any additional claims fees due under Rule 71(6) EPC will be debited automatically on the date of filing of the translation of the (relevant) claims, or on the last day of the period of this communication. However, if the designation fee becomes due as set out in Rule 71(8) EPC and/or a renewal fee becomes due as set out in Rule 71(9) EPC, these should be paid separately by another permitted means of payment in order not to delay the publication of the mention of grant. The same applies in these circumstances to the payment of extension fees. For further details see the Arrangements for the automatic debiting procedure (AAD) and accompanying Information from the EPO concerning the automatic debiting procedure (Annexes A.1 and A.2 to the Arrangements for deposit accounts (ADA) in Supplement to OJ EPO 3/2009).

(10) A European Patent Application contains the following pages:

Request form 7 pages
Description 26 Pages
Drawings 5 Sheets
Claims 4 pages
Abstract 1 Page.

Is an additional fee payable?
Give your reasons.

(2.5 marks)

(11) Who may apply for:

- (i) A UK Patent
- (ii) A European Patent
- (iii) A PCT Application?

(3 marks)

(12) What are the minimum requirements for a PCT application to obtain a filing date?

(5 marks)

(13) A PCT application is filed on 25th June 2010 at the UK IPO as Receiving Office claiming priority of 27th June 2009. The ISR was issued on 27th February 2011. A Demand was filed on 27th April 2011 at the IB. What will happen?

(3 marks)

(14) In respect of the PCT application referred to in Question 13, what is the date for entering:

- (i) the European regional phase
- (ii) the US national phase?

Show your calculations.

(5 marks)

(15) A negative written opinion is issued by the ISA in connection with a PCT Application. No "Demand" or response is filed. What will happen?

(2.5 marks)

(16) A UK design application was filed on 22nd February 2011, claiming priority of a US design patent application filed on 23rd August 2010. Giving your reasons:

- a) What is the latest date for answering all official objections against the application?

(3 marks)

- b) By when must you file a certified copy of the U.S. design application in support of the priority claim? *(4 marks)*
- c) What is the latest date for paying the first renewal fee as of right, including extensions of time? *(5 marks)*
- (17)** What is a trade mark? *(2 marks)*
- (18)** For each of the following, is VAT payable in a bill to a UK client?
- a) UK IPO fees
 - b) Service charges in your office
 - c) Overseas attorney fees
 - d) Postage *(2 marks)*
- (19)** List four examples of what is required to be in place in a patent firm or department to meet the "all due care" requirement for reinstatement of lost rights at the EPO. *(2 marks)*
- (20)** Which of the following time limits:
- a) are set by officials such as Patent Examiners
 - b) Run from other events in the application or registration process?
 - i. Supplying an address for service to the UK IPO where this is required
 - ii. Claiming priority under the Paris Convention
 - iii. Paying the publication fee on a UK design application
 - iv. Paying an additional search fee to the ISA in a PCT application *(2 marks)*

Annex A

Milton & Keynes Internal Memorandum

Tel. 01485 209 115

Fax 01485 209 116

Email: enquiries@mandk.eu

Case ref. AIP-11-04

Dear Administrator,

New UK Patent Application "House Brick"

Please prepare the forms for filing a UK patent application, the first filing for this invention. Please use the information in the attached letter from the client.

To save time and minimise costs, the application should be filed with informal drawings, inventorship details and a search request, but no request for substantive examination at this stage. I have prepared a description and claims, but the abstract will be prepared and filed later.

Please prepare the necessary forms for fax filing on 3rd May 2011. The fee sheet will be prepared by the accounts department.

The inventor is:

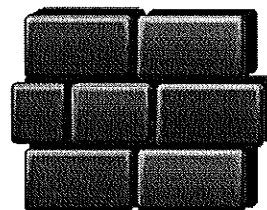
Luigi Borgia
21 Amberley Gardens
Kempston
Bedford
MK6 9JR

He is an employee of The Bedford Brick Company Limited, and the statement of inventorship should therefore read, "By virtue of employment of the Inventor by the Applicant and by virtue of S.39(1)."

Many thanks,

Alf Pangloss

Alfred Pangloss
CPA EPA



Alfred Pangloss
Milton & Keynes
200 Midsummer Boulevard
Bedford
MK14 3JJ

24th April 2011

Dear Alf,

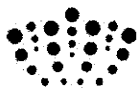
Thank you for your letter of 28th February 2011.

I have finally had the chance to review the draft patent specification, and it looks absolutely fine. It always amazes me how you chaps manage to write so much about such simple ideas. Sixteen pages of description, indeed. The two pages of claims really do seem to have captured the essence of the invention and its main advantages. You assured me on the phone that the three sheets of sketch drawings can be tidied up later, ready for official publication. In view of this, they are likewise OK.

We are exhibiting the bricks concerned at the National Building Materials Show in Birmingham on 4th May 2011. However, please hold off filing until near to close of business on 3rd May 2011, in case we have any last minute afterthoughts.

Best regards,

Stuart Tudorby
Stuart Tudorby
Managing Director
The Bedford Brick Company Limited



Patents Form 1

Patents Act 1977 (Rule 12)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for grant of a patent

(An explanatory leaflet on how to fill in this form is available from the office)

Application number GB

1 Your reference:
(optional)

2 Full name, address and postcode of the applicant
or of each applicant (*underline all surnames*):

**The name(s) and address(es) provided here
will be published as part of the application
process (see warning note below)**

Patents ADP number (*if you know it*):

3 Title of the invention:

4 Name of your agent (*if you have one*):

"Address for service" to which all correspondence
should be sent (*including postcode*). This may be in
the European Economic area or Channel Islands:
(see warning note below)

Patents ADP number (*if you know it*):

5	Priority declaration: Are you claiming priority from one or more earlier-filed patent applications? If so, please give details of the application(s):	Country	Application number (<i>if you know it</i>)	Date of filing (<i>day / month / year</i>)
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6	Divisionals etc: Is this application a divisional application, or being made following resolution of an entitlement dispute about an earlier application? If so, please give the application number and filing date of the earlier application:	Number of earlier UK application	Date of filing (<i>day / month / year</i>)
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7	Inventorship: (Inventors must be individuals not companies)	(Please tick the appropriate boxes)	
	Are all the applicants named above also inventors?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
	If yes, are there any other inventors?	YES <input type="checkbox"/>	NO <input type="checkbox"/>

8	Are you paying the application fee with this form?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
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Patents Form 1

- 9 Accompanying documents:
please enter the number of pages of
each item accompanying this form:

Continuation sheets of this form:

Description:

Claim(s):

Abstract:

Drawing(s):

If you are **not** filing a description, please
give details of the previous application
you are going to rely upon:

Country

Application number

Date of filing
(day / month / year)

-
- 10 If you are also filing any of the following,
state how many against each item.

Priority documents:

Statement of inventorship and right
to grant of a patent (*Patents Form 7*):

Request for search (*Patents Form 9A*):

Request for substantive examination
(*Patents Form 10*):

Any other documents:(please specify)

-
- 11 I/We request the grant of a patent on the basis of this application.

Signature(s):

Date:

-
- 12 Name, e-mail address, telephone,
fax and/or mobile number, if any,
of a contact point for the applicant:

Warning

After an application for a patent has been filed, the Comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you are resident in the United Kingdom and your application contains information which relates to military technology, or would be prejudicial to national security or the safety of the public, section 23 of the Patents Act 1977 prohibits you from applying for a patent abroad without first getting written permission from the Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked. Until such time or until the revocation of any direction, for any such application the address for service referred to at part 4 above must be in the United Kingdom.

In all other cases, the name of the applicant will be published shortly after filing, together with the title of the invention. Later, when the whole application is published, most information and documents filed in relation to the application will become publicly available. **The name and address of the applicant will be published on our website and may appear in external search engine results.** You should provide a business or PO Box address if you do not want your home address published.

Although you may have an address for service in the Channel Islands, any agent instructed to act for you must reside or have a place of business in the European Economic Area or Isle of Man.

Notes

A leaflet on how to fill in this form is available from the Office. If you would like a copy of the leaflet it is available on our website at <http://www.ipo.gov.uk/fact01.pdf> or alternatively you could telephone 0300 300 2000 or send an email to information@ipo.gov.uk to request a copy.



Patents Form 7

Patents Act 1977 (Rule 10)

Statement of inventorship and of right to grant of a patent

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

2. Patent application number
(if you know it)

3. Full name of the or of each applicant

4. Title of the invention

5. State how the applicant(s) derived the right from
the inventor(s) to be granted a patent

6. How many, if any, additional Patents Forms 7
are attached to this form?
(see note (c))

7. I/We believe that the person(s) named over the
page *(and on any extra copies of this form)* is/are the
inventor(s) of the invention which the above patent
application relates to.

Signature

Date

8. Name, e-mail address, telephone, fax and/or
mobile number, if any, of a contact point for the
applicant.

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *If there are more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7 and attach it to this form.*
- d) *Once you have filled in the form remember to sign and date it.*

Patents Form 7

Enter the full names, addresses and postcodes of the Inventors in the boxes and underline the surnames

Patents ADP number <i>(if you know it)</i>

Patents ADP number <i>(if you know it)</i>

Reminder

Have you signed the form?

Patents ADP number <i>(if you know it)</i>



Patents Form 9A
Patents Act 1977 (Rule 27)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for search
(See the notes on the back of this form)

1 Your reference:

2 Patent application number:
(If you know it)

3 Full name of the applicant
or of each applicant:

4 Is this request for:

- a) A search under Section 17(1) for an international application which has been searched in the international phase? *(See note (g))*
- b) A search under Section 17(1) for any other application?
- c) A supplementary search under Section 17(8)?
- d) A search of a further invention under Section 17(6)?

(See note (c) for help choosing which search you require)

(Answer this question by writing (a), (b), (c) or (d))
If your answer is (d), identify the invention to be searched by referring to the claims *(See note (d)).*

5 Do you want extra copies of any documents cited in the search report?
(see note (e)) YES NO

If YES state how many and remember to pay the extra fee.

6 Are you paying the application fee with this form?
(See note (f)) YES NO

7 Do you consent to us sharing the results of any search with other patent offices, on a confidential basis, before your application is published?
(See note (h)) YES NO

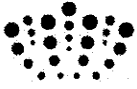
8 Signature _____ Date _____

9 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Patents Form 9A

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *Identifying the type of search you require:*
- Option a) *choose this option if your application is a PCT application*
- Option b) *choose this option if your application is a UK national application, and this is the first search you have requested for your application.*
- Option c) *this option is used if you have already had a search done but the invention as defined in the claims in your application has shifted in such a way that the invention defined in the new claims was not covered by the original search. This can happen when you have amended or corrected your claims. An examiner will tell you if you need to request a supplementary search.*
- Option d) *sometimes an examiner will tell you that your claims relate to more than one invention. In such circumstances the examiner will only search the first invention of the claims. If you wish other inventions to be searched you should use this option, filing a separate form with this option ticked for each invention you wish to be searched. You should also indicate on the form(s) the claims relating to the invention to be searched. You will need to pay a fee for each further invention to be searched.*
- d) *If you do not identify an invention, the second invention specified in the search report previously made under Section 17(5) will be searched.*
- e) *The Public administration section of UK Copyright legislation allows the Office to send you one copy of any documents cited in the search report or during the substantive examination process. You may order extra sets of documents by paying an additional administrative fee for each extra set, this fee will not be refunded if no documents are cited. All copies of citations supplied by the Office must be used for the sole purpose of processing the patent application.*
- f) *Before your application is searched you must pay an application fee. If you have not already paid this fee you can do so when filing this form. The application fee is in addition to the search fee required with this form. For details of the fees and ways to pay them, please contact the Office.*
- g) *For details of the fee payable with this form when requesting a search for an application that has already been searched in the international phase please contact the Office.*
- h) *In order to promote efficient and high quality patent processing internationally, we are cooperating with other patent offices to share the results of searches. We are obliged to provide some information before publication to the European Patent Office. However, we may receive a request from another patent office for the details of the search we have carried out and, if this is prior to publication of your application, we could not provide those details without your consent. Any sharing will be carried out on a confidential basis and the results will not become publicly available through the other patent office before publication takes place in the UK.*
- i) *Once you have filled in this form remember to sign and date it.*



Patents Form 20
Patents Act 1977 (*Rule 49*)

Request to correct a name or address
(*See the notes on the back of this form*)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1 Your reference

2 Patent application or patent number(s)
(*see notes (d) & (e)*)

3 Full name and address of the or of each patent
applicant or proprietor (as currently
on the register or application(s))

Patents ADP number (*if you know it*)

4 What kind of correction listed at note (c) do you
want to make?
(*answer by writing (i) or (ii)*)

5 Name and/or address to be corrected

Patents ADP number (*if you know it*)

6 New name and/or address

Patents ADP number (*if you know it*)

7 Name of your agent (*if you have one*)

"Address for service" in the European Economic
Area or Channel Islands to which all
correspondence should be sent
(*including postcode*) (*see note (g)*)

Patents ADP number (*if you know it*)

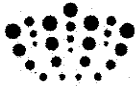
8 Signature Date

9 Name, e-mail address, telephone, fax and/or
mobile number, if any, of a contact point for the
applicant

Patents Form 20

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *You can use this form to request:*
 - (i) correction under s.117 of an error in a name or address (such as a typographical error); or*
 - (ii) correction under s.32(2)(d) of the register, or any document filed in connection with registration, to reflect a change that has occurred in a name or address (such as a change in the applicant's name).**You should use a separate form for each category if you are making more than one request.*
- d) *You may use this form for more than one application or patent if the same request is involved.*
- e) *If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s) of the form. Any continuation sheets should be attached to this form.*
- f) *Once you have filled in this form remember to sign and date it.*
- g) *Although you may have an address for service in the Channel Islands, any agent you appoint to act for you must reside in or have a place of business in the European Economic Area or Isle of Man.*



Patents Form 21

Patents Act 1977 (*Rule 47*)

Application to register or give notice of rights
acquired in a patent or in an application for a patent
(*See the notes on the back of this form*)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1 Your reference:

2 Patent application or patent number(s)
(*see notes (c) & (f)*)

3 Full name and address of the or of each patent
applicant or proprietor (as currently on the
register or application(s))

Patents ADP number (*if you know it*)

4 Full name and address of the or of each person
acquiring the rights

Patents ADP number (*if you know it*)

5 Give details of the transaction, instrument or
event which affects the rights in the or each
patent application or patent identified in part
2 above, including its date and the names of all
the parties involved.
(*see note (d)*)

6 Name of your agent (*if you have one*)

"Address for service" in the European Economic
Area or Channel Islands to which all
correspondence should be sent (*see note (g)*)
(*including postcode*)

Patents ADP number (*if you know it*)

Patents Form 21

7 (Name of each signatory should also be entered, and status if relevant) (see note e)

I/we hereby confirm that rights as indicated in part 5 above have been acquired and that any necessary stamp duty has been paid.

Signature(s)

Date

8 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.
 - b) Write your answers in capital letters using black ink or you may type them.
 - c) You may use this form for more than one application or patent if the same transaction, instrument or event is involved.
 - d) Section 33 (3) of the Patents Act 1977 specifies the relevant transactions, instruments and events (which include assignments, licences and mortgages). You can also use this form to request that the comptroller enters in the Register an equitable interest in a patent or application (e.g. an agreement to assign)
 - e) Part 7 should be signed and dated. Documentary evidence sufficient to establish the transaction should accompany this form if:
 - in the case of an assignment, it is **not** signed by or on behalf of the parties assigning the rights, or
 - in the case of a mortgage or the grant of a licence or security, it is **not** signed by or on behalf of the mortgagor or grantor of the licence or security.
- Any documents filed as evidence will be destroyed in due course. Original documents are not required – photocopies are sufficient.
- f) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s) of the form. Any continuation sheets should be attached to this form.
 - g) Although you may have an address for service in the Channel Islands, any agent you appoint to act for you must reside in or have a place of business in the European Economic Area or Isle of Man.
 - h) For details of the fee and ways to pay, please contact the Office.

2011 (UK)

January						
Mo	Tu	We	Th	Fr	Sa	Su
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February						
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July						
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August						
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September						
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Holidays and Observances:

1 Jan New Year's Day
 3 Jan New Year's Day Holiday
 22 Good Friday
 Apr
 24 Easter Sunday
 Apr
 25 Easter Monday
 Apr (ENG, NIR, WAL)

29 Royal Wedding Bank Holiday
 Apr
 2 Early May Bank Holiday
 May
 30 Spring Bank Holiday
 May
 29 Summer Bank Holiday
 Aug (ENG, NIR, WAL)

5 Guy Fawkes Day
 Nov
 25 Christmas Day
 Dec
 26 Boxing Day
 Dec
 27 Bank Holiday
 Dec

2012 (UK)

January						
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February						
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March						
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May						
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June						
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September						
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November						
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December						
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Holidays and Observances:

- 1 Jan New Year's Day
- 2 Jan New Year's Day Holiday
- 6 Apr Good Friday
- 8 Apr Easter Sunday
- 9 Apr Easter Monday (ENG, NIR, WAL)

- 7 May Early May Bank Holiday
- 4 Jun Spring Bank Holiday
- 5 Jun The Queen's Diamond Jubilee
- 27 Aug Summer Bank Holiday (ENG, NIR, WAL)

Mitteilung des Präsidenten des Europäischen Patentamts vom 6. August 2010 über die Tage, an denen die Annahmestellen des EPA im Jahr 2011 geschlossen sind

1. Nach Regel 134 (1) EPÜ erstrecken sich Fristen, die an einem Tag ablaufen, an dem **zumindest eine** Annahmestelle des EPA zur Entgegennahme von Schriftstücken nicht geöffnet ist (geschlossene Tage), auf den nächstfolgenden Tag, an dem **alle** Annahmestellen zur Entgegennahme von Schriftstücken geöffnet sind und an dem gewöhnliche Postsendungen zugestellt werden.

2. Die Annahmestellen des EPA in München, Den Haag und Berlin sind auch 2011 an allen Samstagen und Sonntagen zur Entgegennahme von Schriftstücken nicht geöffnet. Die weiteren geschlossenen Tage des Jahres 2011 werden in der nachfolgenden Übersicht bekannt gegeben.

Notice from the President of the European Patent Office dated 6 August 2010 concerning the days on which EPO filing offices are closed in 2011

1. Under Rule 134(1) EPC, time limits expiring on a day on which **at least one** EPO filing office is not open for receipt of documents (closing days) are extended until the first day thereafter on which **all** the filing offices are open for receipt of documents and on which ordinary mail is delivered.

2. In 2011, as hitherto, the EPO's filing offices in Munich, The Hague and Berlin will be closed for the receipt of documents on every Saturday and Sunday. The other closing days in 2011 are listed below.

Communiqué du Président de l'Office européen des brevets, en date du 6 août 2010, relatif aux jours de fermeture des bureaux de réception de l'OEB en 2011

1. Conformément à la règle 134(1) CBE, les délais qui expirent un jour où **l'un au moins** des bureaux de réception de l'OEB n'est pas ouvert pour recevoir le dépôt des pièces (jours de fermeture) sont prorogés jusqu'au premier jour suivant où **tous** les bureaux de réception sont ouverts pour recevoir ce dépôt et où le courrier normal est distribué.

2. En 2011 encore, les bureaux de réception de l'OEB à Munich, à La Haye et à Berlin ne seront ouverts ni le samedi, ni le dimanche pour recevoir le dépôt des pièces. Les autres jours de fermeture au cours de l'année 2011 sont énumérés dans la liste ci-après.

Tage	Days	Jours		München Munich Munich	Den Haag The Hague La Haye	Berlin Berlin Berlin
Heilige Drei Könige	Epiphany	Epiphanie	06.01.2011	•		
Karfreitag	Good Friday	Vendredi Saint	22.04.2011	•	•	•
Ostermontag	Easter Monday	Lundi de Pâques	25.04.2011	•	•	•
Tag der Befreiung	Liberation Day	Journée de la Libération	05.05.2011		•	
Christi Himmelfahrt	Ascension Day	Ascension	02.06.2011	•	•	•
Brückentag	Bridging Day	Pont	03.06.2011	•	•	•
Pfingstmontag	Whit Monday	Lundi de Pentecôte	13.06.2011	•	•	•
Fronleichnam	Corpus Christi	Fête-Dieu	23.06.2011	•		
Mariä-Himmelfahrt	Assumption Day	Assomption	15.08.2011	•		
Tag der Deutschen Einheit	Day of German Unity	Jour de l'Unité allemande	03.10.2011	•		•
Allerheiligen	All Saints' Day	Toussaint	01.11.2011	•		
2. Weihnachtstag	Boxing Day	Lendemain de Noël	26.12.2011	•	•	•