

PATENT ADMINISTRATORS COURSE 2012/13

MOCK EXAMINATION

Thursday 31st January 2013

Exam Starts: 2.00pm

Reading Time: 2.00 pm – 2.15 pm

Writing Time: 2.15 pm – 5.15 pm

1. This is a three-hour Paper with an additional 15 minutes for reading only. This paper is 'open book' therefore you are permitted to use notes and other printed reference material during the examination.
2. You should attempt all questions.
3. The number of marks allotted to each question is indicated on the paper.
4. Please write clearly, as it is difficult to award marks to illegible answers.
5. The Paper consists of 4 pages of questions plus Annex A, B, C and D.
6. In addition, you are provided with:
 - A UK 2013 and 2014 Calendar
 - EPO Holiday Dates 2013
 - Blank Patents Form 1
 - Blank Patents Form 7
 - Blank Patents Form 9a
 - Blank Patents Form 10
7. Please ensure your candidate number is written on each page of your answers and any forms submitted.
Please do NOT write your name on the answer sheets.
8. At the end of the exam please ensure that your answer papers are placed in **the brown envelope with only your candidate number on and sealed.**

Patent Administrators Course 2012/13

Mock Exam 31st January, 2013

- (1) You are employed as a Patent Administrator by the respected firm of patent attorneys, Picky Pedantic and Smart. Please select and fill in the required official forms to complete the instructions given in Annexes A and B.

(20 marks)

- (2) For the application of **Question 1**, on what date does the period for putting the application in order (the "acceptance period") expire? Show your calculations and ignore any possible requests for extensions of time.

(2 marks)

- (3) You receive instructions from an Australian firm of attorneys, to file a UK patent application claiming priority from an Australian application filed on 10th February 2012. They have sent the description, claims, abstract and drawings for use in the UK filing and have promised to send details of how the applicant derives the right to grant of the patent from the inventor. They want to defer payment of the examination fee. You are entering up the due dates in your database.

What is the due date for filing the UK application? Show your calculations.

(3 marks)

- (4) For the application of **Question 3**, and ignoring possible requested extensions of time, what is/are the due date(s) for filing:

(a) the certified copy of the Australian application for use as the priority document, and

(b) the designation of inventor form?

Show your calculations.

(3 marks)

- (5) In the application of **Question 3**, the official search report issues dated 17th May 2013 and the application is published as an "A" document (in accordance with an early publication request) on 26th June 2013. Ignoring possible requested extensions of time, what is the due date for filing the examination request and paying the examination fee?

Show your calculations.

(3 marks)

- (6) Is further processing available at the EPO for the following omitted acts?

- (a) Paying the filing and search fees
- (b) Paying a renewal fee
- (c) Filing a translation of the claims in response to the Notification of intention to Grant ("rule 71(3) communication")
- (d) Filing a request for further processing
- (e) Filing a divisional application

(5 marks)

- (7)** You receive a "Decision to Grant" from the EPO as reproduced in Annex C. Your records show a due date to file a divisional application under Rule 36(1)(a)EPC of 8th June 2013. The client has already indicated that it wishes to maintain the patent in Germany, France and the UK. The client has a standing instruction with you to appoint local representatives in London agreement countries, within the same time limit as applies for validating the patent in non-London Agreement countries, also counting any automatic extensions available for Patent Office non-working days.

Ignoring renewals, what date(s) do you insert in your letter to the client as the time limit(s) for appointment of local representatives? What other date must you advise the client of? In both cases calculate the dates concerned and show your working.

(5 marks)

- (8)** For a European patent application or patent, what do the following publication codes mean?

A1, A2, A3, B1

(4 marks)

- (9)** You have received a Communication under Rules 161 and 162 EPC as reproduced in Annex D.

(a) Calculate the due date for response. Show your working. **(5 marks)**

(b) Is this date extendable as of right ("for the asking")? **(1 mark)**

- (10)** What action(s) is/are required in response to the Communication mentioned in **Question 9**?

(3 marks)

- (11)** Are renewal fees payable for the following kinds of application? Answer yes or no in each case:

A European patent application

A UK patent application

A PCT application **(3 marks)**

(12) Who may file:

- (a) a UK patent application
- (b) a European patent application
- (c) a PCT application?

(4 marks)

(13) A PCT application was filed on 16th September 2012 claiming a single priority of 19th September 2011. The International Search Report and accompanying documents were mailed to you by the ISA on 17th January 2013.

If International Preliminary Examination is to be carried out, by when must the Demand be filed? Calculate the date and show your working.

(5 marks)

(14) When filing a Demand for International Preliminary Examination (e.g. as in Question 13),

- (a) what action must be taken? **(3 marks)**
- (b) where should the Demand be filed? **(1 mark)**

(15) What do the following abbreviations stand for in the context of the Patent Cooperation Treaty?

SISA IB WIPO IPRP

(4 marks)

(16) (a) What are the acceptable languages for filing a PCT application at the EPO as a receiving office?

(3 marks)

(b) What are the languages in which PCT applications are published?

(5 marks)

(17) A PCT application was filed on 28th September 2011 claiming an earliest priority of 30th September 2010. What is the final date for entering the European regional phase, without paying additional fees?

(3 marks)

(18) A European patent application was filed on 3rd March 2007 and mention of grant is to be published in the European Patent Bulletin on 2nd March 2013. The patent is to be

validated in the UK only. Between what dates, and to which office, must the next renewal fee be paid, if late payment fees are to be avoided? Give your reasons.

(6 marks)

- (19) What happens if the renewal date of **Question 18** is missed? What action can be taken as of right to pay the renewal late?

(4 marks)

- (20) List five different forms of intellectual property rights.

(5 marks)

Annex A – Question 1

London Office – Tel. 0207 833 1234 – Fax 0207 833 4321 – email pps@pps.co.uk
PPS INTERNAL MEMO – CONFIDENTIAL

Re: PP-GB-06

Dear Administrator,

URGENT

Please ensure that the new application referred to in the client's instruction letter (attached) is hand filed at the IPO London office today. Our new case reference will be PP-GB-06A.

I rely on your skill and knowledge to ensure that as many of the filing formalities as possible are completed now.

The new specification has 10 pages of description, 2 pages of claims, abstract and 1 sheet of drawings.

Please authorise early sharing of search and examination results for possible Patent Prosecution Highway purposes.

Our accounts department will be preparing the cheque and fee sheet for the official fees.

Thanks,

Pat

Annex B – Question 1

Patricia A. Tourney
Picky Pedantic & Smart
4 Stable Yard Buildings
Grays Inn
London WC1V 0XX

28 January 2013

Dear Pat,

UK Patent Application No. 1234567.8

“All-Weather Firework”

Your reference PP-GB-06

Thank you for your various reminders and apologies for the delay in response. It has been manic round here lately, preparing for our industry’s annual showcase, “BANG!”, happening in Birmingham next week.

The draft you left us last month covers the further developments to the above first filing very well. I can’t believe that the original was submitted to the Intellectual Property Office as long ago as 1st February 2012. Please file the updated draft as soon as possible. We do not need coverage outside the UK.

I confirm that Bill Squibb is still the only inventor concerned, and that he doesn’t mind you using his home address in the application, which is 12 Tranquil Cottages, Sleepy Hollow, Truro, Cornwall TR6 0ZZ.

I also agree with your suggestion to complete all the filing formalities right away so that your service charges are minimised and we get the combined search and examination results as soon as possible.

We are really excited by the damp resistant blue touchpaper formulation described in the application and will be promoting it heavily at BANG!

Thanks for your efforts on our behalf.

Kind regards,

Rory Rockett

Rory Rockett

Penzance Pyrotechnics Limited · Unit 12 · Harbour Way Industrial Estate · Harbour Way · Penzance · Cornwall · TR11 4QJ
Registered in England · Company No. 1287449
Managing Director: Rory Rockett, OBE · Technical Director: William Squibb, PhD

“We never go out with a whimper”



European Patent Office
Postbus 5818
2280 HV RIJSWIJK
NETHERLANDS
Tel. +31 (0)70 340-2040
Fax +31 (0)70 340-3016



For any questions about
this communication:
Tel.: +31 (0)70 340 45 00

Picky Pedantic and Smart
4 Stable Yard Buildings
Grays Inn
London WC1V 0XX
GB

Date
06-01-2013

Reference	PP-EP-02	Application No./Patent No.	09123456.7/EP2000000
Applicant/Proprietor	Penzance Pyrotechnics Limited		

Decision to grant a European patent pursuant to Article 97(1) EPC

Following examination of European patent application No. 09123456.7 a European patent with the title and the supporting documents indicated in the communication pursuant to Rule 71(3) EPC dated 12.12.2012 is hereby granted in respect of the designated Contracting States.

Patent No. : EP2000000
Date of filing : 06.06.2009
Priority claimed : 07.06.2008

Designated Contracting States and Proprietor(s) : AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

Penzance Pyrotechnics Limited

This decision will take effect on the date on which the European Patent Bulletin mentions the grant (Art. 97(3) EPC).

The mention of the grant will be published in European Patent Bulletin 12/46 of 06.02.2013

Examining Division

Schmitt C Jones Q Braun A





European Patent Office
80298 MUNICH
GERMANY
Tel: +49 (0)89 2399 - 0
Fax +49 (0)89 2399 - 4465



For any questions about
this communication:
Tel.: +31 (0)70 340 45 00

Picky Pedantic and Smart
4 Stable Yard Buildings
Grays Inn
London WC1V 0XX
GB

Date	21-08-2012
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Reference	PP-EP-01	Application No./Patent No.	08123456.7
Applicant/Proprietor	Penzance Pyrotechnics Limited		

Communication pursuant to Rules 161(1) and 162 EPC

1. Correction of deficiencies noted in the written opinion and amendment of the application (R. 161(1) EPC)

The above-mentioned international (Euro-PCT) application has entered the European phase.

The EPO as International Searching Authority and, where a demand under Article 31 PCT was filed, also as International Preliminary Examining Authority has drawn up a written opinion on this application or the EPO as Supplementary International Searching Authority has issued explanations pursuant to Rule 45bis.7(e) PCT to the supplementary international search report.

You are invited to correct any deficiencies noted in the written opinion of the International Searching Authority or in the International Preliminary Examination Report, or in the explanations to the supplementary international search report and to amend the description, claims and any drawings within a **non-extendable period of six months** after notification of the present invitation.

Should you not comply with or comment on this invitation within the time limit, **the application will be deemed to be withdrawn** in accordance with Rule 161(1) EPC.

2. Amendment of application

Under Articles 28, 41 PCT and Rules 52, 78 PCT the application may be amended before a designated or elected Office, and in accordance with Rule 137(2) EPC the applicant may amend the description, claims and drawings of his own volition together with any comments, corrections or amendments made in response to the communication under Rule 161(1) EPC.

Whether or not you have already done so, you now have a further opportunity to file amended claims or other application documents within the above-mentioned period.

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

The claims applicable on expiry of the above period, i.e. those filed on entry into the European phase or in response to the present communication, will form the basis for the calculation of any claims fee to be paid (see page 2).

3. Claims fees under Rule 162 EPC

If the application documents on which the European grant procedure is to be based comprise more than fifteen claims, a claims fee shall be payable for the sixteenth and each subsequent claim within the period provided for in Rule 159(1) EPC.

- Based on the application documents currently on file, all necessary claims fees have already been paid (or the documents do not comprise more than 15 claims).
- All necessary fees will be/have been debited automatically according to the automatic debit order.
- The claims fees due for the claims 16 to 19 were not paid within the above-mentioned period.

Any outstanding claims fee, either based on the current set of claims or on any amended claims to be filed pursuant to Rule 161 EPC (see page 1), may still be validly paid within a **non-extendable period of six months** after notification of this communication (R. 162(2) EPC).

If a payment is made for only some of the claims, you must indicate for which claims it is intended. If a claims fee is not paid in due time, the claim concerned is deemed to be abandoned (R. 162(4) EPC).

If claims fees have already been paid, but on expiry of the above-mentioned period there is a new set of claims containing fewer fee-incurring claims than before, the claims fees in excess of those due under Rule 162(2), second sentence EPC will be refunded (R. 162(3) EPC).

The claims fee is currently

EUR 225 for the 16th and each subsequent claim up to the limit of 50
EUR 555 for the 51st and each subsequent claim

Note to users of the automatic debiting procedure

Unless the EPO receives prior instructions to the contrary, the fees for all claims incurring fees will be debited on the last day of the period for payment. For further details see the Arrangements for the automatic debiting procedure, Supplement to OJ EPO 3/2009.

Important information concerning fee amounts

Following any amendment to the Rules relating to Fees, the amount(s) mentioned in this communication may be different from the amount(s) **actually due on the date of payment**. The latest version of the Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, is also available on the EPO website (www.epo.org) and can be found under www.epo.org/schedule-of-fees, which allows the viewing, downloading and searching for individual fee amounts, both current and previous.

Payments by cheque delivered or sent direct to the EPO are no longer accepted as from 1 April 2008 (see OJ EPO 2007, 626).

For the Examining Division



2013 (UK)

January						
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February						
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Holidays and Observances:

1 Jan New Year's Day

29 Mar Good Friday

31 Mar Easter Sunday

1 Apr Easter Monday (ENG, NIR, WAL)

6 May Early May Bank Holiday

27 May Spring Bank Holiday

26 Aug Summer Bank Holiday (ENG, NIR, WAL)

2014 (UK)

January						
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April						
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Holidays and Observances:

1 Jan New Year's Day
 2 Jan 2nd January (Scotland)
 17 Mar St Patricks Day (Northern Ireland)
 18 Apr Good Friday
 20 Apr Easter Sunday
 21 Apr Easter Monday (ENG, NIR, WAL)
 5 May Early May Bank Holiday
 26 May Spring Bank Holiday
 12 Jul Orangemen's Day (Northern Ireland)

14 Jul 'Orangemen's Day' observed (Northern Ireland)
 4 Aug Summer Bank Holiday (Scotland)
 25 Aug Summer Bank Holiday (ENG, NIR, WAL)
 30 Nov St Andrew's Day (Scotland)
 1 Dec 'St Andrew's Day' observed (Scotland)
 25 Dec Christmas Day
 26 Dec Boxing Day



Notice from the President of the European Patent Office dated 22 November 2012 concerning the days on which EPO filing offices are closed in 2013

URL

<http://www.epo.org/law-practice/legal-texts/official-journal/president-notice/archive/20121127.html>

Location

[Home](#) [Law & practice](#) [Legal texts](#) [Official Journal](#) [Notices from the President](#) [Archive](#)
27 November 2012

1. Under [Rule 134\(1\) EPC](#), time limits expiring on a day on which at least one EPO filing office is not open for receipt of documents (closing days) are extended until the first day thereafter on which all the filing offices are open for receipt of documents and on which ordinary mail is delivered.

2. In 2013, as hitherto, the EPO's filing offices in Munich, The Hague and Berlin will be closed for the receipt of documents on every Saturday and Sunday. The other closing days in 2013 are listed below.

Days		Munich	The Hague	Berlin
New Year's Day	01.01.2013	x	x	x
Good Friday	29.03.2013	x	x	x
Easter Monday	01.04.2013	x	x	x
National Holiday	30.04.2013		x	
May Day	01.05.2013	x	x	x
Ascension Day	09.05.2013	x	x	x
Whit Monday	20.05.2013	x	x	x
Corpus Christi	30.05.2013	x		
Assumption Day	15.08.2013	x		
Day of German Unity	03.10.2013	x		x
All Saints' Day	01.11.2013	x		
Bridging day	23.12.2013	x	x	x
Christmas Eve	24.12.2013	x	x	x
Christmas Day	25.12.2013	x	x	x

Boxing Day	26.12.2013	x	x	x
Bridging day	27.12.2013	x	x	x
Bridging day	30.12.2013	x	x	x
New Year's Eve	31.12.2013	x	x	x

Last updated: 27.11.2012



Patents Form 1
Patents Act 1977 (*Rule 12*)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for grant of a patent
(An explanatory leaflet on how to fill in this form is available from the office)

Application number GB

1 Your reference:
(*optional*)

2 Full name, address and postcode of the applicant
or of each applicant (*underline all surnames*):

**The name(s) and address(es) provided here
will be published as part of the application
process (see warning note below)**

Patents ADP number (*if you know it*):

3 Title of the invention:

4 Name of your agent (*if you have one*):

“Address for service” to which all correspondence
should be sent (*including postcode*). This may be in
the European Economic area or Channel Islands:
(see warning note below)

Patents ADP number (*if you know it*):

5	Priority declaration: Are you claiming priority from one or more earlier-filed patent applications? If so, please give details of the application(s):	Country	Application number (<i>if you know it</i>)	Date of filing (<i>day / month / year</i>)

6	Divisionals etc: Is this application a divisional application, or being made following resolution of an entitlement dispute about an earlier application? If so, please give the application number and filing date of the earlier application:	Number of earlier UK application	Date of filing (<i>day / month / year</i>)

7	Inventorship: (Inventors must be individuals not companies)	(Please tick the appropriate boxes)	
	Are all the applicants named above also inventors?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
	If yes, are there any other inventors?	YES <input type="checkbox"/>	NO <input type="checkbox"/>

8	Are you paying the application fee with this form?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
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Patents Form 1

9 Accompanying documents:
please enter the number of pages of
each item accompanying this form:

Continuation sheets of this form:

Description:

Claim(s):

Abstract:

Drawing(s):

If you are not filing a description, please
give details of the previous application
you are going to rely upon:

Country

Application number

Date of filing
(day / month / year)

10 If you are also filing any of the following,
state how many against each item.

Priority documents:

Statement of inventorship and right
to grant of a patent (*Patents Form 7*):

Request for search (*Patents Form 9A*):

Request for substantive examination
(*Patents Form 10*):

Any other documents:(please specify)

11 I/We request the grant of a patent on the basis of this application.

Signature(s):

Date:

12 Name, e-mail address, telephone,
fax and/or mobile number, if any,
of a contact point for the applicant:

Warning

After an application for a patent has been filed, the Comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you are resident in the United Kingdom and your application contains information which relates to military technology, or would be prejudicial to national security or the safety of the public, section 23 of the Patents Act 1977 prohibits you from applying for a patent abroad without first getting written permission from the Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked. Until such time or until the revocation of any direction, for any such application the address for service referred to at part 4 above must be in the United Kingdom.

In all other cases, the name of the applicant will be published shortly after filing, together with the title of the invention. Later, when the whole application is published, most information and documents filed in relation to the application will become publicly available. **The name and address of the applicant will be published on our website and may appear in external search engine results.** You should provide a business or PO Box address if you do not want your home address published.

Although you may have an address for service in the Channel Islands, any agent instructed to act for you must reside or have a place of business in the European Economic Area or Isle of Man.

Notes

A leaflet on how to fill in this form is available from the Office. If you would like a copy of the leaflet it is available on our website at <http://www.ipo.gov.uk/fact01.pdf> or alternatively you could telephone 0300 300 2000 or send an email to information@ipo.gov.uk to request a copy.



Patents Form 7

Patents Act 1977 (*Rule 10*)

Statement of inventorship and of right to grant of a patent

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

2. Patent application number
(*if you know it*)

3. Full name of the or of each applicant

4. Title of the invention

5. State how the applicant(s) derived the right from
the inventor(s) to be granted a patent

6. How many, if any, additional Patents Forms 7
are attached to this form?
(*see note (c)*)

7. I/We believe that the person(s) named over the
page (*and on any extra copies of this form*) is/are the
inventor(s) of the invention which the above patent
application relates to.

Signature

Date

8. Name, e-mail address, telephone, fax and/or
mobile number, if any, of a contact point for the
applicant.

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *If there are more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7 and attach it to this form.*
- d) *Once you have filled in the form remember to sign and date it.*

Patents Form 7

Enter the full names, addresses and postcodes of the Inventors in the boxes and underline the surnames

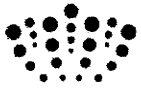
Patents ADP number <i>(if you know it)</i>

Patents ADP number <i>(if you know it)</i>

Reminder

Have you signed the form?

Patents ADP number <i>(if you know it)</i>



Patents Form 9A

Patents Act 1977 (Rule 27)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Request for search

(See the notes on the back of this form)

1 Your reference:

2 Patent application number:
(If you know it)

3 Full name of the applicant
or of each applicant:

4 Is this request for:

- a) A search under Section 17(1) for an international application which has been searched in the international phase? *(See note (g))*
- b) A search under Section 17(1) for any other application?
- c) A supplementary search under Section 17(8)?
- d) A search of a further invention under Section 17(6)?

(See note (c) for help choosing which search you require)

(Answer this question by writing (a), (b), (c) or (d))
If your answer is (d), identify the invention to be searched by referring to the claims
(See note (d)).

5 Do you want extra copies of any documents cited in the search report?
(see note (e)) YES NO

If YES state how many and remember to pay the extra fee.

6 Are you paying the application fee with this form?
(See note (f)) YES NO

7 Do you consent to us sharing the results of any search with other patent offices, on a confidential basis, before your application is published?
(See note (h)) YES NO

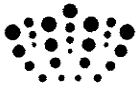
8 Signature _____ Date _____

9 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Patents Form 9A

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *Identifying the type of search you require:*
- Option a) choose this option if your application is a PCT application*
- Option b) choose this option if your application is a UK national application, and this is the first search you have requested for your application.*
- Option c) this option is used if you have already had a search done but the invention as defined in the claims in your application has shifted in such a way that the invention defined in the new claims was not covered by the original search. This can happen when you have amended or corrected your claims. An examiner will tell you if you need to request a supplementary search.*
- Option d) sometimes an examiner will tell you that your claims relate to more than one invention. In such circumstances the examiner will only search the first invention of the claims. If you wish other inventions to be searched you should use this option, filing a separate form with this option ticked for each invention you wish to be searched. You should also indicate on the form(s) the claims relating to the invention to be searched. You will need to pay a fee for each further invention to be searched.*
- d) *If you do not identify an invention, the second invention specified in the search report previously made under Section 17(5) will be searched.*
- e) *The Public administration section of UK Copyright legislation allows the Office to send you one copy of any documents cited in the search report or during the substantive examination process. You may order extra sets of documents by paying an additional administrative fee for each extra set, this fee will not be refunded if no documents are cited. All copies of citations supplied by the Office must be used for the sole purpose of processing the patent application.*
- f) *Before your application is searched you must pay an application fee. If you have not already paid this fee you can do so when filing this form. The application fee is in addition to the search fee required with this form. For details of the fees and ways to pay them, please contact the Office.*
- g) *For details of the fee payable with this form when requesting a search for an application that has already been searched in the international phase please contact the Office.*
- h) *In order to promote efficient and high quality patent processing internationally, we are cooperating with other patent offices to share the results of searches. We are obliged to provide some information before publication to the European Patent Office. However, we may receive a request from another patent office for the details of the search we have carried out and, if this is prior to publication of your application, we could not provide those details without your consent. Any sharing will be carried out on a confidential basis and the results will not become publicly available through the other patent office before publication takes place in the UK.*
- i) *Once you have filled in this form remember to sign and date it.*



Patents Form 10

Patents Act 1977 (Rule 28)

Request for a substantive examination
(See the notes on the back of this form)

Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

1 Your reference

2 Patent application number
(if you know it)

3 Full name of the applicant or of each applicant

4 If you are requesting that substantive examination takes place before your application is published, do you consent to us sharing the results of any such examination with other patent offices, on a confidential basis, before your application is published?
(See note (c))

YES NO

5 (see note (d)) I/We request substantive examination of this application.

Signature

Date

6 Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

Patents Form 10

Notes

- a) *If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.*
- b) *Write your answers in capital letters using black ink or you may type them.*
- c) *In order to promote efficient and high quality patent processing internationally, we are cooperating with other patent offices to share the results of examination where they are available. We are obliged to provide some information before publication to the European Patent Office. However, if you are requesting that substantive examination takes place before your application is published we may receive a request from another patent office for the details of the examination we have carried out before your application is published and we could not provide those details without your consent. Any sharing will be carried out on a confidential basis and the results will not become publicly available through the other patent office before publication takes place in the UK.*
- d) *If you are filing this form with a Patents Form 9A for the same application and you do not want the search and substantive examination to be done at the same time, you should indicate this by writing "Not combined search and examination" on the front of this form in the space below part 5.*
- e) *Once you have filled in the form remember to sign and date it*
- f) *For details of the fee and ways to pay, please contact the Office.*